



# Provider Manual

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Alameda Alliance for Health  
Medi-Cal & Alliance Group Care

March 2022

## Table of Contents

<b>Part 1. Alliance Services .....</b>	<b>7</b>
Section 1: Introduction.....	7
Welcome to Alameda Alliance for Health (Alliance) .....	7
The Provider Manual.....	8
Getting Involved .....	8
Section 2: The Alliance Resources.....	12
Alliance Provider Services Department.....	12
Alliance Member Services Department .....	12
Section 3: Eligibility and PCP Choice .....	14
Identifying Alliance Members .....	14
How to Verify Member Eligibility .....	16
Provider Portal Instructions .....	16
Selecting a PCP .....	17
Changing PCPs.....	17
Section 4: Provider Compliance .....	19
Travel Time and Distance Standards .....	19
Alameda Alliance for Health Marketing Materials .....	19
Approved Medi-Cal Marketing Methods .....	19
Discharging Members .....	20
Provider Directory Changes .....	20
Provider Data Requirements (274).....	20
<b>Part 2. Providing Services.....</b>	<b>22</b>
Section 5: Primary Care Provider (PCP) Roles and Responsibilities .....	22
PCP Services .....	22
Providing Capitated Services to Alliance Group Care Members .....	26
Non-Capitated Services .....	26
Coordination of Care .....	27
PCP Role in Supervision of Mid-Level Clinicians .....	29
Section 6: Utilization Management.....	33
<b>Overview .....</b>	<b>33</b>

Outpatient (Ambulatory) Services .....	34
Standing Referrals .....	34
Authorizations Requirements .....	35
Provider-to-Provider Communication .....	41
Major Organ Transplants.....	42
Mental Health Services .....	43
Minor Consent Services .....	45
Vision Care Services .....	45
Hospice Services .....	46
Community-Based Adult Services (CBAS).....	47
Transgender Services .....	48
Second Opinions .....	49
Coordination of Care .....	49
Coordination of Care – California Children’s Services (CCS).....	53
Transportation .....	53
Section 7: Claims .....	59
Claims Overview .....	59
Submitting a Claim .....	59
Claims Receipt and Determinations .....	62
Service Specific Information.....	65
Code Sets .....	67
Section 8: Provider Dispute Resolutions (PDR) .....	69
Section 9: Service and Referrals for Adults – Adult Clinical Preventive Services.....	71
Immunizations .....	72
Family Planning Services.....	72
Confidential Human Immunodeficiency Virus (HIV) Testing.....	73
Abortion Services .....	75
Sterilization Services.....	76
Alcohol and Drug Screening, Assessment, Brief Interventions and Referral to Treatment (SABIRT) .....	77
Tobacco Cessation .....	79
Section 10: Services and Referrals for Newborns, Children, and Adolescents .....	80

Questions? Please call the Alliance Provider Services Department, Monday – Friday,  
 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Newborn Services .....	80
Clinical Preventive Services for Children – Periodic Health Assessments .....	81
Immunizations .....	82
Early Periodic Screening Diagnosis and Treatment (EPSDT) – Medi-Cal Only ....	84
Women, Infants & Children (WIC) .....	85
Early Intervention Services.....	85
Section 11: Perinatal Services.....	87
Perinatal Services .....	87
Reimbursement and Documentation of OB Services .....	90
Section 12: Out-of-Plan Services .....	92
California Children Services (CCS) .....	92
Dental Screening – Medi-Cal .....	94
Tuberculosis (TB) Control Services.....	94
Long-Term Care (Medi-Cal) .....	96
Medi-Cal Rx – Outpatient Pharmacy Benefit (Medi-Cal Only).....	96
Section 13: Health Education .....	98
Health Education Services .....	98
Section 14: Serving Your Diverse Population.....	99
Documenting Staff Language Proficiency .....	99
Signage for Interpreter Services.....	100
Requesting Interpreter Services.....	100
Cultural and Linguistic Provider Training and Development.....	102
Monitoring Cultural and Linguistic Access and Quality of Care .....	102
Section 15: Transportation Services.....	104
Transportation Benefits .....	104
Section 16: Formulary and Pharmacy Services (Group Care Only) .....	106
Formulary .....	106
Pharmacy PA and Exceptions.....	108
Pharmacy Network.....	110
Injectables .....	110
Section 17: Clinical Laboratory Services .....	111
Outpatient Laboratory Services.....	111

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Laboratory Procedures in the PCP Office .....	111
<b>Part 3. Medical Management .....</b>	<b>113</b>
Section 18: Medical Management .....	113
Measuring and Improving Plan Performance (HEDIS®) .....	113
Alliance Measures of Provider Performance .....	114
Care Management Programs .....	114
Care Planning Coordination .....	115
Complex Case Management (CCM) .....	116
Community Supports (CS) .....	117
Enhanced Care Management (ECM) .....	117
Disease Management .....	118
Diabetes Prevention Program (DPP) .....	118
Integrated Case Management.....	119
Transition of Care.....	120
Reporting Provider-Preventable Conditions .....	120
Section 19: Grievance and Appeals .....	122
Section 20: Credentialing .....	127
Credentialing Process .....	127
Credentialing Criteria and Basic Qualifications .....	129
Re-Credentialing .....	130
Section 21: Facility Site Review (FSR).....	131
Facility Site Review Overview .....	131
Facility Site Reviews (FSR).....	133
Medical Record Reviews (MRR) .....	134
Provider Initial Review and Fair Hearing Process .....	135
Requesting an Appeal.....	137
Requirements for Mid-Level Clinicians.....	137
Organizational Providers.....	138
Alliance Member Services Department.....	139
<b>Part 4. Member Rights and Compliance.....</b>	<b>140</b>
Section 22: Member Rights and Responsibilities .....	140
Alliance Members' Rights.....	140

Questions? Please call the Alliance Provider Services Department, Monday – Friday,  
 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Alliance Members' Responsibilities .....	141
What Can You and Your Office Do to Protect Member Privacy? .....	141
How to Protect the Protected Health Information (PHI) of Your Patients .....	141
Section 23: Fraud, Waste, and Abuse (FWA) and Protected Health Information (PHI)143	
Overview of Fraud, Waste, and Abuse (FWA) .....	143
FWA Definitions .....	143
Examples of FWA .....	143
How to Report Potential FWA .....	144
Health Insurance Portability and Accountability Act (HIPAA): .....	145
Safeguarding PHI.....	145
Uses and Disclosures of Member PHI .....	146
Member Access to PHI .....	147
Reporting of Privacy Incidents and Breaches to the Alliance .....	147

# Part 1. Alliance Services

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## Section 1: Introduction

### Welcome to Alameda Alliance for Health (Alliance)

Thank you for joining the Alameda Alliance for Health (Alliance) provider network! This manual is intended to provide you with the information needed to navigate our health plan and to assist you with offering the best possible care to our Alliance members.

### ***ABOUT THE ALLIANCE***

The Alliance is a public, not-for-profit health plan offering high-quality managed care to Alameda County residents. We offer two (2) lines of business, Medi-Cal and the In-Home Supportive Services (IHSS) program, also known as Alliance Group Care.

### ***OUR MISSION, VISION, AND VALUES***

#### **Mission**

The mission of the Alliance is to strive to improve the quality of life of our members and people throughout our diverse community by collaborating with our provider partners in delivering high-quality, accessible, and affordable health care services. As participants of the safety-net system, we recognize and seek to collaboratively address social determinants of health as we proudly serve Alameda County.

#### **Vision**

The vision of the Alliance is that we will be the most valued and respected managed care health plan in the state of California.

#### **Values (TRACK)**

- **Teamwork:** We participate actively, remove barriers to effective collaboration, and interact as a winning team.
- **Respect:** We are courteous to others, embrace diversity, and strive to create a positive work environment.
- **Accountability:** We take ownership of tasks and responsibilities and maintain a high level of work quality.
- **Commitment & Compassion:** We collaborate with our providers and community partners to improve the well-being of our members, focus on quality in all we do, and act as good stewards of resources.
- **Knowledge & Innovation:** We seek to understand and find better ways to help our members, providers, and community partners.

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Questions? Call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **The Provider Manual**

This Provider Manual describes your responsibilities to our members as a provider and is intended as a resource to help you provide them with the best possible care.

The Alliance requires that contracted practitioners, medical groups, providers, hospitals, ancillary providers, and other non-hospital facilities, together referred to as “Provider” or “Providers,” fulfill the relevant specified responsibilities described in this Provider Manual.

If you have any questions about the Alliance, our practices, or our members, please call our Alliance Provider Services Department at **1.510.747.4510**.

## **Getting Involved**

Provider involvement helps us improve services for our members and providers.

### ***WAYS TO PARTICIPATE:***

**Health Care Quality Committee (HCQC):** HCQC meets quarterly. The Alliance Providers are encouraged to participate in the HCQC and its peer subcommittees. HCQC and other subcommittee members are paid a stipend. For more information, please call the Alliance Credentialing Department at **1.510.747.6176**.

**Peer Review & Credentialing Committee (PRCC):** PRCC meets monthly to review new provider applications, re-credentialing information, and peer review issues on contracted providers. For more information, please call the Alliance Credentialing Department at **1.510.747.6176**.

**The Alliance Provider Manual:** The Alliance communicates with providers through this manual and periodic updates. Provider suggestions have been incorporated in this manual. Feedback is always helpful in keeping the manual as up to date as possible. To share any ideas or comments, please call the Alliance Provider Services Department at **1.510.747.4510**.

**The Alliance Provider Updates Bulletin:** The Alliance periodically distributes provider letters, newsletters, memos, and updates with additional information to keep you informed. If you haven’t received these provider communications, or if you have ideas for topics that you would like to see covered, please call the Alliance Provider Services Department at **1.510.747.4510**.

**Provider Training Sessions:** The Alliance conducts training sessions throughout the year for providers and their staff. If you or your staff are interested, please call the Alliance Provider Services Department at **1.510.747.4510**.

**Pharmacy & Therapeutics (P&T) Committee:** The P&T Committee meets quarterly to review the drug formulary and make changes to the authorization review criteria. For more information, please call the Alliance Pharmacy Services Department at **1.510.747.4541**.



DEPARTMENT	PHONE NUMBER	ADDRESS	WEBSITE
Alameda Alliance for Health	Phone Number: <b>1.510.747.4500</b>  Toll-Free: <b>1.877.371.2222</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org</b>
Alliance Case & Disease Management (CMDM)	Toll-Free: <b>1.877.251.9612</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org</b>
Alliance Compliance Department	Toll-Free: <b>1.855.747.2234</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org</b>
Alliance Eligibility Line	Phone Number: <b>1.510.747.4505</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org</b>
Alliance Grievance and Appeals (G&A) Department	Phone Number: <b>1.510.747.4567</b>  Fax: <b>1.855.891.7258</b>	1240 South Loop Road Alameda, CA 94502	To file a grievance online, please visit: <b>www.alamedaalliance.org</b>
Alliance Health Programs	Phone Number: <b>1.510.747.4577</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org/live-healthy</b>
Alliance Member Services Department	Phone Number: <b>1.510.747.4567</b>  Toll-Free: <b>1.877.932.2738</b>  People with hearing and speaking impairments (CRS/TTY): <b>711/1.800.735.2929</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org/contact-us</b>  Email: <b>memberservices@alamedaalliance.org</b>
Alliance Pharmacy Services Department	Phone Number: <b>1.510.747.4541</b>  24-Hour Service: <b>1.855.508.1713</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org</b>

Questions? Call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.

DEPARTMENT	PHONE NUMBER	ADDRESS	WEBSITE
Alliance Provider Services Department	Phone Number: <b>1.510.747.4510</b> Fax: <b>1.855.891.7257</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedalliance.org/providers</b> Email: <b>providerservices@alamedaalliance.org</b>
Alliance Utilization Management & Authorizations	Phone Number: <b>1.510.747.4540</b> Fax: <b>1.877.747.4507</b>	1240 South Loop Road Alameda, CA 94502	<b>www.alamedaalliance.org</b>
24-Hour Interpreter Hotline (for interpreters by phone*):	Phone Number: <b>1.510.809.3986</b>		<b>www.alamedaalliance.org</b>
ACCESS Program Alameda County Behavioral Health Care Services	Toll-Free: <b>1.800.491.9099</b>	2000 Embarcadero Cove, Suite 400 Oakland, CA 94606	<b>www.acbhcs.org</b>
Advice Nurse Line	Medi-Cal Members: <b>1.888.433.1876</b> Group Care Members: <b>1.855.383.7873</b>		
Clinical Laboratory Outpatient Services: Quest Diagnostics	Toll-Free: <b>1.800.288.8008</b>		<b>www.questdiagnostics.com</b>
Dental Services (Group Care): Public Authority	Phone Number: <b>1.510.577.3551</b>		<b>www.acgov.org</b>

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.

DEPARTMENT	PHONE NUMBER	ADDRESS	WEBSITE
Dental Services (Medi-Cal): Denti-Cal	Toll-Free: <b>1.800.322.6384</b>  People with speaking impairments (TTY): <b>1.800.735.2922</b>		<b>www.denti-cal.ca.gov</b>
Durable Medical Equipment Provider: California Home Medical Equipment (CHME)	Toll-Free: <b>1.800.906.0626</b>		<b>www.chme.org</b>
Medi-Cal Rx administered by Magellan	Toll-Free: <b>1.800.977.2273</b>  TDD: 711		<b>www.medi-calrx.dhcs.ca.gov</b>
Mental Health Care Services: Beacon Health Options (Subcontracted Behavioral Health Provider for Outpatient Mental Health Services)	Toll-Free: <b>1.855.856.0577</b>		<b>www.beaconhealthstrategies.com</b>
Transportation Services	Toll-Free: <b>1.866.791.4158</b>		<b>www.alamedaalliance.org</b>
Vision Services (Group Care): Public Authority	Phone Number: <b>1.510.577.3551</b>		<b>www.acgov.org</b>
Vision Services (Medi-Cal): MARCH Vision	Toll-Free: <b>1.844.336.2724</b>		<b>www.marchvisioncare.com</b>

\*In-person interpreters are available for ASL and complex or highly sensitive appointments.

Please see Requesting Interpreter Services in this manual for details on how to submit a fax request.

Questions? Please call the Alliance Provider Services Department, Monday – Friday,  
7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.

## **Section 2: The Alliance Resources**

### **Alliance Provider Services Department**

The Alliance Provider Services Department is your primary link to the Alliance. A quick phone call to an Alliance Provider Relations representative can answer many of your questions about our policies and procedures.

The Alliance Provider Services Department provides information and support to all Alliance network providers about:

- Access
- Alliance promotional materials
- Authorization status trainings
- Benefits
- Claims/billing status
- Contract issues
- Interpreter services
- Member eligibility
- Office address, and provider directory changes
- PCP assignment
- Peer review
- Provider billing accounts
- Provider bulletins
- Provider credentialing, and re-credentialing
- Provider discharges
- Provider network inquiries
- Provider portal access
- Site reviews
- Transportation services

### **Alliance Member Services Department**

The Alliance Member Services Department helps manage member needs and concerns. The Alliance Member Services Department Call Center is specifically for members and member-related issues.

If a member has a question about their care or coverage, please encourage them to call:

Alliance Member Services Department

Monday – Friday, 8 am – 5 pm

Phone Number: **1.510.747.4567**

Toll-Free: **1.877.932.2738**

People with hearing and speaking impairments (CRS/TTY): **711/1.800.735.2929**

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



The Alliance Member Services Department can assist with:

- Changing a member's assigned primary care provider (PCP)
- Checking the status of a claim
- Checking the status of a prior authorization (PA) request
- Finding an in-network PCP or specialist
- Finding the location of an in-network pharmacy
- Health education materials and resources
- Learning more about plan benefits and services
- Mail order pharmacy information
- Referrals to community resources
- Reporting an issue or filing a grievance/appeal
- Requesting a reimbursement for covered drugs or services
- Requesting a replacement Alliance member ID Card
- Interpreter services
- Scheduling transportation for covered services
- Updating a member's contact information
- Verifying a member's eligibility

The Alliance Member Services Department provides printed materials for members such as our Combined Evidence of Coverage (EOC) and health education resources. Members can also learn more about our services and their coverage on our website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

The Alliance Member Services Department representatives can also help facilitate communication between members and providers.

For after-hours eligibility verification, please call the Alliance Eligibility Verification Line at **1.510.747.4505**, 24 hours a day, 7 days a week, or use the Online Provider Portal located through our website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## Section 3: Eligibility and PCP Choice

### Identifying Alliance Members

Each Alliance member is issued an Alliance member identification (ID) card with a 9-digit member number. Providers can also use the member's Client Identification Number (CIN) to identify members who are Medi-Cal beneficiaries.

#### ***ALLIANCE MEDI-CAL MEMBER ID CARD***

<p><b>Member ID Card</b> John Smith Member ID: 123456789 DOB: 11/19/1965 Sex: M Language: Spanish CIN: 90000000A Primary Care: Dr. Johnson Phone: (510) 000-0000 Effective: 12/09/2014</p>	<p><b>ALAMEDA Alliance FOR HEALTH</b> RxBIN: 063200 RxPCN: 60042 Group: MCAL</p>	<p><b>This card does not guarantee eligibility.</b> &lt;Provider Group (CHCN/CFMG)&gt; Provider Inquiries: (510) 000-0000 Claims: P.O. Box 0000 Alameda, CA 94501 Copays: OV \$0 ER \$0 RX \$0 Mental Health Care: Medi-Cal 1-800-491-9099 <a href="http://www.alamedaalliance.org">www.alamedaalliance.org</a></p>
<p><b>For Physicians, Medical Staff, &amp; Pharmacy:</b></p> <p>This card is for identification only. To verify eligibility, check <a href="http://www.alamedalliance.org">www.alamedalliance.org</a> or call (510) 747-4505</p> <p>Out-of-network emergency services will be reimbursed without prior authorization.</p>	<p><b>For Members:</b> Always carry this card with you. For day or after-hours and weekend care, call your doctor's office listed on the front of this card. Member Services can answer your questions and help you find or change your doctor. Call (510) 747-4567 (TTY 711 or 1-800-735-2929) <b>Emergency Care:</b> If you think you have an emergency, go to the closest emergency room or call 911. An emergency is a sudden health problem with severe symptoms that needs treatment right away.</p>	

**ALLIANCE GROUP CARE MEMBER ID CARD**

<p><b>Member ID Card</b></p> <p>Jane Smith          Member ID: 123456789          DOB: 8/19/1958          Sex: F Language: English          CIN: 90000000A          Primary Care: Dr. Johnson          Phone: (510) 000-0000          Effective: 12/09/2014</p> <p>RxBIN: 003585          RxPCN: 56350</p> <p>Group: IHSS</p>	<p><b>This card does not guarantee eligibility.</b></p> <p>Provider Inquiries: (510) 000-0000          Claims: P.O. Box 0000          Alameda, CA 94501          Copays: OV \$10 ER \$35 RX \$10G/\$15B INPT \$100          ACU \$5 CHIRO \$10          Mental Health Care: IHSS – (855) 856-0577  <a href="http://www.alamedaalliance.org">www.alamedaalliance.org</a></p>
<p><b>For Physicians, Medical Staff, &amp; Pharmacy:</b></p> <p>This card is for identification only.          To verify eligibility, check  <a href="http://www.alamedalliance.org">www.alamedalliance.org</a>          or call (510) 747-4505</p> <p>Out-of-network emergency services will be          reimbursed without prior authorization.</p>	<p><b>For Members:</b></p> <p>Always carry this card with you. For day or after-hours and weekend care, call your doctor's office listed on the front of this card.          Member Services can answer your questions and help you find or change your doctor. Call (510) 747-4567 (TTY 711 or 1-800-735-2929)</p> <p><b>Emergency Care:</b></p> <p>If you think you have an emergency, go to the closest emergency room or call 911. An emergency is a sudden health problem with severe symptoms that needs treatment right away.</p>

**MEDI-CAL BENEFITS IDENTIFICATION CARD (BIC)**



## **How to Verify Member Eligibility**

Your office is responsible for verifying member eligibility and authorization at the time of service.

There are several ways to verify a member's eligibility:

- For members who are Medi-Cal beneficiaries:  
Please call the State's Automated Eligibility Verification System (AEVS)  
Toll-Free: **1.800.456.2387**  
**[www.medi-cal.ca.gov/Eligibility/Login.asp](http://www.medi-cal.ca.gov/Eligibility/Login.asp)**
- For all Alliance line of businesses:  
Please call the Alliance Provider Services Department  
Monday – Friday, 7:30 am – 5 pm  
Phone Number: **1.510.747.4510**
- Alliance Automated Eligibility Verification Line:  
Please have your NPI or TAX ID number available  
24 hours a day, 7 days a week  
Phone Number: **1.510.747.4505**
- Provider Portal:  
Accessed through **[www.alamedaalliance.org](http://www.alamedaalliance.org)**

## **Provider Portal Instructions**

### ***ONLINE PROVIDER PORTAL***

The Alliance offers contracted providers access to our interactive website.

Through this website you can:

- Check claims status, and remittance advice statements
- Submit/check authorization status
- Submit a Provider Dispute Request (PDR)
- Verify member eligibility
- View the Alliance medication formulary for all lines of business
- View the Alliance Provider Directory
- View your gap-and-care reports (PCP only)
- View your roster with assigned members (PCP only)

Information on the website is updated every 24 hours directly from our internal system.

To use the online provider portal, you must first obtain a provider account:

- Visit the Alliance website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**, select Provider Portal located at the top right banner, then select the "Create Account" link on the provider portal page.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



- For assistance, please contact:

Alliance Provider Services Department  
Monday – Friday, 7:30 am – 5 pm  
Phone Number: **1.510.747.4510**  
Email: **providerservices@alamedaalliance.org**

## **Selecting a PCP**

The Alliance encourages members to participate in their health care by selecting a PCP from the provider network. Members can find a list of PCPs in their Alliance Provider Directories or online at **www.alamedaalliance.org**.

Members can choose a physician who is taking new members from the list of internal medicine, general medicine, family practice, pediatrics, and OB-GYNs (women can choose an OB-GYN as their PCP). An Alliance Member Services representative can help members find a PCP who knows their language or culture, or who is close to where they live or work.

Members can also choose a county or community clinic that is part of the Alliance network as their PCP. All Federally Qualified Health Centers (FQHC) in Alameda County are part of the Alliance Network. Members can go to any FQHC for medical care even if it is not part of the Alliance network.

The Alliance mails members a new ID card with their PCP's name and phone number within **10 business days** to confirm selection (automatic or voluntary).

Members may change their PCP by calling:

Alliance Member Services Department  
Monday – Friday, 8 am – 5 pm  
Phone Number: **1.510.747.4567**  
Toll-Free: **1.877.932.2738**  
People with hearing and speaking impairments (CRS/TTY): **711/1.800.735.2929**

When a member does not select a PCP in their first month of enrollment, the Alliance will assign a PCP based on member age, language, geographic location, and PCP capacity. A member's choice overrides automatic selection, and a member who has been automatically assigned will be prompted to call the Alliance Member Services Department if they prefer to be assigned to a different PCP. If the member is enrolled in both Medi-Cal and Medicare, they do not have to select a PCP.

## **Changing PCPs**

The Alliance values member empowerment and encourages members to find an in-network provider accepting new patients with whom they can build a rewarding primary care relationship. Members can change their PCP for any reason and at any time by calling the Alliance Member Services Department at **1.510.747.4567**.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.



Changes will be effective the first of the following month when the request is made. In some cases, a member may be added to a practice as long as the Alliance receives the assignment request before the **5th of the month**. If you have questions about a member's eligibility or assignment, please call the Alliance Provider Services Department at **1.510.747.4510**.

The Alliance Member Service Department will confirm PCP reassignment and the effective date by sending a confirmation letter and a new Alliance member ID card with the new PCP's name and phone number to the member within **10 business days**.

If a PCP leaves their practice or is no longer able to see patients for any reason, the Alliance Member Services Department will notify any affected members as soon as possible and assist them in establishing care with another provider.

## **Section 4: Provider Compliance**

The California Department of Health Care Services (DHCS) has established guidelines for the Medi-Cal managed care program. Providers should familiarize themselves with these guidelines to avoid sanctions, fines, or suspension of membership.

### **Travel Time and Distance Standards**

DHCS established Network Adequacy Standards to ensure adequate availability and accessibility of services to members. These standards include time and distance standards based on county population density.

For Alameda County, the following standards have been established based on provider type:

- 10 miles or 30 minutes from the member's residence for adult and pediatric PCPs, OB/GYN primary care, and pharmacies.
- 15 miles or 30 minutes from the member's residence for adult and pediatric specialty care, hospitals, and adult and pediatric mental health providers.

Alternative access standards may be approved by DHCS.

### **Alameda Alliance for Health Marketing Materials**

#### ***PROMOTIONAL MATERIALS***

If you are interested in obtaining brochures or promotional materials, please call the Alliance Provider Services Department at **1.510.747.4510**.

### **Approved Medi-Cal Marketing Methods**

As a health care provider, you may:

- Actively encourage your Medi-Cal patients to seek out and receive information and enrollment material that will help them select a Medi-Cal health care plan for themselves and their family.
- Provide patients with the phone number of the outreach and enrollment or Member Services Departments of the plan(s) with which you are affiliated.
- Provide patients with the toll-free phone number of the DHCS, Health Care Options (HCO) enrollment contractor (toll-free: **1.800.430.4263**) and inform them of locations and times when they may receive individual or group assistance about selecting a health plan or provider. This number is specifically for beneficiary questions. HCO provides enrollment and disenrollment information, activities, presentations, and problem resolution functions.
- Tell your Medi-Cal patients the name of the health plan or plans with which you are affiliated.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **Discharging Members**

To discharge a member, please call the Alliance Provider Services Department to review the Alliance policy and procedures.

## **Provider Directory Changes**

Keeping your information up-to-date and accurate is important to ensure our provider directory information is correct and that we maintain accurate information about your demographics.

Changes that should be reported include, but are not limited to, the following:

- Site name
- Site location (including suite numbers and floors)
- Phone and fax number(s)
- Hours of operation
- Accepting member status
- Member age limitations
- Languages spoken by office staff and providers

The Alliance requests that you notify us immediately of any updates that need to be made to Alliance Provider Directory by calling the Alliance Provider Services Department at **1.510.747.4510**.

## **Provider Data Requirements (274)**

The Alliance is required to report multiple provider data elements for all contracted providers to DHCS monthly. The report is called a 274 and is a comprehensive and standardized file layout and protocol used by all Medi-Cal plans to submit provider network data to DHCS. The data will be used by DHCS for network assessments, data analytics, and other federal and state reporting requirements.

The preferred method to submit to the Alliance is through a file format called a Flat File. The Alliance can provide you with a Flat File template and help get you set up with how to send files electronically to allow providers to report required changes.

The required information includes, but is not limited to:

- Provider full name
- Provider NPI
- Gender
- Date of birth
- Specialty
- Provider license number
- DEA #
- Board certification

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- Site NPI and tax ID of where the providers practice
- Site location of where the providers practice
- Hospital privileges
- Provider additions and terminations

## Part 2. Providing Services

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### Section 5: Primary Care Provider (PCP) Roles and Responsibilities

It is the primary care provider (PCP) who acts as the primary case manager to all assigned members. This means the PCP must follow case management protocols as set forth in this section.

#### PCP Services

##### **CARE MANAGEMENT PROTOCOL**

As a PCP in the Alliance network, we ask that you follow care management protocols as set forth in this manual for the following areas:

- Check the rosters posted on the provider portal monthly to know which members are assigned to you as their PCP.
- Coordinate and direct appropriate care for members by means of an initial diagnosis and treatment, and obtain second opinions and consultation(s) with contracting specialists as necessary.
- Coordinate member discharge planning and referral to long-term care or other services with the hospital and the Alliance.
- Establish procedures to contact members when they miss appointments, require rescheduling for additional visits, or confirm referrals to a specialist for care.
- Follow-up on referrals made to specialists to assess the results of the care, medication regimen, and special treatment, and ensure continuous care.
- Provide a medical history and physical examination as appropriate:
  - For new Group Care members: Provide an Initial Health Assessment (IHA) within **120 calendar days** of the member's effective date of enrollment.
  - For new Medi-Cal members: Provide an IHA and a Staying Healthy Assessment (SHA) within **120 calendar days** of assignment for patients of all ages.
- Provide the specified scope of services to members.
- Refer, as necessary, certain medically necessary nonemergency hospital specialty services, and diagnostic testing.

## OVERALL GOALS OF CARE MANAGEMENT

The Alliance will assist our PCPs in achieving these overall case management goals:

- Coordinate care of members in order to achieve positive care results.
- Discourage inappropriate use of pharmacy and drug benefits.
- Facilitate patient understanding and use of disease prevention practices and early diagnostic services.
- Provide a structure for physicians to manage services by providing performance data on utilization, cost, and quality.
- Provide National Committee for Quality Assurance (NCQA)-compliant Case and Disease Management for members.
- Reduce, where appropriate, the use of emergency services as a source of nonemergency care.

## ACCESS STANDARDS FOR PROVIDERS

Please see below for a table detailing required DHCS, DMHC, NCQA, and Alliance required response times:

PRIMARY CARE PHYSICIAN (PCP) APPOINTMENT	
Appointment Type:	Appointment Within:
Non-Urgent Appointment	10 Business Days of Request
OB/GYN Pre-natal Appointment	10 Business Days of Request
Urgent Appointment that <i>requires</i> PA	96 Hours of Request
Urgent Appointment that <i>does not</i> require PA	48 Hours of Request

SPECIALTY/OTHER APPOINTMENT	
Appointment Type:	Appointment Within:
Non-Urgent Appointment with a <b>Specialist</b> Physician	15 Business Days of Request
Non-Urgent Appointment with a <b>Behavioral Health</b> Provider	10 Business Days of Request
Non-Urgent Appointment with an <b>Ancillary Service</b> Provider	15 Business Days of Request
OB/GYN Pre-natal Appointment	15 Business Days of Request
Urgent Appointment that <i>requires</i> PA	96 Hours of Request
Urgent Appointment that <i>does not</i> require PA	48 Hours of Request

ALL PROVIDER WAIT TIME/TELEPHONE/LANGUAGE PRACTICES	
Appointment Type:	Appointment Within:
In-Office Wait Time	60 Minutes
Call Return Time	1 Business Day
Time to Answer Call	10 Minutes
Telephone Access – Provide coverage 24 hours a day, 7 days a week.	
Telephone Triage and Screening – Wait time not to exceed 30 minutes.	
Emergency Instructions – Ensure proper emergency instructions.	
Language Services – Provide interpreter services 24 hours a day, 7 days a week.	

### **PA = Prior Authorization**

Urgent Care refers to services required to prevent serious deterioration of health following the onset of an unforeseen condition or injury (i.e., sore throats, fever, minor lacerations, and some broken bones).

Non-urgent Care refers to routine appointments for non-urgent conditions.

Triage or Screening refers to the assessment of a member's health concerns and symptoms via communication with a physician, registered nurse, or other qualified health professional acting within their scope of practice. This individual must be trained to screen or triage and determine the urgency of the member's need for care.

Shortening or Extending Appointment Timeframes: The applicable waiting time to obtain a particular appointment may be extended if the referring or treating licensed health care Practitioner, or the health professional providing triage or screening services, as applicable, acting within the scope of his or her practice and consistent with professionally recognized standards of practice, has determined and noted in the Member's medical record that a longer waiting time will not have a detrimental impact on the health of the member.

### **INITIAL HEALTH ASSESSMENT (IHA)**

All new Alliance members must receive an Initial Health Assessment (IHA). The IHA consists of a history, review of systems, physical exam, preventive services, and the Individual Health Education Behavioral Assessment (IHEBA). The Alliance recommends providers use the Staying Healthy Assessment IHEBA (see below). For Medi-Cal members, this must be completed within **120 days** of enrollment. During site audits, a PCP's compliance with this standard will be assessed. On an annual basis, the Alliance's Quality Improvement Department conducts a random audit to determine if all components of the IHA have been completed, and whether the completion followed the guidelines.

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The IHA should consist of an evaluation sufficient to enable the PCP to assess the acute, chronic, and preventive health needs of the member and assume responsibility for effective management of the member's health care service needs.

For children, the IHA must consist of the elements found in the most recent periodicity schedule recommended by the American Academy of Pediatrics (AAP). PCPs shall provide preventive health visits for all members less than **21 years of age** at times specified by the most recent AAP periodicity schedule. The schedule requires more frequent visits than does the periodicity schedule of the Child Health and Disability Prevention (CHDP) program. The IHA must bring members up to date with all currently recommended preventive services and include all assessment components required by the CHDP for the lower age nearest to the current age of the child.

**Codes that qualify for IHA:**

PROVIDER	CPT CODE	DESCRIPTION
PCP	99201 – 99205	Office or other outpatient visit for the evaluation and management of new patient
PCP	99211-99215	Office or other outpatient visit for the evaluation and management of established patient with PCP but new to the Alliance
PCP	99381-99387	Comprehensive Preventive Visit and management of a new patient
PCP	99391-99397	Comprehensive Preventive Visit and management of an established patient with PCP but new to the Alliance
OB/GYN	59400, 59510, 59610, 59618	Under Vaginal Delivery, Antepartum and Postpartum Care Procedures, Under Cesarean Delivery Procedures, Under Delivery Procedures After Previous Cesarean Delivery, Under Delivery Procedures After Previous Cesarean Delivery
Nursing Home	99304-99306	New or Established Patient Comprehensive Nursing Facility Assessments

**STAYING HEALTHY ASSESSMENT**

All Alliance members must complete the Staying Healthy Assessment (SHA) or an approved alternate IHEBA as a part of the IHA and periodically thereafter. The SHA helps identify member's high-risk behaviors, like smoking or poor diet. Members benefit from anticipatory guidance and health education referrals targeted to their questions and current behaviors.

All PCPs must complete a one-time training on how to implement the SHA. The Alliance also offers providers culturally relevant referrals and handouts on Staying Healthy topics in our threshold languages.

For SHA training and resources please visit the Alliance website at [www.dhcs.ca.gov/formsandpubs/forms/pages/stayinghealthyassessmentquestionnaires.aspx](http://www.dhcs.ca.gov/formsandpubs/forms/pages/stayinghealthyassessmentquestionnaires.aspx).

## **Providing Capitated Services to Alliance Group Care Members**

### ***SUBMISSION OF CAPITATED SERVICE ENCOUNTERS***

PCPs are capitated for their Alliance Group Care members. Capitated services are the PCP's contractual responsibility. These services are covered by the monthly capitation payment. Capitated services **DO NOT** require prior authorization (PA).

PCPs must submit capitated services as claims/encounters to the Alliance with the usual and customary billed charges listed. Reported capitated services will appear along with non-capitated services (fee-for-service (FFS) claims) in a Remittance Advice to the PCP, although no payment will be associated with such services.

### ***CAPITATED SERVICES TO A NON-ASSIGNED MEMBER***

FFS billing of capitated services is limited to certain situations.

Providers who perform a capitated service for an Alliance member who is not assigned to that provider will only be paid for that service on an FFS basis during the following circumstances:

- Annual gynecological examination
- Diagnosis and treatment of a sexually transmitted disease
- Family planning services
- HIV testing and counseling
- Minor consent services
- Prenatal care (a global fee is paid for this type of care, except for specific procedures)
- The member is not assigned to any PCP
- Vaccination serum, except those covered by the Vaccines for Children (VFC) program

## **Non-Capitated Services**

PCPs may provide services within their scope of practice that are not included in the capitation contract for their assigned members. These services are paid on an FFS basis.

Among the non-capitated services that PCPs can provide to their members on an FFS basis are preventive health care visits and inpatient care services.

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## **Coordination of Care**

### ***MENTAL HEALTH SERVICES***

With respect to mental health care, the assigned PCP is responsible for:

- A mental health assessment as part of the IHA.
- Basic assessment of mental disorders.
- Documenting all mental health services provided to members in the medical chart, including referrals to out-of-plan mental health providers.
- Identifying general medical conditions that cause or exacerbate psychological symptoms.
- Ruling out mental disorders due to a general medical condition.
- Ruling out substance-related disorders.

PCPs are also responsible for following these conditions when they occur in the course of treating a medical illness:

- Psychological factors affecting a medical condition.
- Psychological symptoms precipitated by medications being used to treat medical conditions.

As a PCP, you can refer our members to obtain mental health services from a specialty provider for the conditions you are treating.

### ***SUBSTANCE USE TREATMENT SERVICES***

#### **Identifying Need for Treatment**

The PCP and prenatal provider have primary responsibility, through screening and examinations, for identification of Alliance members requiring substance use treatment services.

PCPs must also be alert to chemical dependency indicators when treating members for other medical conditions and during required preventive health assessments.

#### **Referrals**

Providers are responsible for directly referring members identified with an alcohol or drug problem to the appropriate treatment program. Providers should counsel and inform members regarding alcohol and drug use and about services available to them. Providers may choose to call the program themselves or may request that the member contact the program directly. Members may also self-refer to treatment services.

#### **SUBSTANCE USE TREATMENT SERVICES**

##### **Medi-Cal Members**

Alameda County Behavioral Health Plan (ACCESS) Helpline

Phone Number: **1.510.346.1000**

Toll-Free: **1.800.491.9099**

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## **SUBSTANCE USE TREATMENT SERVICES**

### **Alliance Group Care Members**

Beacon Health Options

Toll-Free: **1.855.856.0577**

PCPs maintain responsibility for basic case management of the Alliance member, including preventive health care and medical services unrelated to the alcohol and drug treatment services. The PCP may also refer the member to the Alliance for case management and substance use screening services.

PCPs should communicate with the alcohol and drug treatment programs in order to coordinate the care of their members in treatment.

Alliance providers should provide medical records to alcohol and drug treatment services, as requested, when members are referred and enter care. Medical records transfer must be in accordance with state law and professional practice standards to ensure confidentiality.

## ***SERVICES FOR MEMBERS WITH DEVELOPMENTAL DISABILITIES***

### **Developmental Disability Referrals**

The Alliance coordinates referrals to the Regional Center of the East Bay (Regional Center) for members with developmental disabilities.

### **Referral Guidelines**

Providers or family members may refer directly to the Regional Center. The family must make the intake appointment with the Regional Center. PA is not required.

Providers must:

- Document the referral to Regional Center in the member's medical record; and
- Provide necessary medical evaluations and obtain written consent prior to releasing any medical information directly to the Regional Center.

### **Regional Center Location**

The regional center in Alameda County is called the Regional Center of the East Bay.

For more information, please contact:

Regional Center of the East Bay  
Creekside Plaza  
500 Davis Street, Suite 100  
San Leandro, CA 94577  
Phone Number: **1.510.618.6100**  
Fax: **1.510.678.4100**

## **PCP Role in Supervision of Mid-Level Clinicians**

### ***REQUIREMENTS FOR MID-LEVEL CLINICIANS***

PCPs who employ or contract with mid-level clinicians in their practices are responsible for making sure that the mid-level clinicians meet the standards set forth by the clinician's licensing authority. The PCP, as the clinician supervisor, is also responsible for developing the protocols under which the clinician will practice. They must meet certain qualifications and standards in order to be credentialed by the Alliance. This helps ensure quality care for members.

### ***SCOPE OF PRACTICE***

A supervising physician must define the scope of practice for each mid-level clinician working in the practice. The scope of practice may vary depending on the skills of the individual clinician, but in all cases must comply with applicable state laws.

### ***CREDENTIALING***

Any mid-level clinician who provides care to Alliance members must be credentialed by the Alliance.

### ***DEFINITIONS OF MID-LEVEL CLINICIANS***

Mid-level clinicians are non-physician medical practitioners, including:

- Certified nurse-midwives
- Nurse practitioners
- Physician assistants

**Continuing Education:** All mid-level clinicians must maintain skills in their field of practice through continuing medical education programs, following the guidelines of their respective certifications. The supervising physician should monitor this process.

**Supervision:** All mid-level clinicians must practice under supervision of a licensed physician and through following medical policies and protocols established by the physician.

### ***CHARTS***

Whenever care is provided by the mid-level clinician, the medical record must be reviewed and co-signed by the supervising physician in accordance with the requirements set forth by the clinician's licensing board. The Alliance will audit for compliance with this standard.

### ***PCP/MID-LEVEL CLINICIAN RATIOS AND MEMBER CAPACITY***

The number of non-physician medical practitioners who may be supervised by a single PCP is limited to the following:

- Four (4) nurse practitioners;
- Four (4) nurse-midwives;
- Four (4) physician assistants; or
- Four (4) of the above individuals in any combination

The ratio is based on each physician at any one time/shift regardless of full-time equivalent status. A PCP, an organized outpatient clinic, or a hospital outpatient department cannot utilize more non-physician medical practitioners than can be supervised within these stated limits.

The ratio is based on each physician, not the number of offices. A PCP, an organized outpatient clinic, or a hospital outpatient department cannot utilize more non-physician medical practitioners than can be supervised within these stated limits.

### ***AFTER-HOURS SERVICE***

Mid-level clinicians may participate in the after-hours call network; however, the supervising physician must also be available for consultation when the mid-level is on-call.

The provider may also refer members to the toll-free Alliance Nurse Advice Line, accessible 24 hours a day, 7 days a week:

Medi-Cal Members: **1.888.433.1876**

Group Care Members: **1.855.383.7873**

### ***DISCLOSURE***

Members must be informed when a practitioner is a mid-level clinician and must have the opportunity to request a physician if they wish.

### ***QUALITY AND UTILIZATION MANAGEMENT***

Contracted organizations are responsible for adherence to contractual obligations and Alliance quality standards when assuming delegation for Utilization Management (UM) and Quality Improvement (QI). The Alliance maintains responsibility for the overall adherence to quality and utilization standards for Alliance members.

Provider responsibilities include the following:

- Completion of corrective action plans as required to improve performance.
- Prompt response to plan requests for medical records or additional information.
- Participation in provider and member satisfaction and timely access surveys.
- Cooperation with Alliance annual audits such as: CMS, DHCS, DMHC, and ad hoc state and other regulatory audits.
- Development, enactment, and monitoring of a UM and/or QI Plan that meets contractual requirements and Alliance standards.
- Provide a representative to the Alliance Health Care Quality Committee (HCQC).

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- Provision of encounter information and access to medical records for Alliance members.
- Submission of quarterly reports, annual evaluations, and work plans.
- Submission of UM reports based on the delegation agreement.

### ***FACILITY SITE REVIEWS (FSR) — MONITORING OF FSR***

Facility Site Reviews (FSR) are the application of prescribed standards by the California Department of Health Care Services (DHCS)/Medi-Cal Managed Care Division (MMCD) for all primary care provider (PCP) sites.

All network PCP sites receive:

- An Initial FSR as part of the credentialing process.
  - New PCP sites must pass their Initial FSR before receiving Alliance member assignments.
- An Initial Medical Record Review (MRR) conducted approximately **three (3) to six (6) months** following the first member assignment.
- A Periodic Full Scope FSR and MRR conducted every **36 months** thereafter.
- An Interim Monitoring Review conducted between each Periodic Full Scope FSR and MRR via an attestation form or on-site review.
- The FSR and MRR are conducted by DHCS-Certified Nurse Reviewers and scored with standardized DHCS guidelines and audit tools.

The purpose of the reviews is to ensure that:

- Appropriate primary health care services are provided;
- Processes that support continuity and coordination of care are consistent;
- Patient safety standards and practices are maintained; and
- Clinics operate in compliance with applicable local, state, and federal laws and regulations.

Regulatory agencies may conduct periodic oversight audits of the Alliance's facility site review process. It is the expectation of the Alliance that selected providers will participate in this process.

Each delegate's contract addresses the responsibility for facility site reviews. If the delegated entity is responsible for review of their provider sites, summary reports must be provided to the plan that includes the number of sites reviewed, deficiencies, and any corrective action plans.

### ***POTENTIAL QUALITY ISSUE (PQI)***

A potential quality issue (PQI) is an individual occurrence or occurrences with a potential or suspected deviation from accepted standards of care, including diagnostic or therapeutic actions or behaviors that are considered the most favorable in affecting the patient's health outcome, which cannot be affirmed without additional review and investigation to determine whether an actual quality issues exists.

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The PQI review, evaluation, and monitoring process applies to all providers rendering services to Alliance members/enrollees on the plan's behalf, within all care settings. QI has the responsibility for ensuring and maintaining a timely PQI review process from the receipt of all PQI through investigation, appropriate intervention, and resolution. The Alliance considers this system process a critical component of the QI Program.



## Section 6: Utilization Management

### Overview

The Alliance Utilization Management (UM) Department helps ensure the delivery of high-quality, cost-effective health care for our members.

The Alliance UM Department serves to accomplish the following goals:

- Ensure that members receive the appropriate quantity and quality of health care service(s).
- Ensure that service(s) is delivered at the appropriate time.
- Ensure that the care setting in which the service(s) is delivered is consistent with the medical needs of the member.

The Alliance UM Department decisions are based only on the existence of coverage and appropriateness of care and service. The Alliance does not reward or incentivize practitioners or other individuals for issuing denials of coverage, service, or care. There are no financial incentives for the Alliance UM Department to make decisions that would result in underutilization.

### **SCOPE OF UM REVIEWS**

The Alliance UM Department includes appropriately licensed health care professionals to make decisions on provider requests for authorization of services. Authorization decisions are based on eligibility, evidence of coverage, and medical necessity. The Alliance only allows a licensed physician to deny or modify requests for authorization of health care services for reasons of medical necessity.

The Alliance uses a variety of sources to assist in making determinations for care.

The Alliance applies the following policies and/or guidelines:

- Evidenced-based clinical guidelines
- External specialist review
- Alameda Alliance Policy and Procedures for Utilization Management review
- MCG® clinical guidelines (Milliman Care Guidelines)
- Medi-Cal Policy Guidelines and All Plan Letters
- Member's Evidence of Coverage (benefit coverage)

All decisions to modify or deny authorization requests are made by an Alliance Medical Director.

## **COMMUNICATION AND AVAILABILITY OF UM STAFF TO MEMBERS AND PRACTITIONERS**

### **Peer-to-Peer Discussions**

During the course of a utilization review, Alliance Medical Directors are available for peer-to-peer discussions with physicians to support evidenced-based care for our members.

**PLEASE NOTE:** An adverse review determination cannot be overturned as a result of the discussion. If an adverse determination still needs to be overturned, the requesting physician will need to follow-up by filing an appeal.

### **Outpatient (Ambulatory) Services**

The Alliance provides covered medical benefits for outpatient (ambulatory) services.

These services include, but are not limited to:

- Chiropractic
- Podiatry
- Rehabilitative and habilitative (therapy) services and devices:
  - Acupuncture
  - Audiology
  - Occupational therapy
  - Speech therapy

For a list of services and authorization requirements, please refer to the *Alameda Alliance for Health Referral and Prior Authorization (PA) Grid for Medical Benefits for Directly Contracted Providers* at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

### **Standing Referrals**

The Alliance has processes in place by which an enrollee may receive a standing referral (SR) to a Specialist or Specialty Care Center (SCC). A standing referral allows a member to see a Specialist without needing new referrals for each visit when the condition may need an extended period of treatment. Standing Referrals may be granted up to a maximum of 12 months. The primary care physician or specialist will decide when a member meets the guidelines for a standing referral.

**Potential conditions for which you may want to consider a request for a Standing Referral when accessing out-of-network services** include, but are not limited to:

- Significant cardiovascular disease
- Asthma requiring specialty management
- Diabetes requiring Endocrinologist management
- Chronic obstructive pulmonary disease
- Neurological conditions such as multiple sclerosis, uncontrolled seizures
- Gastrointestinal conditions such as severe peptic ulcer, chronic pancreatitis
- Hepatitis C

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- Lupus
- HIV/AIDS
- Cancer
- Renal failure
- Cystic fibrosis
- Chronic wound care
- Rehab for major trauma, extensive surgery

**Potential conditions to consider for a Standing Referral when accessing in-network services** include, but are not limited to:

- Chronic wound care
- Burn Care
- Podiatry

**What information should be included with your request?**

- Specify that you are requesting a Standing Referral
- Diagnosis
- Plan of care
- Anticipated length of treatment

### **Frequency of visitsAuthorizations Requirements**

The Alliance requires contracted providers to obtain authorization before the rendering of services.

The following services require authorization for payment:

- All out-of-network services
- Certain radiology, nuclear medicine, and outpatient services and procedures
- Elective inpatient admissions
- Emergency inpatient admissions
- Skilled nursing/rehabilitation admissions

A complete list of service types and procedures requiring authorizations is available at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Claims may not be reimbursed if a rendering provider does not receive an authorization approval from the Alliance or one of our delegated partners before rendering services.

The Alliance will only accept a PA Request form from the treating provider who determined medical necessity for the requested services or procedure.

The treating provider is defined as the PCP or specialty clinician who is currently providing care to the member. This includes attending clinicians at a hospital or skilled nursing facility responsible for the member's discharge planning.

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### ***NOTIFICATION REQUIREMENTS FOR ACUTE INPATIENT CARE***

Contracted facilities must notify the Alliance within **24 hours** of an acute admission. Non-contracted facilities must notify the Alliance as soon as the member's medical condition has been stabilized per California Health and Safety Code Section 1261.8.

All facilities, contracted and non-contracted, must notify the Alliance within **24 hours** of a change in the level of care or discharge from facility.

Upon request, facilities must submit clinical information to the Alliance UM Department by the end of the next business day from the time of the request.

Admission notifications should be faxed to the Alliance UM Department at **1.855.313.6306**. Clinical information can be faxed to **1.855.891.7409**.

Notifications and clinical notes received outside of the above time frames may result in a denial of the authorization for service and payment.

### ***PROCESS FOR REQUESTING AUTHORIZATION***

Unless otherwise indicated, the information provided in this section applies to both contracted and non-contracted providers providing care for an Alliance member assigned to a PCP. Providers are expected to adhere to the process below.

### ***ELECTRONIC SUBMISSION OF AUTHORIZATION REQUESTS***

Providers can complete electronic submission of outpatient or IP pre-elective authorization requests by using the Alliance Provider Portal.

Login to the Alliance Provider Portal using Google Chrome and follow these steps:

- Step 1. Click on Submit Authorizations under Authorization quick link.
- Step 2. Click on "select a form" and choose appropriate drop down:
  - Inpatient Authorization (elective procedures only)
  - Outpatient Authorization
- Step 3. Enter all required fields as directed in this section.
- Step 4. Attach medical records to avoid further delay of review or possible denial of services.
- Step 5. Click submit request once you are ready to submit.

Providers can obtain a PA Request form from the Alliance through any of the following:

- Alliance Provider Services Department: **1.510.747.4510**
- Alliance UM Department: **1.510.747.4540**
- Online: **[www.alamedaalliance.org](http://www.alamedaalliance.org)**
  - Click on the link for 'Provider Portal'
  - After you sign in, you will be able to download the form
- Online: **[www.alamedaalliance.org/providers/provider-forms](http://www.alamedaalliance.org/providers/provider-forms)**

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### ***PRIOR AUTHORIZATION (PA) SUBMISSION***

Confirm member eligibility with the Alliance:

- Alliance Member Services Department: **1.510.747.4505**
- Online: **www.alamedaalliance.org**
  - Select 'Provider Portal – check member eligibility'
  - Select an Alliance participating provider.
- Online: **www.alamedaalliance.org**
  - Select 'Provider Portal – check the provider directory'
- Complete all items on the PA request form or as indicated on the Alliance Provider Portal for the requested service.
- Follow separate processes for durable medical equipment (DME) and Prescription Drug Prior Authorizations (PA) requests. Please see below for additional instructions on submitting authorizations for these other services.
- To ensure timely processing, please indicate whether the request is "Urgent," "Routine," or "Retro" on the PA request.
- Submit the PA request form to the Alliance UM Department through one of the following methods:

Mail: Alameda Alliance for Health  
Medical Services Department  
1240 South Loop Road  
Alameda, CA 94502

Phone: **1.510.747.4540** (does not require form)  
Fax: **1.877.747.4507**

**PLEASE NOTE:** Always retain a copy of the completed PA request in the patient medical record.

### ***CONCURRENT AUTHORIZATION SUBMISSION***

1. Confirm member eligibility with the Alliance:
  - Phone Number: **1.510.747.4505**
  - Online: **www.alamedaalliance.org**
    - Select 'Provider Portal' and log in to check member eligibility
2. Fax hospital face sheet and census report and all relevant clinical information to **1.855.313.6306**
3. Fax changes to level of care and daily updated clinical information to **1.855.891.7409**

### ***AUTHORIZATION NOTIFICATION OF DETERMINATIONS DECISIONS***

An authorization number, along with any quantity and date limits, will be given for all authorizations, regardless of determination status.

Notification is provided within **24 hours** of the review determination. For PA requests, both members and requesting providers are notified. For concurrent inpatient requests,

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.



the requesting facility is always notified. Group Care members will receive a notification if the request is denied.

Providers are notified electronically. Members who receive notifications will be mailed a letter.

Members with questions about their notification, or need language assistance may call:

Alliance Member Services Department:

Phone Number: **1.510.747.4567**

Toll-Free: **1.877.932.2738**

People with hearing and speaking impairments (CRS/TTY): **711/1.800.735.2929**

To request a copy of the criteria used in the review, providers may contact:

Alliance Authorization Department

Phone Number: **1.510.747.4540**

Fax: **1.877.747.4507**

Provider confidentiality will be maintained regarding releasing criteria related to a specific case.

### ***AUTHORIZATION REVIEW TIMELINESS STANDARDS***

The Alliance processes authorization requests in a timely manner and in accordance with regulatory requirements.

The Alliance will make a determination status within the following time frames:

REQUEST TYPE	MEDI-CAL	GROUP CARE
Urgent	72 hours	72 hours
Routine	5 business days	5 business days
Concurrent	24 hours	24 hours
Retrospective/Post Service	30 calendar days	30 calendar days

When there is insufficient information to support a determination decision, the request will be deferred for a total of **14 calendar days** from the initial date the authorization request was received while additional information is gathered from the requesting provider. The Alliance will notify the provider and the enrollee, in writing, that a decision cannot be made within the required time frame, and specify the information needed. The Alliance will specify the anticipated date on which a decision may be rendered in accordance with regulatory time frames. If the provider has not submitted the requested medical information by the stated deadline, the request may be denied.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

A request for an elective (non-urgent) surgery or treatment submitted urgently due to imminent date of service is not considered to be urgent. Urgent request should only be used when care is needed within **24-72 hours** or the member is at risk for serious harm should care be delayed. Inappropriate use of the “urgent” category will be monitored.

### **Retrospective/Post-Service Process**

Retrospective/post-service review is the process in which utilization review is used to determine medical necessity or coverage under the health plan benefit after health care services or supplies have been provided to a member.

The Alliance does not require a PA for emergency or urgent services.

To obtain a PA for non-emergency or non-urgent services, the Alliance offers access to submit requests through the Alliance UM Department, 24 hours a day, 7 days a week.

Alliance UM Department

Phone Number: **1.510.747.4540**

To submit a request via E-Fax line: **1.855.891.7174**

The Alliance maintains and publishes a list of services that require PA. The list is accessible at **[www.alamedaalliance.org/providers/authorizations](http://www.alamedaalliance.org/providers/authorizations)**.

Requests are reviewed based on Alliance policies and established practices for medical necessity.

Non-emergent/urgent post-service requests submitted within **30 calendar days** of the date of service will be reviewed by the Alliance UM Department for medical necessity. Turnaround times for review will follow state regulatory guidelines.

**PLEASE NOTE:** Retrospective/post-service requests are not urgent and will not be processed as such. Requests for services that do not meet the criteria above are subject to denial as no authorization has been obtained.

### ***TRACKING AND MONITORING OF SERVICES AUTHORIZED***

The Alliance tracks and monitors services authorized to specialists, including open or unused approved authorizations. This process is in place to ensure that services authorized are utilized within the authorized time duration. A monitoring report will be reviewed to evaluate whether there are access constraints for certain providers and specialties, as well as to identify any members who continuously do not utilize approved authorizations for possible referral to case management to help coordinate their care.

### ***DELEGATION OF UM TO MEDICAL GROUPS***

Members may be assigned to a PCP who is not directly contracted with the Alliance who belongs to one of the following medical groups:

- Children First Medical Group (CFMG) – Medi-Cal only



- Community Health Center Network (CHCN) – Medi-Cal and Group Care
- Kaiser Foundation Health Plan (Kaiser) – Medi-Cal only

Our medical groups adhere to the same regulatory standards for UM as outlined above. With some exceptions, a provider serving an Alliance member as part of a medical group must verify authorization rules and obtain any required authorizations from the medical group. Providers can verify a member's group assignment by using one of the Alliance's eligibility verification methods.

### ***DURABLE MEDICAL EQUIPMENT (DME)***

The Alliance contracts with California Home Medical Equipment (CHME) for authorization management and servicing for the majority of durable medical equipment (DME) services to all members in all medical groups, except Kaiser.

CHME manages the following service categories:

- Breast pumps
- Home respiratory equipment
- Hospital beds /decubitus care equipment
- Incontinence supplies
- Lymphedema products
- Medical supplies; wound care, ostomy and urological supplies
- Nutritional supplements and feeding supplies
- Other home medical supply needs
- Wheelchairs, walkers, canes, and other ambulatory aids

PA requests for DME should be directed to CHME for processing.

Please ensure that CHME has the following information to promptly review the request:

- Order/prescription from the prescribing provider
- Settings for oxygen and PAP devices
- Member's height and weight
- Diagnosis for equipment
- Delivery address (equipment cannot be delivered to a P.O. Box)
- Progress notes related to the equipment
- Test results such as oxygen saturation and/or ABGs

A complete list of services managed by CHME is available at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

For services excluded from CHME's management, the Alliance contracts with a select group of providers. Providers should submit a PA request directly to the Alliance UM Department for these excluded services for all members in all medical groups, except Kaiser. A list of services excluded from CHME and preferred alternate vendors is available at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



## ***DIVISION OF UM RESPONSIBILITY WITH ALLIANCE MEDICAL GROUPS AND VENDORS***

This grid is meant to direct providers to submit prior authorizations to the correct entity.

<b>DELEGATED MEDICAL GROUP/VENDOR</b>	<b>PHONE</b>	<b>FAX</b>	<b>WEBSITE</b>
Children First Medical Group (CFMG)	<b>1.510.428.3154</b>	<b>1.510.450.5868</b>	<b>www.childrenfirstmedicalgroup.org</b>
Community Health Center Network (CHCN)	<b>1.510.297.0220</b>	<b>1.510.297.0222</b>	<b>www.chcnetwork.org</b>
California Home Medical Equipment (CHME)	<b>1.650.357.8550</b>	<b>1.650.931.8928</b>	<b>www.chme.org</b>  To send orders by email: <b>orders@chme.org</b>
Beacon Health Options	<b>1.855.856.0577</b>	<b>1.781.994.7600</b>	<b>www.beaconhealthoptions.com</b>

For further details about the authorization review process for specific services, please visit **www.alamedaalliance.org/providers**.

## **Provider-to-Provider Communication**

In order to ensure coordinated care when referring members for specialty services, the following communication and documentation guidelines must be followed.

### ***PCPs***

Provide the specialist with the following information:

- Condition/reason for referral
- Document the referral in the member's medical record
- Member's name/Alliance member ID number
- Member's preferred language
- PCP's name
- Provide the member with the referral information
- Refer to network providers only – check the most recent online provider directory for a complete listing of current Alliance specialists
- Relevant clinical information

### ***SPECIALISTS***

- Document the referral information in the member's medical record
- Provide regular feedback to the PCP
- Verify the member's eligibility at the time of service

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.

- Verify the referral from the member's PCP or obtain authorization from the Alliance for services requiring prior authorization (PA)

## **Major Organ Transplants**

Effective January 1, 2022, Alliance will cover the Major Organ Transplant (MOT) benefit for adult and pediatric transplant recipients and donors.

Medi-Cal members identified by Alliance providers as potential candidates for major organ transplant procedures should be promptly referred to Medi-Cal-approved Center of Excellence (COE) for evaluation.

The Alliance will cover all medically necessary services for recipients and both living donors and cadaver organ for the following major organs:

- Bone marrow
- Heart
- Heart-lung
- Kidney
- Liver
- Intestine
- Liver and intestine
- Lung
- Kidney-pancreas
- Pancreas

Medi-Cal members for whom a transplant procedure authorization is denied will continue to receive primary care and treatment services from the Alliance.

All beneficiaries previously disenrolled to fee-for-service (FFS) and who were approved for a transplant prior to January 1, 2022, will remain in FFS Medi-Cal until they receive a transplant.

### ***Transplant Authorization Process for PCP and Specialists***

The PCP or attending specialist must send a request for authorization to the Alliance Utilization Management (UM) Department for a member seeking evaluation as a potential candidate for a major organ transplant.

The Alliance must directly refer adult beneficiaries or authorize referrals to a transplant program for an evaluation within **72 hours** of a beneficiary's PCP or specialist identifying the beneficiary as a potential candidate for transplant and receiving all the necessary information to make a referral or authorization.

The Alliance must refer pediatric beneficiaries to the County California Children's Services (CCS) program for CCS eligibility determination within **72 hours** of the beneficiary's PCP or specialist identifying the beneficiary as potential candidate for transplant. The County CCS program will be responsible for referring the CCS-eligible beneficiary to the transplant Special Care Centers (SCC). If the CCS program determines that the beneficiary is not eligible for the CCS program, but the transplant is medically necessary, the Alliance maintains complete responsibility for the medical care of that member.

### ***TRANSPLANT CENTERS***

If the transplant program confirms that the beneficiary is a suitable transplant candidate, the Alliance will be required to authorize the request for the transplant. The transplant program is responsible for placing beneficiaries on the National Waitlist maintained by Organ Procurement and Transplantation Network (OPTN), administered by the Health Resources and Services Administration (HRSA), once it has determined that the beneficiary is a suitable transplant candidate.

### ***COORDINATION OF CARE***

The Alliance Health Care Services Department must ensure care management for all covered services and coordination of care for beneficiaries between all providers, organ donation entities, and transplant programs to ensure the transplant is completed as expeditiously as possible. The Alliance, Centers of Excellence (COE), or CCS will be responsible for providing care management and care coordination services to the transplant recipients as well as the living donors.

### ***TRANSPLANT APPROVED***

If the member is approved for transplant by COE or CCS, the member will NOT be disenrolled from the plan. The Alliance-contracted PCP and/or specialist will continue to be responsible for transferring the member's medical records directly to the COE or CCS as requested.

### ***TRANSPLANT NOT APPROVED***

If the member is not approved for the transplant, the Alliance will continue case management of the member in coordination with the PCP for ongoing care. The member's eligibility will continue with the Alliance until deemed otherwise.

## **Mental Health Services**

### ***SPECIALTY MENTAL HEALTH SERVICES***

Members are eligible to get specialty mental health services from the Alameda County Behavioral Health Plan (ACCESS) program.

Providers must immediately refer Medi-Cal members who present any of the following conditions to the county:

- Psychotic disorders

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- Severe bipolar disorder
- Severe major depression
- Any mental disorder that causes an imminent risk to the member or community

Providers should also contact ACCESS for urgent conditions to receive a triaged consultation.

An “urgent condition” is defined as a situation experienced by a member that without timely intervention is certain to result in an immediate emergency psychiatric condition.

These referrals can be made 24 hours a day, 7 days a week, by calling the ACCESS helpline for Medi-Cal members:

Phone Number: **1.510.346.1000**

Toll-Free: **1.800.491.9099**

If a member’s mental disorder is outside the scope of the provider’s practice or is not responsive to treatment, the provider may also refer the Medi-Cal member to specialty mental health care by calling ACCESS.

Medi-Cal members **18-64 years of age** may directly access specialty mental health care by calling ACCESS. The county will provide consultations by phone to Alliance PCPs. Consultations are available Monday – Friday, 8 am – 5 pm, through the ACCESS helpline.

### ***OUTPATIENT MENTAL HEALTH SERVICES***

The Alliance provides outpatient mental health services through its mental health delegate, Beacon Health Options (Beacon). For Medi-Cal, these include mild to moderate mental health conditions. For Group Care, these include outpatient and specialty mental health services.

The following outpatient mental health services for the treatment of mild to moderate mental health conditions are provided:

- Psychiatric consultation and medication management
- Psychological testing, when clinically indicated to evaluate a mental health condition
- Psychotherapy, individual and group

### ***BEHAVIORAL HEALTH TREATMENT (BHT)***

The Alliance covers behavioral health treatment (BHT) for Autism Spectrum Disorder (ASD). This treatment includes Applied Behavior Analysis (ABA) and other evidence-based services.

BHT services must be:

- Administered in accordance with the beneficiary plan-approved treatment plan
- Approved by Beacon on behalf of the Alliance

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- Medically necessary
- Members may qualify for BHT services if they:
  - Have a diagnosis of ASD
  - Have behaviors that interfere with home or community life, such as anger, violence, self-injury, running away, or difficulty with living skills, play and/or communication skills
  - Are under **21 years of age**
- Prescribed by a licensed doctor or a licensed psychologist

Members do not qualify for BHT services if they:

- Are not medically stable
- Have an intellectual disability (ICF/ID) and need procedures done in a hospital or an intermediate care facility
- Need 24-hour medical or nursing services

Members or their authorized representatives can call Beacon directly to be referred for services or if they have any questions at **1.855.856.0577**.

### **Minor Consent Services**

Children **18 years of age** or under may get certain confidential services without parent approval.

Minor consent services are services related to:

- Diagnosis and treatment of sexually transmitted diseases
- Drug or alcohol use services\*
- Family planning services
- Medical care after a sexual assault
- Outpatient mental health care services\*
- Pregnancy

\*Children must be **12 years of age** or older to receive drug and alcohol abuse services and outpatient mental health care services without parent approval.

Providers can call the Alliance Member Services Department to find out how to coordinate minor consent services. Members can also receive minor consent services from a non-Alliance provider that accepts Medi-Cal. PCPs do not have to authorize these services.

### **Vision Care Services**

The Alliance contracts with MARCH Vision Care to provide routine eye care services to Alliance Medi-Cal members and covers:

- Routine eye exam once every **24 months**

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- Eyeglasses (frames and lens) once every **24 months**; contact lens when required for medical conditions such as aphakia, aniridia, and keratoconus

Prior authorization (PA) is not needed for appointments.

For questions or to request a provider directory, please contact:

MARCH Vision Care

Toll-Free: **1.844.336.2724**

To request online: **[www.marchvisioncare.com](http://www.marchvisioncare.com)**

The MARCH Vision Care provider will send a report to the patient's PCP after the visit which will include all diagnoses discovered during the vision exam. The vision provider will make a referral to the member's PCP whenever a medical problem is detected.

Group Care members have access to vision care services through their specific plans. Members should contact their vision plan for more information.

## **Hospice Services**

The Alliance will provide reimbursement for hospice care for members who are certified as terminally ill by a physician and who directly, or through their representative, voluntarily elect to receive such care in lieu of curative treatment related to the terminal condition.

A member who elects to receive hospice care must file an election statement with the hospice providing the care.

The election statement must include:

- Identification of the hospice
- The member's or representative's acknowledgement that:
  - A member or representative may:
    - Execute a new election for any remaining entitled election period at any time after revocation;
    - Change the designation of a hospice provider once each election period; this is not a revocation of the hospice benefit.
- A member's voluntary election may be revoked or modified at any time. The member must file a signed statement with the hospice revoking the member's election for the remainder of the election period.
- The effective date of the election.
- The signature of the member or representative.
- They have full understanding that the hospice care given as it relates to the member's terminal illness will be palliative rather than curative in nature.

## **Community-Based Adult Services (CBAS)**

CBAS is an outpatient, facility-based service program that delivers skilled nursing care, social services, therapies, personal care, family/caregiver training and support, meals, and transportation to Alliance members. The Alliance authorizes CBAS based on a referral from the member's PCP and an eligibility assessment completed by a CBAS provider.

### ***CBAS MEDICAL NECESSITY CRITERIA***

Except for those residing in an Intermediate Care Facility, Developmentally Disabled Habilitative ICF/DD-H members must meet all of the following medical necessity criteria to qualify for CBAS:

- A high potential exists for the deterioration of the member's medical, cognitive, or mental health condition or conditions in a manner likely to result in emergency department visits, hospitalization, or other institutionalization if CBAS are not provided.
- The member has **one (1)** or more chronic or post-acute medical, cognitive, or mental health condition(s) identified by the member's personal health care provider as requiring monitoring, treatment, or intervention, without which the member's condition(s) will likely deteriorate and require emergency department (ED) visits, hospitalizations, or other institutionalization.
- The member has at least **one (1)** of the following:
  - Mild cognitive impairment
  - Organic, acquired, or traumatic brain injury
  - Chronic mental illness
- The member suffers from moderate to severe dementia
- The member has a developmental disability
- The member's condition(s) require all core CBAS performed on each day of attendance to be individualized and designed to maintain the ability of the member to remain in the community and avoid emergency department visits, hospitalizations, or other institutionalization.
- Core services include:
  - Meal service/transportation
  - Personal care services/social services
  - Professional nursing services (which include observation, assessment, and monitoring of member's health status and medications; communication with member's health care providers regarding changes in health status; supervision of personal care services; and/or skilled nursing care and intervention)
  - Therapeutic activities



- The participant's network of non-CBAS center supports is insufficient to maintain the individual in the community, demonstrated by at least **one (1)** of the following:
  - The member has family or caregivers available, but those individuals require respite in order to continue providing sufficient and necessary care or supervision to the member.
  - The member lives alone and has no family or caregivers available to provide sufficient and necessary care or supervision.
  - The member resides with **one (1)** or more related or unrelated individuals, but they are unwilling or unable to provide sufficient and necessary care or supervision to the member.

## **Transgender Services**

The Alliance covers medically necessary care for transgender members, consistent with the state Medi-Cal benefit APL 20-018, and following the World Professional Association of Transgender Health (WPATH) Standard of Care for Gender Dysphoria.

All services require PA.

Examples of services may include:

- Gender confirmation surgery
- Hormone therapy or pubertal suppression for children **under 18 years of age**
- Treatment of gender dysphoria through hormone therapy for adults **over 18 years of age**

State law defines “medically necessary” as follows:

- For individuals **21 years of age** or older, a service is “medically necessary” or a “medical necessity” when it is reasonable and necessary to protect life, to prevent significant illness or significant disability, or to alleviate severe pain. (Welfare and Institutions Code section 14059.5.)
- For individuals **under 21 years of age**, a service is “medically necessary” or a “medical necessity” if the service corrects or ameliorates defects and physical and mental illnesses and conditions. (Title 42 USC 1396d(r)(5).)
- “Medically necessary treatment of a mental health or substance use disorder” means a service or product addressing the specific needs of that patient, for the purpose of preventing, diagnosing, or treating an illness, injury, condition, or its symptoms, including minimizing the progression of that illness, injury, condition, or its symptoms, in a manner that is all of the following:
  - (i) In accordance with the generally accepted standards of mental health and substance use disorder care.
  - (ii) Clinically appropriate in terms of type, frequency, extent, site, and duration.
  - (iii) Not primarily for the economic benefit of the health care service plan and subscribers or for the convenience of the patient, treating physician, or other health care provider (HSC 1374.72(a)(3)(A))

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



Gender dysphoria (defined by the Diagnostic and Statistical Manual of Mental Disorders, 5<sup>th</sup> Edition: DSM-5) is treated with the following core services:

- Behavioral health services
- Hormone therapy
- Psychotherapy
- Surgical procedures that bring primary and secondary gender characteristics into conformity with the individual's identified gender

## **Second Opinions**

PCPs, specialists, and members (if the practitioner refuses) have the right to request a second opinion from a qualified health professional, at no cost to the member, from the Alliance regarding proposed medical or surgical treatments from an appropriately qualified participating health care professional acting within their scope of practice who possesses a clinical background, including training and expertise, related to the particular illness, disease condition, or conditions associated with the request for a second opinion.

Second opinions from contracted providers do not require authorization and are arranged through the member's assigned PCP.

The Alliance provides a second opinion from a qualified health care professional in the network or arranges for the member to obtain one out of network, at no cost to the member.

A PA from the Alliance is required to receive a second opinion from an out-of-network provider. The time frames for processing second opinions follow the standard authorization time frames.

The second opinion authorization or denial shall be provided in an expeditious manner appropriate to the nature of the member's condition, not to exceed **72 hours** after the Alliance's receipt of the request.

## **Coordination of Care**

The Alliance provides comprehensive medical case management to all members. Comprehensive medical case management includes care coordination for medically necessary services provided to members within and outside of the Alliance's provider network based on the individual member's needs.

### ***Primary Care Provider (PCP) Role***

Continuity and coordination of care is ensured through the PCP who is formally designated as having primary responsibility for coordinating the member's overall health care. The PCP has the responsibility and authority to direct and coordinate the member's services. These responsibilities include: 1) Act as the primary case manager for all assigned members; 2) Assess the acute, chronic, and preventive needs of each member; and 3) Employ disease management protocols to manage a member's chronic health conditions.

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### ***Delivery of Primary Care***

Establishment of an ongoing relationship between the member and their chosen PCP is crucial to the member achieving optimal health. Members are encouraged to make an appointment with their PCP immediately upon selection of their PCP. Primary care services will be available according to the health plan's established access and availability standards.

### ***Coordination of Services***

The PCP has primary responsibility for evaluating the member's needs before recommending and arranging the services required by the member and facilitating communication and information exchange among the different providers/ practitioners treating the member.

Members are included in the planning and implementation of their care, with special emphasis on those members with mental health or substance use problems, co-existing conditions and chronic illnesses, or those members at the end of life. Members who are unable to fully participate in their treatment decisions (i.e., minors, incapacitated adults) may be represented by parents, guardians, other family members, or conservators, as appropriate, and in accordance with the member's wishes.

The Alliance offers care coordination for the following services:

- Alcohol and substance use disorder treatment services
- Behavior health care
- California Children's Services (CCS)
- Children with special health care needs
- Dental services
- Direct observed therapy (DOT) for treatment of tuberculosis (TB)
- Early intervention service with the Early Start Program
- Excluded services requiring member disenrollment
- Human Immunodeficiency Virus/Acquired Immune Deficiency Syndrome (HIV/AIDS) waiver program
- Local Education Agency services (LEA)
- School-linked Child Health and Disability Prevention Program (CHDP) Services
- Services for persons with developmental disabilities (Regional Center)
- Services with an out-of-network provider
- Waiver Program
- Women, Infants, and Children (WIC) Supplemental Nutrition Program

- i. Alcohol and Substance Use Disorder Treatment Services**  
PCPs are responsible for identifying members with active or potential substance use problems. Once members are identified, PCPs are responsible for providing services for the substance use problem within their scope of practice (counseling and/or treatment) and for performing the appropriate medical work-up given the nature of the substance use problem. PCPs are also responsible, with the assistance of the Alliance, for referring members with substance use problems to an appropriate treatment practitioner or county department.
- ii. Behavioral Health Care**  
The Alliance collaborates with its behavioral health specialists to identify opportunities to improve coordination of behavioral health care with general medical care that may include but is not limited to collaboration between the organization and behavioral health specialists.
- iii. California Children's Services (CCS)**  
PCPs and specialists are responsible for early identification of members that may have eligible CCS conditions. Medically necessary health care services will be administered throughout the referral process with the Alliance, regardless of whether or not the child is accepted into the CCS Program (e.g., Early and Periodic Screening, Diagnosis and Treatment (EPSDT) services for Medi-Cal members). The Alliance will consult and coordinate CCS referral activities with the local CCS Program in accordance with the MOU.
- iv. Children with Special Health Care Needs**  
The Alliance will assist with referrals/authorizations and care coordination to ensure that members receive the care appropriate for their medical, mental, or physical condition.
- v. Dental Services**  
Dental services are not covered by Medi-Cal managed care. However, the Alliance will ensure the provision of covered medical services related to dental services that are not provided by dentists or dental anesthetists.
- vi. Direct Observed Therapy (DOT) for Treatment of Tuberculosis (TB)**  
DOT is offered by the local health departments (LHDs) and is not covered by Medi-Cal managed care. PCPs will assess the risk of noncompliance with drug therapy for each member who requires placement on anti-tuberculosis therapy.
- vii. Early Intervention Service with the Early Start Program**  
PCPs are responsible for assessing children's developmental status during well-child exams, or at other medical encounters as appropriate. Children from birth to 36 months identified at risk for, or suspected of having, a developmental disability or delay must be referred to the Regional Center of the East Bay (RCEB) for evaluation for the Early Start Program. The Alliance will collaborate with RCEB or local Early Start Program in determining the medically necessary diagnostic and preventive services and treatment plans for members participating in the Early Start Program.

**viii. Excluded Services Requiring Member Disenrollment**

For services related to long-term care and incarceration, the Alliance will initiate the disenrollment process.

**ix. Human Immunodeficiency Virus/Acquired Immune Deficiency Syndrome (HIV/AIDS) Waiver Program**

Services provided under the HIV/AIDS Home and Community Based Services Waiver Program are not covered by Medi-Cal managed care. However, contracted PCPs and specialists (practitioners) have the responsibility to identify and refer Medi-Cal managed care members to a HIV/AIDS waiver program if they meet criteria.

**x. Local Education Agency Services (LEA)**

Services provided by Local Education Agencies (LEA) are not covered benefits under Medi-Cal managed care but are covered under Medi-Cal fee-for-service. On an as needed basis, the Alliance will work with the PCP to coordinate care for a member to ensure the provision of all medically necessary covered diagnostic, preventive, and treatment services identified in the Individual Education Program (IEP) developed by the LEA, with the PCP's participation.

**xi. Services**

All pediatric members will be assigned to a PCP who will be their "medical home." The Alliance will coordinate with school-linked CHDP Services in order to assure access for child and adolescent members to preventive and early intervention services.

**xii. Services for Persons with Developmental Disabilities (Regional Center)**

Contracted PCPs and specialists (practitioners) are responsible for the identification and referral of members with developmental disabilities/ behavioral health disorders outside their scope of practice. The Alliance implements and maintains systems to identify members with developmental disabilities who may meet requirements for participation in a Home and Community Based Services (HCBS) Waiver Program and ensures that these members are referred to the appropriate HCBS Waiver Program administered by the California Department of Developmental Services.

**xiii. Services with Out-of-Network Providers**

The Alliance has identified members who may need or who are receiving services from out-of-plan providers and/or programs in order ensure coordinated service delivery and efficient and effective joint case management.

**xiv. Waiver Program**

Members who may qualify for one of the Waiver Programs will be identified by their PCP, with Alliance UM Department support, based on their diagnosis and need for a specific level of care. Authorization of Services should be medically necessary and recommended by the PCP or the specialty care provider (SCP). Members have a right to request any covered services, whether or not the service has been recommended by the PCP/SCP. The services must be approved through a utilization management system (either the health plan or the delegated Medical Group/IPA) based on medically necessity.

**xv. Women, Infants, and Children (WIC) Supplemental Nutrition Program**

The Alliance PCP, obstetrical (OB), and pediatric practitioners will inform members of the availability of WIC services and make appropriate referrals to the local WIC program for their assigned members who are potentially eligible for WIC services.

**Coordination of Care – California Children’s Services (CCS)**

PCPs and specialists are responsible for:

- Early identification and referral of children with potentially eligible conditions to California Children’s Services (CCS).
- Notifying the Alliance Utilization Management (UM) Department of members referred to CCS.
- Administering medically necessary health care throughout the referral process, with the Alliance, regardless of whether or not the child is accepted into CCS. For example, Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) services for Medi-Cal members.

CCS is responsible for:

- Treatment for CCS-eligible conditions.
- Reimbursement of CCS-paneled providers and CCS-approved hospitals.
- Covering EPSDT services related to the CCS condition for Medi-Cal members.

**Transportation**

The Alliance provides the following transportation benefits to Medi-Cal members for all medically necessary services covered by the Alliance:

- Courtesy Transportation
- Non-Emergency Medical Transportation (NEMT)
- Non-Medical Transportation (NMT)
- Emergency Medical Transportation

The Alliance provides the lowest-cost modality of transportation that is adequate for the member’s needs. The Alliance will only provide transportation services that were approved by the Alliance and its transportation vendor.

The following guidelines will be used when reviewing requests for transportation services:

1. **Courtesy Transportation** is provided to members for their first **three (3)** transportation requests in order to ensure timely access to care. When a member contacts the Alliance’s transportation vendor requesting transportation, a packet with **three (3)** round-trip public transit vouchers is mailed to the member to allow immediate access to care. No PA is required for NMT services. For non-emergency transportation services, physician approval for the level of services is still required but will not delay the member’s care.

2. **Non-Emergency Medical Transportation (NEMT)** is covered for all medically necessary Medi-Cal services covered by the Alliance. The Alliance shall provide medically appropriate NEMT services when the member's medical and physical condition is such that transport by ordinary means of public or private conveyance is medically contraindicated and transportation is required for obtaining medically necessary services. NEMT is provided for members who cannot reasonably ambulate or are unable to stand or walk without assistance, including those using a walker or crutches. The Alliance shall ensure door-to-door assistance for all members receiving NEMT services. The Alliance shall ensure that the medical professional's decisions regarding NEMT are unhindered by fiscal and administrative management, in accordance with their contract with Department of Health Care Services (DHCS).
3. Requests for transportation services must be submitted and meet the following requirements:
  - A. The Alliance's Physician Certification Statement (PCS) form signed by the treating physician or mid-level provider (MD, DO, PA, or NP) is required in order to determine the appropriate level of service. Providers must use the Alliance's Department of Health Care Services (DHCS) approved PCS form.
    - i. The PCS form collects data regarding the member's functional limitations, prescribed dates of service, and prescribed mode of transportation. The provider must also attest that medical necessity was used to determine the type of requested transportation. The provider must document the member's functional limitations justification on the PCS form to provide specific physical and medical limitations that preclude the member's ability to reasonably ambulate without assistance or be transported by public or private vehicle.
      - a. Based on medical necessity, a provider may prescribe NEMT for up to **12 months** for members on dialysis, chemotherapy, or other infusions.
    - ii. The completed PCS form must be submitted to the Alliance's transportation vendor for coordination of services. The PCS form must be completed before NEMT services can be prescribed and provided to the member. The PCS form includes the certification statement (prescribing physician's statement certifying that medical necessity was used to determine the type of transportation being requested). The signed PCS form with the required fields will be considered completed.



- iii. Once the completed PCS form is received by the Alliance's transportation vendor, it may not be modified. The Alliance and its transportation vendor coordinate with the prescribing provider to ensure the PCS form submitted captures the lowest-cost type of NEMT transportation (see modalities below) that is adequate for the member's medical needs.
  - iv. The Alliance captures data from the PCS form for reporting and submitting the data to the DHCS.
- B. NEMT is also provided for a parent or guardian when the member is a minor. The Alliance provides transportation for unaccompanied minors with written consent of a parent or guardian or when applicable state or federal law does not require parental consent for the minor's service.
- C. NEMT is provided in the following modalities and situations:
- i. NEMT ambulance services are provided for:
    - a. Transfers between facilities for members who require continuous intravenous medication, medical monitoring, or observation.
    - b. Transfers from an acute care facility to another acute care facility. Members transferred from an acute care hospital, immediately following an inpatient stay at the acute level of care, to a skilled nursing facility or an intermediate licensed care facility. These NEMT services do not require the PCS form.
    - c. Transport for members who have recently been placed on oxygen (does not apply to members with chronic emphysema who carry their own oxygen for continuous use).
    - d. Transport for members with chronic conditions who require oxygen when monitoring is required.
  - ii. Litter van services are provided when the member's medical and physical condition does not meet the need for NEMT ambulance services but meets both of the following:
    - a. Requires that the member be transported in a prone or supine position because the member is incapable of sitting for the period of time needed to transport.
    - b. Requires specialized safety equipment over and above that normally available in passenger cars, taxicabs, or other forms of public conveyance.
  - iii. Advanced Life Support services are provided when the member requires a paramedic during transport.
  - iv. Critical Care Transportation/Specialty Care Transportation services are provided when the member's condition requires cardiac monitoring.

- v. Life Support (LS) services are provided when the member's condition requires oxygen that is not self-administered or regulated.
- vi. Wheelchair van services are provided when the member's medical and physical condition does not meet the need for litter van services but meets any of the following:
  - a. Renders the member incapable of sitting in a private vehicle, taxi, or other form of public transportation for the period of time needed to transport.
  - b. Requires that the member be transported in a wheelchair or assisted to and from a residence, vehicle, and place of treatment because of a disabling physical or mental limitation.
  - c. Requires specialized safety equipment over and above that normally available in passenger cars, taxicabs, or other forms of public conveyance.
- vii. NEMT by air is provided only when transportation by air is necessary because of the member's medical condition or because practical considerations render ground transportation not feasible. The necessity for transportation by air shall be substantiated in a written order of a physician or mid-level provider.

D. For Medi-Cal services not covered by the Alliance, including specialty mental health substance use disorder, dental, and any other services delivered through the Medi-Cal fee-for-service (FFS) delivery system or California Children's Services (CCS), the Alliance will make its best effort to refer and coordinate NEMT for members whose condition necessitates one of the above forms of transportation.

4. **Non-Medical Transportation (NMT)** is covered for all round-trip transportation to medically necessary services covered by Medi-Cal. NMT does not include transportation of the sick, injured, invalid, convalescent, infirm, or otherwise incapacitated members who need to be transported by ambulances. Requests are submitted to and processed by the Alliance's transportation vendor. The Alliance shall provide NMT in a form and manner that is accessible, in terms of physical and geographic accessibility, for the member and consistent with applicable state and federal disability rights laws. Requesting providers may submit a PCS form to the Alliance's transportation vendor to request NMT services on behalf of members. Members may also call the Alliance or its transportation vendor directly to request NMT services.

- A. Based on medical necessity, a provider or member may request NMT for up to **12 months**. Members on dialysis, chemotherapy, or other infusions will be automatically approved for NMT services for the **12-month** duration. After the **12-month** period, the Alliance will confirm if the level of NMT is still appropriate for the member's medical condition with their provider to continue services.



- B. NMT is also provided for a parent or guardian when the member is a minor. The Alliance provides transportation for unaccompanied minors with written consent of a parent or guardian or when applicable state or federal law does not require parental consent for the minor's service.
- C. NMT coverage includes transportation costs for the member and one attendant, such as a parent, guardian, or spouse, to accompany the member in a vehicle or on public transportation. This must be requested at time of initial NMT request.
- D. NMT is provided using the lowest-cost modality appropriate for the member's condition. NMT modalities include the following:
  - i. Public transportation/mass transit
  - ii. East Bay Paratransit
  - iii. Taxicab/curb-to-curb passenger vehicle
  - iv. Door-to-door passenger vehicle
  - v. Any other form of private conveyance (private vehicle), including mileage reimbursement consistent with the IRS rate for medical purposes when conveyance in a private vehicle is arranged.
    - a. Members seeking NMT must attest to the Alliance's transportation vendor in person, electronically, or over the phone that other transportation resources have been reasonably exhausted. The attestation may include confirmation that the member does not have a valid driver's license, no working vehicle available in the household, is unable to travel or wait for medical or dental services alone, or has a physical, cognitive, mental, or development limitation.
    - b. In order to receive gas mileage reimbursement for use of a private vehicle, the following documentation must be submitted to the Alliance's transportation vendor in compliance with all California driving requirements, including:
      - 1. Valid driver's license,
      - 2. Valid vehicle registration, and
      - 3. Valid vehicle insurance.
- E. NMT services for Medi-Cal carved out services will be provided upon member or provider request to the Alliance. NMT can still continue to be provided through Medi-Cal fee-for-service agencies, such as CCS, if requested directly to the agency.

5. **Emergency Medical Transportation** is provided when a member's medical condition is acute and severe, necessitating immediate medical diagnosis to prevent death or disability. Requests do not require PA.

The following guidelines apply to Emergency Medical Transportation:

- A. **Emergency Medical Transportation by air** is covered only when medically necessary and when other forms of transportation are not practical or feasible for the patient's condition.
- B. **Ground Emergency Medical Transportation** is covered when ordinary public or private medical transportation is medically contraindicated, and transportation is needed to obtain care.
- C. Emergency transportation must be to the nearest hospital capable of meeting the medical needs of the patient.
- D. Medical transportation which represents a continuation of an original emergency transportation event does not require PA.

## Section 7: Claims

### Claims Overview

#### **CLAIM REQUIREMENTS**

The Alliance has established requirements for filing a claim for payment consideration. These requirements include that the claim is valid and complete, furnished within a prescribed time, and delivered to the correct business address. Failure to comply with these requirements may jeopardize the claim for reimbursement.

To be accepted as a valid claim, the submission must meet the following criteria:

- Must be submitted on a standard current version of a CMS 1500, CMS-1450 (UB04), or the ANSI X12-837-5010 (current version electronic format).
- Must contain appropriate information in all required fields.
- Must be a claim for an Alliance member eligible at the time of service. (Always verify eligibility; please refer to the “How to verify eligibility” in section 3 of this manual for more information).
- Must be an original bill.
- Must contain correct national standard coding, including but not limited to CPT, HCPCS, NDC (as published by the Food and Drug Administration (FDA), Revenue, and ICD-10 codes.
- Must not be altered by handwritten additions to procedure codes and/or charges.
- Must be signed by the rendering provider if paper.
- Must be printed with dark ink that is heavy enough to be electronically imaged, if submitted as a paper claim.
- Must be received within **180 days** from the service date.
- Must submit attachments on an 8 ½ x 11 sheet of paper and be legible.

### Submitting a Claim

#### **HEALTH INSURANCE CLAIM FORM (CMS 1500) – PROFESSIONAL CLAIMS**

The Centers for Medicaid and Medicare Services (CMS) form 1500 must be used to bill the Alliance for medical services. This form is used by physicians and allied health professionals to submit claims for medical services.

#### **HEALTH INSURANCE CLAIM FORM (CMS 1450) – FACILITY CLAIMS**

A CMS 1450 (UB-04) is the only acceptable claim form for submitting inpatient or outpatient hospital (technical services only) charges for reimbursement by the Alliance. In addition, a CMS 1450 is required when billing for nursing home services, swing bed services with revenue and occurrence codes, inpatient hospice services, Ambulatory Surgery Centers (ASC), and dialysis services. Incomplete or inaccurate information will result in the claim/encounter being rejected or denied for corrections.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **PAPER CLAIMS SUBMISSION**

Paper claims for Alliance members should be submitted for payment as follows:

<b>CLAIM TYPE</b>	<b>RESPONSIBLE ENTITY</b>
<b>Professional Medical Service Claims</b>	<p>If the member/patient is assigned to an Alliance <b>PCP</b>: Alameda Alliance for Health P.O. Box 2460 Alameda, CA 94501-0460</p> <p>If the member/patient is assigned to a <b>CHCN PCP</b>: Community Health Center Network 101 Callan Ave., 3rd Floor San Leandro, CA 94577</p> <p>If the member/patient is assigned to a <b>CFMG PCP</b>: Children's First Medical Group P.O. Box 99680 Emeryville, CA 94662-9680</p>
<b>Institutional (Hospital, SNF, etc.) Services Claims</b>	<p>Hospital/Facility Claims for all Alliance members Alameda Alliance for Health P.O. Box 2460 Alameda, CA 94501-0460</p>
<b>Behavioral Health Claims</b>	<p>Beacon Health Options (mild to moderate services) P.O. Box 1862 Hicksville, NY 11802-1862 <b>www.beaconhealthoptions.com</b></p> <p>ACCESS, Alameda County Behavioral Health Care Services (moderate to severe services for Medi-Cal only) ACCESS Toll-Free: <b>1.800.491.9099</b></p>
<b>Vision Claims</b>	<p>MARCH Vision Care (Medi-Cal only) Attn: Claims Department 6701 Center Drive West, Suite 790 Los Angeles, CA 90045 <b>www.marchvisioncare.com</b></p>
<b>Dental Claims</b>	<p>Denti-Cal (Medi-Cal only) P.O. Box 15610 Sacramento, CA 95852-0610</p>

## **ELECTRONIC CLAIMS SUBMISSION**

The Alliance offers providers the speed, convenience, and lower administrative costs of electronic claims filing, also known as Electronic Data Interchange (EDI). Providers interested in submitting claims electronically should call the Alliance Provider Services Department at **1.510.747.4510**.

Claims that require attachments may not be sent electronically. They must be submitted using the appropriate paper claim forms with the attachments.

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.

### **TIME FRAME FOR CLAIM SUBMISSION**

All claims must be submitted on time for consideration of payment. Claims submitted after the appropriate filing deadline will be denied, unless documentation substantiating the delay in billing is provided. Claims submitted prior to the actual date of service (or date of delivery for supplies and DME) will also be denied.

Timely filing rules are as follows:

- When the Alliance is the primary payer on the claim:
  - Participating (contracted) providers must submit claims post-service within the timely filing time frame identified in your agreement with the Alliance. Post-service is defined as after the date of service for professional or outpatient institutional providers, or after the date of discharge for inpatient institutional providers.
  - Unless otherwise indicated in your agreement, contracted providers must submit claims within **180 calendar days** post-service.
- When the Alliance is not the primary payer under Coordination of Benefits (COB):
  - Providers must submit a claim to the Alliance within **180 days** from the date of payment or date of denial notice from the primary payer.
  - Providers must also submit a copy of the Remittance Advice (RA)/Explanation of Benefits (EOB) from the primary payer which indicates the date of resolution by the primary payer, whether paid, contested, or denied.
- When an Alliance member does not present accurate insurance information, and another payer or the member is billed for the service:
  - The provider must submit a claim to the Alliance within **60 days** of receiving the correct insurance information from the member or incorrect payer.
  - Provider must also submit proof that the member or another payer had been billed.
- Claims or any portion thereof previously denied by the Alliance as an incomplete claim due to missing or invalid information:
  - A corrected claim must be submitted for reconsideration of payment within **180 days** from the date of the original denial by the Alliance. A corrected claim may be mistaken as a duplicate claim submission unless it is clearly identified as such.

### **CLAIMS STATUS AND INQUIRY**

Claim status can be verified for our contracted providers using our Online Provider Portal. Contact the Alliance Provider Services Department at **1.510.747.4510** for information regarding use and how to obtain a Provider Portal account if you do not already have one.

Providers should call the Alliance Provider Services Department at **1.510.747.4510** for more complex claim status questions or submission requirements. Alliance Provider Services Representatives can assist with resolution of complex claims issues and arrange for the adjustment of claims, if necessary.

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### ***PROOF OF TIMELY FILING***

If a claim has been denied for timely filing, the following are acceptable forms of documentation for payment reconsideration:

- RA/EOB from the primary carrier
- Copy of enrollment card presented at time of service

### ***MISDIRECTED CLAIMS***

When a claim is incorrectly sent to the Alliance that should have been sent to one of its delegated partners (e.g., CHCN, CFMG, etc.), the Alliance will forward the claim to the appropriate delegated partner within **10 working days** of receipt of the claim. The provider will also receive a notice of denial with instructions to bill the delegated partner.

## **Claims Receipt and Determinations**

### ***ACKNOWLEDGEMENT OF CLAIM RECEIPT***

The Alliance will acknowledge the receipt of an electronic claim within **2 working days** from receipt of the claim or within **15 working days** of receipt of the claim if it was submitted on paper.

### ***CLEAN CLAIM***

A clean claim is defined as a claim which, when it is originally submitted, contains all necessary information, attachments, and supplemental information or documentation needed to determine payer liability and make timely payment.

### ***CLEAN CLAIM PROCESSING TIME***

The Alliance will adhere to the following claims processing guidelines:

- 90% of clean claims within **30 calendar days** from receipt
- 95% of clean claims within **45 working days** from receipt
- 99% of clean claims within **90 calendar days** from receipt

### ***INTEREST ON CLAIMS***

The Alliance will calculate and automatically pay interest, in accordance with Assembly Bill (AB) 1455 requirements, to all providers of service who have not been reimbursed for payment, within **45 working days** after the receipt of a clean claim.

### ***BILLING MEMBERS***

Providers are prohibited from billing Alliance members for covered services. Under the Knox-Keene Act, Health and Safety Code 1379 of the State of California, it is illegal to bill a member who is enrolled in a state program for which services were provided. Alliance members are never responsible for paying participating providers any amount for covered medical services, other than approved co-insurance, deductibles, or co-payment amounts as a part of the member's benefit package.

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Providers may not seek reimbursement from the member for a balance due. Providers may not bill Alliance members for covered services, open bills, or balances in any circumstance, including when the Alliance has denied payment. In some cases, providers may bill members for co-payments, non-benefits, and for non-covered services.

### **OVERPAYMENTS AND RECOUPMENTS**

Overpayments can happen for many reasons, including, but not limited to:

- Alliance claim processing error
- Another party paid for covered services (i.e., coordination of benefits)
- Duplicate payment made by the Alliance when covered services are payable, in part or full, to another provider
- Retroactive change to eligibility

A written overpayment request will be sent to the provider within **365 days** of the date the original claim was paid. The provider must either contest or refund the requested monies within **30 working days** from receipt of the notification of overpayment. If the provider does not contest or refund the requested monies within **30 working days**, the Alliance may offset the requested amount against future claim payments, as documented in the contractual agreement or when a non-contracted provider has agreed in writing.

**COORDINATION OF BENEFITS (COB)** is used to determine the order of payment responsibility when an Alliance member is covered by more than one health plan or insurer. The Alliance is always the payer of last resort for Medi-Cal members and all other coverage is primary. State and federal laws require providers to bill other health insurers prior to billing the Alliance.

### **COORDINATING BENEFITS**

All claims must be submitted to the Alliance within **180 days** from the date of payment on the primary payer's RA/EOB. A copy of the RA/EOB must accompany the claim. If the primary payer denies services asking for additional information, the information must be submitted to the primary payer and the claim finalized prior to submitting the claim to the Alliance. Since a copy of the primary payer's RA/EOB must be submitted along with the claim, these claims must be submitted on paper. Claims submitted electronically where the member has other coverage will be denied with instructions to resubmit as a paper claim with the RA/EOB attached.

When the Alliance is the primary payer, providers are reimbursed at their full contracted reimbursement rate.

When the Alliance is the secondary payer under COB rules, the Alliance will generally pay the lesser of the following amounts for covered services:

- The actual charge made by the provider less the amount paid by the other coverage up to the patient responsibility portion (co-insurance, deductible, or co-pay amounts).

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- Up to the amount the Alliance would have paid if the individual did not have other coverage.

If the primary insurance payment exceeds the fully allowed contracted rate, neither the Alliance nor its member is financially responsible for any additional amount.

### ***THIRD PARTY LIABILITY***

Providers may often learn of a possible Third Party Liability (TPL) case before the Alliance. Therefore, providers must assist with recovery by promptly notifying the Alliance when a TPL case is discovered.

Notification and TPL information may be either mailed or faxed to:

Alameda Alliance for Health  
Claims Department  
P.O. Box 2460  
Alameda, CA 94502-0460  
Fax: **1.877.747.4506**

Providers must promptly notify the Alliance Claims Department of a TPL case when:

- The patient has filed or intends to file a claim or lawsuit against a third party for injuries
- A third party that caused or allegedly caused the patient's injury has insurance that will cover the expenses

Below are some situations where a possible TPL case may exist:

- Member involved in auto accident
- Member injured on premises owned by another (e.g., slip-and-fall)
- Member injured on the job (worker's compensation)
- Member injured by another's negligence

### ***TPL SUBMISSION REQUIREMENTS***

When a TPL case is identified, provider's staff should obtain the following information from the member and forward it with the TPL Notification Form to the Alliance:

- Patient name, social security number, address, and telephone
- Date of injury
- Attorney's name, address, and telephone number (if any)
- Third party's insurance carrier or attorney's name, address, and telephone number (if known)

Providers should complete the TPL Notification Form found in Attachments & Forms.



## **Service Specific Information**

### ***AMBULANCE, EMERGENCY, URGENTLY NEEDED, AND POST-STABILIZATION CARE SERVICES***

The Alliance is responsible for ambulance, emergency, urgent, and post-stabilization care services, whether services are obtained in or out of network.

The Alliance will make prompt determination and reasonable payment to, or on behalf of, the members for these services when the financial responsibility is that of the Alliance.

### ***FAMILY PLANNING SERVICES AND SENSITIVE SERVICES***

Sensitive services are those services designated by the state Medi-Cal program as available to members without a referral or authorization in order to protect patient confidentiality and promote timely access. Sensitive services include family planning, screening and treatment for sexually transmitted diseases, HIV testing, and abortions. All Alliance Medi-Cal members may go outside of their medical group's network for sensitive services, which does not include prenatal care. Authorization is not required for prenatal care, but members must stay within their medical groups.

Family planning and sensitive services may be billed fee-for-service. All PCPs or OB-GYNs rendering family planning and sensitive services must be documented on the claim form. For Medi-Cal members, family planning and sensitive services may be obtained in or out of network without any prior authorization (PA) requirements. For Group Care members, family planning and sensitive services obtained in network do not require PA, but services obtained out of network will require a PA.

### ***STERILIZATION SERVICES (MEDI-CAL ONLY)***

Written informed consent must be obtained from all members seeking sterilization services. This applies to tubal sterilization, vasectomy, and hysterectomy. For Medi-Cal members only, regulations require that a copy of the signed PM-330 consent form be submitted to payers before payment can be released. Consequently, the Alliance will not reimburse professional or facility fees associated with tubal sterilizations, vasectomies, or hysterectomies, unless an appropriately completed PM-330 consent form is submitted by the primary surgeon.

### ***HIV TESTING AND COUNSELING***

PCPs rendering HIV counseling and testing to assigned members may bill fee-for-service for those procedures.

Providers, other than the assigned PCP, who render HIV counseling and testing services, may bill the Alliance fee-for-service (FFS).

### **MINOR CONSENT SERVICES (MEDI-CAL ONLY)**

Minor Consent Services, described below, may be billed fee-for-service when rendered by a PCP to their assigned Medi-Cal members. Minor Consent Services include:

- Abortions
- Confirmation or rule out pregnancy
- Family planning
- HIV testing
- Sexual assault
- Sexually transmitted diseases

### **VACCINES**

Providers must document administration of pediatric immunizations on the PM-160 form for Medi-Cal members. Administration of routine pediatric immunizations is a paid FFS to the PCP. The appropriate administration codes must be submitted on the CMS 1500 and PM-160 (Medi-Cal only) forms.

Providers billing for services rendered to CHDP-eligible children and youth must use national CPT-4 or HCPCS codes on an appropriate HIPAA-compliant national claim form and follow Medi-Cal billing practices; the PM-160 form is no longer required. For Medi-Cal members, PCPs have access to free vaccines through the Vaccines for Children (VFC) Program. To enroll, call the VFC Program directly. Community and county clinics should call **1.510.267.3230**. Private providers should call **1.510.704.3750**. Vaccines not covered by the VFC Program should be billed directly to the Alliance for reimbursement. If a claim is received and the provider is registered with VFC, the claim will be denied.

### **LABORATORY: CLINICAL, CYTOPATHOLOGY, AND PATHOLOGY**

Quest Diagnostics is the Alliance's contracted partner for most outpatient clinical laboratory services. With the exception of emergency, urgent, PCP-covered labs, sensitive services, or labs specifically identified as reimbursed fee-for-service, laboratory services are carved out to the Alliance's capitated laboratory provider, Quest Diagnostics. Pathology services, identified as CPT-4 procedure code range 88300- 88399, are payable by the Alliance only when performed in conjunction with emergency or urgent care services, or surgical services performed in an inpatient hospital, outpatient hospital, or freestanding surgical facility setting.



Members who are assigned to Alameda Health System (AHS) are capitated for lab services and will receive laboratory services through one of the following AHS clinics:

AHS CLINIC	PHONE NUMBER	ADDRESS
Eastmont Wellness	<b>1.510.567.5700</b>	6955 Foothill Blvd. Oakland, CA 94605
Hayward Wellness	<b>1.510.266.1700</b>	664 Southland Mall Dr. Hayward, CA 94545
Highland Wellness	<b>1.510.437.5039</b>	1411 East 31st St. Oakland, CA 94602
Newark Wellness	<b>1.510.505.1600</b>	6066 Civic Terrace Ave. Newark, CA 94560

The Alliance also contracts with Foundation Laboratory.

They provide on-site lab services to members who are seen by these Oakland providers:

CLINIC	PHONE NUMBER	ADDRESS
James A Watson Wellness Center	<b>1.510.444.9460</b>	5709 Market St. Oakland, CA 94608
Roots Clinic	<b>1.510.777.1177</b>	9925 International Blvd. Oakland, CA 94603
Roots Clinic	<b>1.510.533.1248</b>	7272 MacArthur Blvd. Oakland, CA 94605
MacArthur Gastroenterology	<b>1.510.562.7467</b>	10520 MacArthur Blvd. Oakland, CA 94605

### ***OFFICE-BASED INJECTABLES***

Except for injectables administered in an inpatient setting, claims for injectables administered in the office must include the National Drug Code (NDC) for each drug. Claims that do not include NDCs will be denied.

### **Code Sets**

#### ***BILLING CODES***

It is important that providers bill with codes applicable to the date of service on the claim. Billing with obsolete or invalid codes will result in a potential denial of the claim and a subsequent delay in payment.

All providers need to bill with approved Medi-Cal codes. Using non-approved Medi-Cal codes will result in a denial of services.

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If you use unlisted or miscellaneous approved Medi-Cal CPT-4 or HCPCS codes, notes and/or a description of services rendered must accompany the claim. Use of unlisted or miscellaneous codes will delay claims payment and should be avoided whenever possible. Claims received with unlisted or miscellaneous codes that have no supporting documentation may result in claim denial, and the member may not be held liable for payment.

Providers will also improve the efficiency of their reimbursement through proper coding and reporting of a member's diagnosis. We require the use and reporting on a claim of valid ICD-10 diagnosis codes, to the appropriate specificity, for all claims. This means that ICD-10 codes must be carried out to the fifth, sixth, or seventh digit when indicated by the coding requirements in the ICD-10 manual. Failure to code diagnoses to the appropriate level of specificity will result in denial of the claim and a consequent delay in payment.

### **CODE AUDITING AND EDITING**

The Alliance utilizes code-auditing software for automated claims coding verification, and to ensure that the Alliance is processing claims in compliance with general industry and Medi-Cal standards.

The code-auditing software takes into consideration the conventions set forth in the health care insurance industry, such as regulatory state and federal standards, the National Correct Coding Initiative (NCCI), and Medi-Cal guidelines.

Using a comprehensive set of rules, the code auditing software:

- Accurately applies coding criteria for the clinical areas of medicine, surgery, laboratory, pathology, radiology, and anesthesiology, as outlined by the American Medical Association's (AMA) CPT-4 manual.
- Evaluates the CPT-4 and HCPCS codes submitted by detecting, correcting, and documenting coding inaccuracies, including, but not limited to, unbundling, up-coding, fragmentation, duplicate coding, invalid codes or modifiers, and mutually exclusive procedures.
- Incorporates historical claims auditing functionality that links multiple claims found in a member's claims history to current claims to ensure consistent review across all dates of service.
- The Alliance reviews providers' claims billing patterns and requests medical records for review when needed. Providers are responsible for submitting the requested medical records to the Alliance. Failure to comply with the request may lead to corrective action plans or possible hold on payments.

## Section 8: Provider Dispute Resolutions (PDR)

The Alliance offers a fair, fast, and cost-effective dispute resolution mechanism to process and resolve provider disputes. A PDR request may be submitted in writing using the PDR Request Form. Dispute requests must be submitted within **365 calendar days** of the Alliance's most recent action on the disputed claim.

A contracted or non-contracted provider dispute is a provider's written notice challenging, appealing, or requesting reconsideration of a claim (or a bundled group of substantially similar multiple claims that are individually numbered) that has been denied, adjusted, or contested or seeking resolution of a billing determination or other contract dispute or disputing a request for reimbursement of an overpayment of a claim or appeal of medical necessity/utilization management decision.

Each contracted provider dispute must contain, at a minimum, the following information: the provider's name; the provider's identification number; contact information; and:

- If the dispute concerns a claim or a request for reimbursement of an overpayment of a claim, a clear identification of the disputed item, the date of service, and a clear explanation of the basis upon which the provider believes the payment amount, request for additional information, request for reimbursement for the overpayment of a claim, contest, denial, adjustment or other action is incorrect;
- If the dispute is not about a claim, a clear explanation of the issue and the provider's position thereon; and
- If the dispute involves a member or group of members: the name and identification number(s) of the member or members, a clear explanation of the disputed item, including the date of service and the provider's position thereon.

Provider disputes can be submitted by mail or through our Provider Portal.

Send mail to the following address:

Alameda Alliance for Health  
P.O. Box 2460  
Alameda, CA 94501-0460  
Attn: Provider Dispute Resolution (PDR) Unit

To submit through our Provider Portal, please login through **[www.alamedaalliance.org](http://www.alamedaalliance.org)** and select the Claims Submission Form.

Provider disputes that do not include all required information may be returned to the submitter for completion. An amended dispute which includes the missing information may be submitted within **30 working days** of your receipt of a returned provider dispute.



The PDR submitted by mail will be acknowledged within **15 working days** of the receipt date and PDR submitted electronically (email, fax, or portal) will be acknowledged within **two (2) working days**; either submission type will be resolved within **45 working days** of the receipt date of the dispute.

The Alliance's determination is the final decision and will not be reconsidered if resubmitted.

For further instructions on how to submit a PDR Request, please call the Alliance Provider Services Department Monday – Friday, 8 am – 5 pm, at **1.510.747.4510**.

## **Section 9: Service and Referrals for Adults – Adult Clinical Preventive Services**

This section details the services for adults receiving benefits as required by state and federal regulations. The Alliance requires PCPs to follow uniform guidelines for adult periodic health examinations in accordance with the US Preventive Services Task Force’s “A” and “B” recommendations in the *Guide to Clinical Preventive Services*.

The preventive guidelines can be found at [www.uspreventiveservicestaskforce.org/uspstf/recommendation-topics/uspstf-and-b-recommendations](http://www.uspreventiveservicestaskforce.org/uspstf/recommendation-topics/uspstf-and-b-recommendations). PCPs are required to provide preventive health services to their assigned members.

In addition, all Alliance members over the age of 21 are required to receive an Initial Health Assessment (IHA) from their PCP, including a complete physical examination and history (see [www.alamedaalliance.org/providers/initial-health-assessment](http://www.alamedaalliance.org/providers/initial-health-assessment)). At that time, they should also complete a Staying Healthy Assessment (SHA).

For assistance in obtaining a copy of the guide, providers may contact the Alliance Provider Services Department at **1.510.747.4510**.

### **OB/GYN SERVICE**

Alliance members have open access to services provided by in-network OB/GYNs and qualified family practice physicians. Gynecological services provided to a member in the provider’s office do not require prior authorization (PA) or a referral from their PCP.

### **CANCER SCREENING**

All generally medically accepted cancer screening tests are covered by the Alliance.

Screening and diagnosis of breast cancer is a covered benefit. Mammograms do not require a PA. Treatment for breast cancer includes prosthetic devices or reconstructive surgery for a patient incident to mastectomy.

### **DOCUMENTATION**

Documentation of all clinical preventive service encounters must be included in the member’s medical record. The medical record will be reviewed for completeness during site reviews conducted by the Alliance.

Providers should report all adult preventive health encounters, whether capitated or fee-for-service, to the Alliance using the CMS 1500.

For more information on adult preventive health services, providers can access: [www.ahrq.gov/prevention/guidelines/index.html](http://www.ahrq.gov/prevention/guidelines/index.html).



## **Immunizations**

Vaccinations provided to Alliance members over age 18 do not require a PA. Providers may bill the Alliance for administering the vaccine and submitting a CMS 1500 claim form.

## **Family Planning Services**

Medi-Cal members are entitled to timely, convenient, and confidential access to the full range of family planning services. In accordance with federal regulations, Medi-Cal members are allowed freedom of choice in selecting a family planning provider. Therefore, Medi-Cal members may receive such services from a PCP, non-PCP, or an out-of-plan provider, without a PA. Members enrolled in other Alliance product lines may see Alliance-contracted providers for family planning services.

### ***SCOPE OF SERVICES***

The following family planning services (Medi-Cal members only) are covered for both in-network and out-of-plan providers:

- Abortions
- Diagnosis and treatment of STDs if medically indicated
- Follow-up care for complications associated with contraceptive methods issued by the family planning provider, if provided in an ambulatory setting
- Health education and counseling necessary to make informed choices and understand contraceptive methods
- Laboratory tests if medically indicated as part of decision-making process for choice of contraceptive methods
- Limited history and physical examination
- Pregnancy testing and counseling
- Provision of contraceptive pills/devices/supplies
- Screening, testing, and counseling of members at risk for HIV; referral for treatment
- Tubal ligation
- Vasectomies

### ***TYPES OF PROVIDERS WHO MAY RENDER FAMILY PLANNING SERVICES***

The following types of contracted providers may provide family planning services, as listed previously, within their scope of practice, to Alliance members:

- Assigned PCPs
- Family and general practitioners
- Pediatricians
- County and community clinics
- Obstetricians/Gynecologists and Certified Nurse Midwives
- Family planning clinics
- STD clinics



Nurse practitioners and physician assistants may provide family planning services through a contracted physician.

### ***REFERRALS***

If the member's family planning needs exceed the provider's scope of practice, the member should be referred to an appropriate family planning provider. This referral does not require a PA and can be made to a provider within or outside the Alliance provider network (Medi-Cal only).

Providers should assist members in identifying family planning providers. Refer to the Provider Directory or encourage the member to call the Alliance Member Services Department at **1.510.747.4567**. The Alliance Member Services Department can provide referrals for family planning services.

### ***INFORMED CONSENT***

Providers must obtain signed informed consent for any invasive procedure done during a family planning visit, such as insertion of Norplant or an IUD and for sterilization. Although signed consent is not required for all family planning methods, providers must document that members have been informed of the full range of contraceptive choices.

### ***OUT-OF-PLAN FAMILY PLANNING SERVICES FOR MEDI-CAL***

#### **Covered Out-of-Plan**

Medi-Cal members may access family planning services out-of-plan but are encouraged to choose a plan provider in order to promote continuity of care. Out-of-plan family planning providers must be qualified to provide family planning services based on their licensed scope of practice. Medi-Cal members seeking care from an out-of-plan provider should be advised that services are limited to those listed under Scope of Services in this section.

#### **Excluded Out-Of-Plan**

Out-of-plan providers will NOT be reimbursed for the following family planning services:

- Hysterectomy
- Reversal of voluntary sterilization
- Routine infertility studies or procedures
- Transportation, parking, and childcare

### **Confidential Human Immunodeficiency Virus (HIV) Testing**

The Alliance's policy is to ensure members receive information regarding access to confidential HIV counseling and testing. Alliance Medi-Cal members have the right to confidential HIV counseling and testing within and outside of the Alliance's provider network. Members enrolled in other Alliance product lines may see any Alliance-contracted provider for HIV services.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **IDENTIFICATION**

The following procedures should be followed for identification of patients who may need confidential HIV counseling and testing:

- All hemophiliacs
- Any other STD, i.e., syphilis, gonorrhea, human papillomavirus, chlamydia, pelvic inflammatory disease
- Behavior/history Indications
- Behavior resulting in other blood-to-blood contact, sadomasochism (S&M), tattooing, piercing, etc.
- Cervical cancer
- Child of an HIV-infected woman
- Hepatitis B or C
- Herpes zoster outbreak in a person under 50 years old
- Inquire about illicit drug use to identify members who may need HIV counseling and testing
- Medical indications
- Men who have had sex with men
- Perform a thorough history and physical exam, including taking a sexual history
- Persistent, recurrent, or refractory vaginal candidiasis
- Persons who have had anal intercourse
- Received blood/blood transfusion before 1985 or in a country where blood was not tested for HIV
- Received drugs for sex
- Received money for sex
- Refer members for HIV counseling and testing under the following conditions:
  - Sex with prostitute/sex partner
  - Tuberculosis, active disease in a U.S. native, and TB patients unresponsive to treatment
  - Unexplained, persistent weight loss, diarrhea, or fever
  - Use of intravenous drugs or other substances

All pregnant women should be offered and encouraged to have HIV counseling and testing whether or not they seem to be at-risk for HIV infection, in accordance with California law.

Call the County Office of Acquired Immune Deficiency Syndrome (AIDS) at **1.510.873.6500** for a complete list of test sites.

## **REFERRAL**

Providers may refer a patient requesting confidential HIV testing to a confidential test site, family planning, or sexually transmitted disease provider within the Alliance provider network for all product lines. Referrals to in-plan sites are encouraged; however, Medi-Cal members do have the option of seeking HIV testing through non-contracted providers.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Providers should advise any member who chooses to go to an out-of-plan confidential test site to sign a release of information form to allow his or her name to be submitted on the claim. If the claim is submitted without a name to determine eligibility for services, the Alliance will not reimburse the provider.

### ***ALLIANCE-CONTRACTED HIV TEST SITES***

The following test sites are within the Alliance's network:

TEST SITE	PHONE NUMBER	ADDRESS
Alameda County Medical Center - Highland Hospital	<b>1.510.437.4800</b>	1411 E. 31st St. Oakland, CA 94602
Asian Health Services	<b>1.510.986.6800</b>	818 Webster St. Oakland, CA 94607
Axis Community Health Center	<b>1.925.462.1755</b>	5925 W. Las Positas Blvd. #100 Pleasanton, CA 94566
Berkeley Public Health Clinic	<b>1.510.981.5350</b>	830 University Ave. Berkeley, CA 94710
East Oakland Health Center	<b>1.510.430.9401</b>	7450 International Blvd. Oakland, CA 94621
Eastmont Wellness Center	<b>1.510.577.5668</b>	6955 Foothill Blvd. Oakland, CA 94605
La Clínica de la Raza - Clínica Alta Vista	<b>1.510.535.4000</b>	3451 E. 12th St. Oakland, CA 94601
Native American Health Center	<b>1.510.535.4460</b>	3124 International Blvd. Oakland, CA 94601
Planned Parenthood, Hayward	<b>1.510.733.1819</b>	1866 B St. Hayward, CA 94541
San Antonio Health Center	<b>1.510.238.5400</b>	1030 International Blvd. Oakland, CA 94606
Tri-City Health Center	<b>1.510.770.8133</b>	39500 Liberty St. Fremont, CA 94538
West Oakland Health Center	<b>1.510.835.9610</b>	700 Adeline St. Oakland, CA 94607

Please remember to report all AIDS cases to the County Communicable Disease Division at **1.510.267.3240**.

### **Abortion Services**

The following guidelines apply to Alliance abortion services:

- In-network abortion services are available to all members without a referral or PA.
- Alliance Medi-Cal members have the right to abortion services within and outside of the Alliance provider network without a referral or PA.

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- The Alliance will NOT reimburse for abortions provided by out-of-plan providers for Group Care members without PA.
- Every effort shall be made to assist the member seeking abortion services. This includes providing timely and appropriate counseling, education, information, and referral.
- Providers shall assist members in identifying abortion service providers. Providers should refer to the Provider Directory or encourage the member to call the Alliance Member Services Department at **1.510.747.4567**.

### **Sterilization Services**

Written informed consent must be obtained from all members seeking sterilization procedures in accordance with state law. This applies to all members regardless of the product line in which they are enrolled and includes services for tubal ligations, sterilization, vasectomies, and hysterectomies.

A copy of the signed sterilization consent form must be maintained in the member's medical records. For Medi-Cal members, a copy of the consent must also be submitted to the Alliance in order to be reimbursed (see below). Consent submission to the Alliance only applies to Medi-Cal members. Providers do not need to submit a copy of the consent to the Alliance for members in other product lines.

A PA is not required for tubal ligations or vasectomies. A PA is required for hysterectomies.

### ***REQUIREMENTS REGARDING CONSENT***

The legal requirements listed below apply to the provision of sterilization services. Sterilization is covered only if all applicable requirements are met at the time the procedure is performed. If the member obtains retroactive coverage, previously provided sterilization services for tubal ligations and vasectomies are not covered unless all applicable requirements and California State Law, including the timely signing of an approved sterilization consent form, have been met.

### ***MEDI-CAL MANAGED CARE REQUIREMENTS***

Alliance members enrolled in Medi-Cal Managed Care must meet the requirements of the law specific to Medi-Cal funded members. This means that a member cannot waive the **30-day** waiting period between date of written consent and the actual performance of the procedure unless an emergency situation is documented in accordance with Title 22 CCR 51305.1.

When submitting claims for Medi-Cal members, a copy of an appropriately completed PM-330 must be submitted with claim for vasectomies and tubal ligations. Failure to submit the PM-330 will result in denial of payment to all providers involved in the delivery of the service until a properly completed PM-330 is submitted. If the PM-330 has not been properly completed in accordance with Medi-Cal guidelines, payment may be denied.

## **Alcohol and Drug Screening, Assessment, Brief Interventions and Referral to Treatment (SABIRT)**

Providers must provide SABIRT to members ages 11 years and older, including pregnant women. Unhealthy alcohol and drug use screening must be conducted using validated screening tools. When a screening is positive, validated assessment tools should be used to determine if unhealthy alcohol use, alcohol use disorder (AUD), or substance use disorder (SUD) is present. Assessment can be done without first using screening tools.

Brief misuse counseling should be offered for unhealthy alcohol use. Appropriate referral for additional evaluation and treatment, including medications for addiction treatment, must be offered for patients with probable AUD or SUD.

Brief interventions must include the following:

- Discussing negative consequences and the overall severity of the problem
- Supporting the patient in making behavioral changes
- Discussing and agreeing on plans for follow-up with the patient, including referral to other treatment if indicated

Member medical records must include the following:

- The service provided
- The name of screening instrument and score
- The name of assessment instrument and score
- If and where a referral to an AUD or SUD program was made

### ***Validated Screening and Assessment Tools***

(Note: Items marked \$ require a fee)

Screening:

- Cut Down-Annoyed-Guilty-Eye-Opener Adapted to Include Drugs (CAGE-AID)  
**[www.pedagogyeducation.com/Main-Campus/Resource-Library/Correctional-Nursing/CAGE-AID-Substance-Abuse-Screening-Tool.aspx](http://www.pedagogyeducation.com/Main-Campus/Resource-Library/Correctional-Nursing/CAGE-AID-Substance-Abuse-Screening-Tool.aspx)**
- Tobacco Alcohol, Prescription medication and other Substances (TAPS)  
**[www.drugabuse.gov/taps](http://www.drugabuse.gov/taps)**
- National Institute on Drug Abuse (NIDA) Quick Screen for adults  
**[archives.drugabuse.gov/nmassist](http://archives.drugabuse.gov/nmassist)**
  - The single NIDA Quick Screen alcohol-related question can be used for alcohol use screening
- Drug Abuse Screening Test (DAST-10) \$  
For use of this tool, please contact Dr. Harvey Skinner at: **[hskinner@yorku.ca](mailto:hskinner@yorku.ca)**
- Alcohol Use Disorders Identification Test (AUDIT-C)  
**[www.hepatitis.va.gov/alcohol/treatment/audit-c.asp](http://www.hepatitis.va.gov/alcohol/treatment/audit-c.asp)**

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- Parents, Partner, Past and Present (4Ps) for pregnant women and adolescents \$  
[www.ntiupstream.com/4psabout](http://www.ntiupstream.com/4psabout)
- Car, Relax, Alone, Forget, Friends, Trouble (CRAFT) for non-pregnant adolescents  
[www.crafft.org](http://www.crafft.org)
- Michigan Alcoholism Screening Test Geriatric (MAST-G) alcohol screening for geriatric population  
[hign.org/sites/default/files/2020-06/Try\\_This\\_General\\_Assessment\\_17.pdf](http://hign.org/sites/default/files/2020-06/Try_This_General_Assessment_17.pdf)

**Assessment:**

- NIDA-Modified Alcohol, Smoking and Substance Involvement Screening Test (NM-ASSIST)  
<https://archives.drugabuse.gov/nmassist/>
- Drug Abuse Screening Test (DAST-20) \$ - For use of this tool, please contact Dr. Harvey Skinner at [hskinner@yorku.ca](mailto:hskinner@yorku.ca)
- Alcohol Use Disorders Identification Test (AUDIT)  
[auditscreen.org](http://auditscreen.org)

**BILLING FOR SERVICES**

**SABIRT Billing Codes and Frequency Limits Table**

BILLING CODE	DESCRIPTION	WHEN TO USE	FREQUENCY LIMIT
G0442	Annual alcohol misuse screening, 15 minutes	Alcohol use screening	1 per year, per provider
H0049	Alcohol and/or drug screening	Drug use screening	1 per year, per provider
H0050+	Alcohol and/or drug services, brief intervention, per 15 minutes	Alcohol misuse counseling or counseling regarding the need for further evaluation/treatment	1 per year, per provider

**REFERRALS FOR TREATMENT**

For Alliance Medi-Cal members:  
Alameda County Behavioral Health Substance Use Treatment and Referral Helpline  
Toll-Free: **1.844.682.7215**  
[www.acbhcs.org/substance-use-treatment](http://www.acbhcs.org/substance-use-treatment)

For Alliance Group Care members:  
Beacon Health Options  
Toll-Free: **1.855.856.0577**  
[www.beaconhealthoptions.com](http://www.beaconhealthoptions.com)

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at [www.alamedaalliance.org](http://www.alamedaalliance.org).

## **Tobacco Cessation**

Alliance providers are responsible for tobacco use tracking, counseling, and referrals.

Providers should ask all patients about tobacco use at every visit and have a tobacco user identification system to track use.

Below are various ways to track:

- Record in the required Staying Healthy Assessment (SHA).
- Record in your Electronic Health Record (HER).
- Use ICD-10 codes for nicotine dependence.
- Use CPT codes for tobacco cessation counseling.

### ***TOBACCO CESSATION COUNSELING***

The Alliance covers the following types of tobacco cessation counseling and referrals:

- **Individual Counseling:** Providers can bill for tobacco cessation counseling.
- **Group Counseling:** Refer patients to Alliance Health Programs at **1.510.747.4577** for group classes.
- **Telephone Counseling:** Refer patients to the California Smoker's Helpline toll-free at **1.800.NO.BUTTS**. The Helpline also has special programs for pregnant smokers, teens, and e-cigarette users.

For additional training and resources on tobacco cessation counseling, please visit **[www.alamedaalliance.org/providers/provider-resources/training-and-technical-assistance-opportunities](http://www.alamedaalliance.org/providers/provider-resources/training-and-technical-assistance-opportunities)**.



## **Section 10: Services and Referrals for Newborns, Children, and Adolescents**

This section describes health care services that children in the Alliance are entitled to receive. Like adults, children are entitled to some services outside of the scope of a provider's practice. In such cases, providers should make assessments and, as appropriate, referrals for the conditions covered in this section.

### **Newborn Services**

#### ***ELIGIBILITY***

Babies born to mothers who are Alliance members are covered by the Alliance during the "newborn period."

The newborn period is not the same for all lines of business, and is calculated as follows:

- Medi-Cal Newborns – covered for the calendar month of birth and the month after.
- If the mother does not apply for the baby to receive their own insurance benefits, the baby will not be eligible for services, including Alliance services, after the newborn period. When this occurs, providers will not receive reimbursement or capitation for the baby from the Alliance.
- The Alliance sends reminders regarding newborn eligibility to the mother and can assist by providing enrollment information. However, providers are encouraged to also remind the parent/guardian to obtain separate benefits for the newborn and to choose a health plan and a PCP for continuity of care.
- Group Care Newborns – covered from the date of birth through the first **30 days** of life only.
- Dependents are not eligible to enroll in the Alliance Group Care Program.

#### ***BILLING***

Pediatric care will be paid on a fee-for-service basis for attending the delivery, routine newborn care, and sick newborn care during the newborn period. It is important to verify the mother's eligibility before providing service to the newborn.

#### ***PHENYLKETONURIA (PKU) TESTING & TREATMENT***

Testing and treatment of PKU, including formulas and special food products, are a covered benefit based upon the following guidelines:

- Part of a diet prescribed by a licensed physician and managed by a health care professional in consultation with a physician who specializes in the treatment of metabolic disease and who participates in or is authorized by the Alliance; and

- Provided that the diet is deemed medically necessary to avert the development of serious physical or mental disabilities or to promote normal development or function as a consequence of PKU.

## **Clinical Preventive Services for Children – Periodic Health Assessments**

This section outlines the PCP's responsibilities for preventive care services for children.

Periodic health assessments must be provided by PCPs for all members **ages 0-21**, according to the periodicity schedule and content of the most current Bright Futures/American Academy of Pediatrics (AAP) recommendations for preventive pediatric health care.

If the provider sees a child for urgent care and that child is not yet assigned to a PCP, the provider will be reimbursed at the base Medi-Cal fee-for-service rate for care, including preventive care provided at that visit.

### ***BLOOD LEAD SCREENING***

The PCP must provide oral or written anticipatory guidance to a parent or guardian of the child that, at minimum, includes information that children can be harmed by exposure to lead, especially deteriorating or disturbed lead-based paint and the dust from it, and are particularly at risk of lead poisoning from the time the child begins to crawl until **72 months** of age. This guidance must be performed at each periodic health assessment, starting at **six (6) months** of age and continuing until **72 months** of age.

Blood lead screening is required for all children at **12 months** and **24 months** of age. If the provider performs a periodic health assessment becomes aware that a child between **12 months** and **72 months** has no documented blood lead testing at either the **12 month** or **24 month** interval, the child becomes at increased risk, or the test is requested by the parent or guardian. The blood lead level test is not required if the parent or guardian refuses consent or the PCP determines it poses a risk to the child's health that is greater than the risk of lead poisoning. Any reason for not screening must be documented in the child's medical record. In cases where consent has been withheld, the medical record must include a signed statement of voluntary refusal or documentation of the reason for not obtaining one. Blood lead screening encounters should be identified using the appropriate CPT codes.

PCPs must follow the Childhood Lead Poisoning Prevention Branch (CLPPB) guidance when conducting blood lead screening tests, interpreting blood lead levels, and determining appropriate follow-up. CLPPB guidelines can be found at [www.cdph.ca.gov/Programs/ccdphp/deodc/clppb/pages/prov.aspx](http://www.cdph.ca.gov/Programs/ccdphp/deodc/clppb/pages/prov.aspx).

### **WRITTEN RESULTS**

For Medi-Cal members, PCPs must provide written results to the member (or member's parent or guardian, as appropriate) of the initial or periodic health assessments.

### **FOLLOW-UP ON MISSED OR CANCELED APPOINTMENTS**

PCPs must follow-up on missed or canceled appointments for preventive care. At least **two (2)** attempts to contact the member should be made and documented in the medical record.

If the PCP is still unable to contact the member, the PCP should contact the Alliance Member Services Department at **1.510.747.4567**. A representative will attempt to contact the member to assist in rescheduling the appointment.

### **DOCUMENTATION OF PREVENTIVE SERVICES**

The DHCS Facility Site Review (FSR) requirements include outreach from the provider when members have missed appointments.

The process established on-site provides timely access to appointments for routine care, urgent care, prenatal care, pediatric periodic health assessments/immunizations, adult Initial Health Assessments (IHA), specialty care and appointments, and follow-up of missed or canceled appointments. Systems, practices, and procedures used for making services readily available to patients will vary from site to site.

Missed and/or canceled appointments, and contact attempts must be documented in the patient's medical record.

For all Alliance members, preventive services including visits and immunizations must be billed to the Alliance, using the CMS 1500 billing form.

## **Immunizations**

### **STANDARDS**

Immunization information is available from the Centers for Disease Control (CDC) at **[www.cdc.gov/vaccines](http://www.cdc.gov/vaccines)**.

### **PROMOTION**

Pediatric immunizations must comply with the most recent standards of the "Pediatric Immunization Practices" (U.S. Public Health Service and AAP) and the "Recommended Childhood Immunization Schedule" (ACIP and AAP). These schedules are accessible via the Alliance website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**. Additional information is available on the web at **[www.cdc.gov/vaccines/recs/schedules/default.htm](http://www.cdc.gov/vaccines/recs/schedules/default.htm)**.

To increase immunization rates, all PCPs are encouraged to:

- Use each patient encounter as an opportunity to screen for needed immunizations. See the guides at the end of this section:
  - “How to Put Immunization Management into Infant and Toddler Medical Visits.”
  - “The Guide to Contraindications to Basic Childhood Immunizations.”
  - Inform the parent/guardian of the next scheduled immunization(s). Screen other children accompanying the member for immunization status. Members may access immunizations at county clinics or through the Public Health Department Immunization Programs. These providers are contracted with the Alliance and are reimbursed on a fee-for-service basis.

### ***VACCINES FOR CHILDREN (VFC) PROGRAM (MEDI-CAL ONLY)***

PCPs have access to free vaccines for Medi-Cal members **0-18 years of age** through the VFC Program. The administration fee for routine pediatric immunizations is paid on a fee-for-service basis for Alliance directly contracted providers. When the VFC Program does not provide the vaccine for the Medi-Cal program or other Alliance product lines, the PCP may bill the Alliance without prior authorization (PA) for the vaccine by submitting an invoice with the CMS 1500 claim form.

To contact the VFC Program:

- Community and county clinics should call **1.510.267.3230**
- Private providers should call **1.510.704.3750**

### ***IMMUNIZATION EDUCATION***

Federal law requires that Vaccine Information Sheets be handed out (before each dose) whenever certain vaccinations are given. These sheets are produced by the CDC, which explains to vaccine recipients, their parents, or their legal representatives both the benefits and the risks of a vaccine.

All handouts can be downloaded from the Immunization Action Coalition website at **[www.immunize.org](http://www.immunize.org)**.

### ***VACCINE STORAGE***

Providers must submit certification to the Child Health and Disability Prevention (CHDP) Program of their capacity to store vaccines for Medi-Cal members only. Providers are required to have a freezer and a refrigerator for vaccine storage.

### ***DOCUMENTATION***

Medical record documentation of member immunization status is required. Immunization status and immunizations given should also be documented.

## **REPORTING**

Providers must report vaccine preventable diseases to the local health department, Division of Communicable Diseases Control and Prevention, using the Confidential Morbidity Report Card.

## **Early Periodic Screening Diagnosis and Treatment (EPSDT) – Medi-Cal Only**

EPSDT services are a benefit for Medi-Cal members under **21 years of age** to help keep them healthy. Members must get the right health check-ups for their age and appropriate screenings to find health problems and treat illnesses early, such as a defect, physical or mental illness, or other condition. EPSDT services must be identified and referred in a timely manner.

## **METHODS OF SCREENING**

- Well-child visits.
- Regular check-ups to look for any problems with the member's medical, dental, vision, hearing, mental health, and any substance use disorders. The Alliance covers screening services any time there is a need for them, even if it is not during a regular check-up.
- Preventive care can be shots. The PCP must make sure that all enrolled children get the needed shots at the time of any health care visit.

When a physical or mental health issue is found during a check-up or screening, there may be care that can fix or help the problem.

These services covered by the Alliance include:

- Behavioral health treatment for autism spectrum disorders and other developmental disabilities.
- Case management, targeted case management, and health education.
- Doctor, nurse practitioner, and hospital care.
- Home health services, which could be medical equipment, supplies, and appliances.
- Physical, speech/language, and occupational therapies.
- Reconstructive surgery to correct or repair abnormal structures of the body caused by congenital defects, developmental abnormalities, trauma, infection, tumors, or disease to improve function or create a normal appearance.
- Shots.
- Treatment for vision and hearing, which could be eyeglasses and hearing aids.

## **OTHER EPSDT SERVICES**

If the care is medically necessary and the Alliance is not responsible for paying for the care, then the PCP should refer the member to California Children's Services (CCS) to get the care they need.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

These services include:

- Private duty nursing services.
- Treatment and rehabilitative services for mental health and substance use disorders.
- Treatment for dental issues, which could be orthodontics.

### ***REFERRALS FOR EPSDT SERVICES***

PCPs have a responsibility for identifying the need for EPSDT services (see EPSDT services definition in the Attachments at the end of this section) through routine primary care of Alliance members **0-21 years of age**.

Members/families must request EPSDT services through their PCP. An Alliance Authorization Request Form (AAR) must be submitted for EPSDT services. The Alliance will not pay for EPSDT services that have not received PA. If EPSDT services are rendered under emergency conditions, standard procedures for emergency care must be followed.

If an out-of-plan provider (such as a mental health specialist, school nurse, or family planning provider) who is providing services to an Alliance member determines that EPSDT services are needed, that provider must contact the Alliance Medical Services Department. The Alliance Medical Services Department will notify and consult with the member's PCP.

### ***CASE MANAGEMENT & COORDINATION OF CARE***

The PCP must maintain ongoing communication with the EPSDT services provider in order to ensure coordination of care. This communication shall be documented in the medical record. The PCP is still responsible for providing primary care services, diagnostic and treatment services, and appropriate referral for specialty care.

### **Women, Infants & Children (WIC)**

The WIC Program provides supplemental food vouchers and nutritional counseling to pregnant and breastfeeding women, and to infants and children under the **age of five (5)**. Eligibility is based on income.

Alliance providers of pediatric care should ensure the appropriate and timely referral of infants and children to the WIC Program. Alliance prenatal providers may refer pregnant women to WIC.

### **Early Intervention Services**

The Early Start Program is designed to provide comprehensive, coordinated and family-focused early intervention services to children from **birth to age 3**, who have, or are at risk for, developmental disabilities. Providers are responsible for the appropriate and timely referral of children from **birth to age 3** to the Early Start Program, and for participating in the coordination of care provided to children enrolled in Early Start.

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## **IDENTIFICATION**

PCPs are responsible, through the assessment and examination process, for identifying Alliance members with Early Start eligible conditions. Identification of the following conditions in a child **0-36 months** of age requires a referral within **two (2) working days** to Early Start.

## **DEVELOPMENTAL DELAYS**

A developmental delay may exist where there is a significant difference between the infant or toddler's current level of functioning and the expected level of development for his or her chronological age in one or more of the following developmental areas:

- Adaptive
- Communication
- Physical and motor including vision, hearing, and health status
- Social or emotional

## **REFERRALS**

Providers should refer directly to the appropriate agency for the Early Start Program as outlined below. Attempts should be made to obtain consent from the parents prior to making the referral. Providers must also release any requested information directly to the referral agency. Members may also self-refer into the Early Start Program.

For developmental delays or disabilities or high-risk infants, providers should refer to:

- Regional Center of the East Bay  
Phone Number: **1.510.383.1200**
- Family Resource Network  
Phone Number: **1.510.547.7322**
- Help Me Grow  
Toll-Free: **1.888.510.1211**

## **CASE MANAGEMENT AND ON-GOING CARE**

PCPs maintain responsibility for basic case management of a child enrolled in Early Start and for referrals for specialty care as indicated. PCPs should participate, as appropriate, in the development and monitoring of the Individual Family Service Plan managed by the referral agency. PCPs must also make medical reports available, as requested, to the early intervention team in order to support their completion of the Individual Family Service Plan within the mandated **45-day** time limit after the referral is made.



## Section 11: Perinatal Services

The Alliance defines perinatal services as care delivered to a pregnant woman to diagnose and manage the pregnancy and related conditions, the delivery, and the postpartum follow-up. The standards for the treatment of pregnant women in this section will help providers meet the goals we all share – healthy mothers and children.

The Alliance recognizes that the Medi-Cal enrollment process presents a challenge to providers in the provision of quality prenatal care. Many women enter the plan well into their pregnancies. Regardless of when a pregnant woman enters the plan, it is imperative that providers see pregnant women as soon as possible.

### ***PRENATAL PROVIDER ROLE***

Prenatal providers should follow the same authorization protocols as PCPs.

The prenatal provider, during the course of the member's pregnancy, is considered the gatekeeper or manager of the member's care. As such, prenatal providers may refer members to specialty services, all such referrals and authorizations must be given prior to the provision of care. Retrospective authorization of services is not permitted.

## **Perinatal Services**

### ***PREGNANCY TESTING***

Pregnancy testing is available to members through their PCP or from any obstetrician/gynecologist (OB/GYN), or family planning provider. No prior authorization (PA) is needed. A Medi-Cal member may receive a pregnancy test within or outside of the Alliance network. Alliance members enrolled in Alliance programs other than Medi-Cal must receive pregnancy test services in-network.

### ***PRENATAL CARE APPOINTMENTS***

Entry into prenatal care does not require a referral or PA. Prenatal appointments should be scheduled within **one (1) week** of the member's request.

Members may go to any obstetric (OB) provider in the Alliance network, unless they are assigned to Kaiser or CHCN. Alliance members assigned to Kaiser must receive OB care from Kaiser providers. CHCN members must receive OB care from a CHCN provider or a provider contracted with CHCN.

### ***HIGH-RISK PRENATAL CARE***

If a member's medical history or current condition indicates she may have a high-risk pregnancy, the member may be referred to the following types of Alliance practitioners:

- A Sweet Success affiliate for pregnancy and diabetes

- Genetic counselors
- Pediatricians and neonatologists for intensive newborn care and CHDP follow-up
- Perinatologists and obstetricians certified for high-risk care
- Tertiary ultrasonographers

To find the above providers, please refer to the Alliance Provider Directory.

### ***DELIVERY***

Members must deliver at an in-network hospital with which their prenatal provider is affiliated.

### ***NOTIFICATION OF ADMISSION***

The hospital is responsible for contacting the Alliance Medical Services Department when the member is admitted for delivery within **one (1) working day** of the admission.

### ***POSTPARTUM CARE***

The routine postpartum visit should generally be provided **21-56 days** after delivery, although this interval may be modified if warranted by the needs of the patient. The postpartum review should include interval history and physical examination, laboratory data as indicated, family planning counseling, and nutritional health education and psychosocial reassessments.

The Alliance reimburses fee-for-service (FFS) for the postpartum visit using CPT code 59430, as long as the date of service is on or between **21-56 days** from the date of birth.

### ***NEWBORNS' AND MOTHERS' HEALTH ACT***

#### **Notice During Prenatal Care**

During the course of prenatal care, prenatal providers must give Alliance members written notice of their benefits coverage under the Newborns' and Mothers' Health Act of 1997 (NMHA). "A Healthy Pregnancy – The Alliance Can Help!" has been developed and translated for this purpose and can be found in Section 10 Attachments of this manual.

#### **Maternity Lengths of Stay**

A health plan cannot require discharge from a maternity stay sooner than **48 hours** (vaginal delivery) or **96 hours** (cesarean section) unless certain criteria are met. The Alliance does not limit maternity lengths of stay. A decision to discharge must be made by the treating physician in consultation with the mother. The prenatal provider must then advise the mother that she may receive a post-discharge follow-up visit within **48 hours** of discharge.

### ***PERINATAL ASSESSMENT***

Many providers may be affiliated with the Comprehensive Perinatal Services Program (CPSP). The forms and protocols connected with this program satisfy Medi-Cal Managed Care requirements for perinatal assessment and intervention.

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If a provider is not affiliated with CPSP, it is necessary to do comparable perinatal assessments. This assessment should be administered at the initial prenatal visit, once each trimester thereafter, and at the postpartum visit. Risks identified should be followed up on by appropriate interventions, which must be documented in the medical record. The assessment must also be kept in the medical record. The Alliance provides prenatal assessment forms in the Alliance threshold languages. The Alliance recommends all providers use the Alameda CPSP postpartum assessment.

Please see the referenced sections of this manual for details on how to refer to these programs or services:

- CHDP
- Dental Services
- Family Planning
- Genetic Screening and Counseling
- Perinatal Health Education Classes and Handouts
- STD Screening and Treatment
- WIC

### ***PRENATAL CARE AND CONSULTATIONS BY PERINATOLOGISTS***

Perinatologists may provide three types of services to Alliance members:

- Consultations
- Perinatology OB care
- Routine OB care

The authorization and claims process that perinatology practices must follow for each of these types of services is detailed below. Payment will be denied for any service that requires, but has not received, PA from either the prenatal provider or the Alliance Medical Services Department.

### ***ROUTINE OB CARE (NOT PERINATOLOGY)***

Routine OB care provided to Alliance members by a Perinatology practice, which is not Perinatology care, must be billed according to the standard OB billing procedures.

Routine OB care does not require an authorization number and will be paid at the applicable global rate.

### ***ONGOING PERINATOLOGY CARE***

If an Alliance member's total OB care must be managed by the perinatologist because of a high-risk medical condition, the following procedures apply:

- The perinatologist must submit an Alliance Authorization Request Form to the Alliance in order to obtain authorization for that pregnancy to be billed fee-for-service by the perinatology practice. The medical condition necessitating perinatology management must be documented.

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- The Alliance Medical Services Department will issue an authorization number for all services related to that high-risk pregnancy.
- The CMS 1500 is submitted for all services rendered and payment is made on an FFS basis. Each CMS 1500 must have the original authorization number issued for that pregnancy documented in Box #23.
- The perinatologist is responsible for administration of the required perinatal assessments. Forms for this assessment have been developed and translated by the Alliance. They are available in Section 10 Attachments of this manual. Per Medi-Cal Managed Care direction, this assessment should be administered at the initial prenatal visit, once each trimester thereafter, and at the postpartum visit. Risks identified should be followed up on by appropriate interventions, which must be documented in the medical record. The assessment must also be kept in the medical record.

### **Reimbursement and Documentation of OB Services**

- Use a HCFA 1500 (CMS 1500) form to bill for all prenatal, delivery, and postpartum services.
- Submit claims within the following time frame:
  - Initial prenatal visit - within **90 days** of the first prenatal visit.
  - The balance of the prenatal care and the delivery – within **90 days** of the delivery postpartum office visit (must be provided between **21-56 days** after delivery date) – within **90 days** of the date of service.
- When submitting a claim for the initial prenatal visit:
  - Use the appropriate ICD-10 codes to document a high-risk pregnancy. The diagnosis code submitted on the initial prenatal visit claim will determine whether that visit is paid at the normal or at-risk rate.
- When submitting a final claim for antepartum and/or delivery care, services will be paid according to the following:
  - Global payment when antepartum care and delivery services are provided.
  - Fee-for-service when antepartum care only is provided; determined by the total number of visits.
  - If only **two (2)** or **three (3)** antepartum visits are provided, bill individually for each of these visits. If a total of **four (4)-six (6)**, or **seven (7) or more** visits are provided, bill the appropriate code for that number of visits (in addition to the initial visit).
  - Fee-for-service when delivery only.
- Postpartum office visit will only be reimbursed when the postpartum visit is between **21-56 days** after delivery.

### ***SERVICES INCLUDED IN GLOBAL PAYMENTS FOR OB CARE***

The following services are included in the risk-adjusted global OB payments:

- Prenatal visits
- Prenatal laboratory tests sent to Quest

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- Hospital visits for delivery stays less than **72 hours**
- Health education, nutrition, and psychosocial counseling provided by office staff, unless authorized by Alliance
- Hospital visits, except antepartum greater than **72 hours**
- Delivery

***SERVICES NOT INCLUDED IN GLOBAL PAYMENTS FOR OB CARE***

The following services are not included in the global OB payments and may be billed fee-for-service:

- Abortions
- Amniocentesis
- Chorionic villus sample
- Family planning visit
- Immunizations
- Lab tests included in the PCP capitation scope of service
- Non-stress tests
- Postpartum care (only when provided **21-56 days** from delivery)
- Pregnancy test
- Prenatal genetic testing
- Sonograms
- Treatment of a sexually transmitted disease
- Tubal ligations

## Section 12: Out-of-Plan Services

Alliance Medi-Cal members are entitled to many services that are not provided through the Alliance. Providers must ensure that members have access to these out-of-plan services. The Alliance requires providers to assess each member for the various types of services included in this section and refer members appropriately.

### **California Children Services (CCS)**

California Children Services (CCS) Alliance Medi-Cal members **0-21 years of age** can receive care through CCS for specific eligible conditions as outlined in this section. CCS financial eligibility is automatic with Medi-Cal coverage. Providers treating a member with a CCS-eligible condition and/or an open CCS case should obtain authorization for services for that condition directly from CCS. The Alliance will also work directly with CCS to coordinate the payment of care; this involves referring eligible cases, obtaining authorization from CCS, and forwarding claims for payment if there is an open authorization. If the condition is not CCS-eligible or if CCS eligibility is uncertain, providers should follow the authorization procedures for the Medi-Cal Program members.

**PLEASE NOTE:** The provider must be an authorized (also known as paneled) CCS provider in order to provide care and receive compensation for treatment of a CCS patient for the eligible condition.

To obtain more information about the paneling process and to submit an application, please visit <https://cmsprovider.cahwnet.gov/PANEL/index.jsp>.

### ***CONDITIONS ELIGIBLE FOR CCS***

Please refer to the DHCS website for listing at [www.dhcs.ca.gov/services/ccs/Pages/default.aspx](http://www.dhcs.ca.gov/services/ccs/Pages/default.aspx).

### ***ESTABLISHING A CCS CASE***

Any provider, parent, social worker, or teacher may contact CCS to establish a case. Contacting CCS is not an authorization of service but does establish the earliest date for which eligibility may apply and begins the process of opening the case. CCS referral, an Alliance authorization, or PCP referral must be in place for any services to be covered.

The Alliance will pay for all eligible medical services during the interim referral period and if CCS denies the case. PCPs who identify a condition which may require CCS services should complete an AAR for other services, such as, DME or inpatient and outpatient services. Submit the AAR to the Alliance. Completion of the form ensures that the provider will be paid by the Alliance if the condition is not medically eligible for CCS.

Indicate on the AAR that a referral to CCS is requested. The Alliance will refer any case to CCS whose diagnosis might meet CCS eligibility criteria. Members can begin care with the specialist immediately.

Providers do not have to wait for CCS to determine eligibility because the services have been authorized by the Alliance or the PCP and will be paid by the Alliance in the interim period.

### ***DIRECT REFERRAL TO CCS***

If providers wish to contact CCS directly, the following information is required:

- Patient name
- Date of birth
- Medi-Cal member identification number
- Name, address, and telephone number of the parent/legal guardian
- Address and telephone of child, if different
- Medical condition
- Referring provider's name and phone number
- Medical notes, which must include plan of treatment

The information may be faxed or mailed to CCS. Identify the specialist for referral if one has been selected. CCS will honor the request if the physician is CCS-paneled. Referrals should be made to specialists in the Alliance network who are also CCS paneled.

#### **CALIFORNIA CHILDREN SERVICES (CCS)**

1000 Broadway, Suite 5000  
Oakland, CA 94607  
Phone Number: **1.510.208.5970**  
Fax: **1.510.267.3254**

Providers should also forward copies of medical reports that support the CCS-eligible condition or suspected condition. In addition to a history and physical, these might include laboratory test results, diagnostic imaging reports, and operative reports or pathology findings.

### ***COORDINATION OF CARE***

PCPs are required to coordinate services with CCS specialty providers. If the member is eligible for CCS services, CCS will provide medical case management for the specific CCS condition. In all cases, PCPs must continue to provide primary case management to the member. Children with CCS-eligible conditions should still see their PCP for routine care, urgent care of non-eligible conditions, and for preventive care, including immunizations.



## **CLAIMS**

If the specialist has received CCS authorization for services, the specialty provider should submit claims for payment directly to the county CCS program. If CCS eligibility is pending or denied, specialty providers can send claims for care authorized by the Alliance or referred by an Alliance provider to the Alliance Claims Department. Upon CCS authorization, the Alliance will forward the claim to CCS.

## **Dental Screening – Medi-Cal**

Dental screening is a component of a comprehensive health assessment for all members. PCPs should refer Medi-Cal members **0-20 years** of age to fee-for-service (FFS) Denti-Cal providers. Members may also self-refer to dental services.

## ***IDENTIFICATION, DOCUMENTATION, AND REFERRAL FOR CHILDREN***

Dental screening for children is a required component of the CHDP exam. Mouth and teeth should be assessed, and referrals made as follows:

- For children younger than **three (3) years old**, make a dental referral if any problems are suspected.
- For children **3-20 years old**, ask the family/guardian if the child has seen a dentist in the past **12 months**. A referral should be made if the child has not seen a dentist or a problem is identified.
- All dental assessments and referrals for children must be documented on the PM-160.
- Call CHDP at **1.510.208.5960** for a copy of the roster of dentists who accept Denti-Cal patients.

## ***IDENTIFICATION, DOCUMENTATION, AND REFERRAL FOR ADULTS***

Medi-Cal does not pay for dental care for adults **21 years of age and older**. PCPs should still assess whether adult patients have seen a dentist in the past **12 months**. If not, adult patients should be encouraged to find a dentist who provides low-cost dental care. For more information, please call Denti-Cal toll-free at **1.800.322.6384**.

## ***TOPICAL FLUORIDE VARNISH***

Topical fluoride varnish is a benefit for Medi-Cal children younger than **six (6) years of age**, up to **three (3) times** in a **12-month period**. In addition to dentists, physicians, nurses, and medical personnel are permitted to apply fluoride varnish when the attending physician delegates the procedures and establishes protocol.

## **Tuberculosis (TB) Control Services**

### ***CLINICAL GUIDELINES***

Providers should follow the guidelines of the American Thoracic Society in the provision of TB services. The Mantoux tuberculin test is the only screening test to be used.

Alliance providers must identify, refer, and coordinate services with the Alameda County Health Care Services Agency, Division of Communicable Disease Control and Prevention, or Directly Observed Therapy (DOT) or Directly Observed Preventive Therapy (DOPT) for tuberculosis. All suspected or confirmed TB cases should be reported to the TB Controller.

### **REPORTING**

Per Title 17 of the California Code of Regulations, providers must promptly report all suspected or confirmed TB cases to the TB Controller within **one (1) day** of identification.

A completed Confidential Tuberculosis Report must be faxed to:

TB Controller  
Division of Communicable Disease Control and Prevention  
Phone Number: **1.510.577.7000**  
Fax: **1.510.577.7024**

### **REFERRAL CRITERIA**

Some TB patients may require more medical management than typically provided by a PCP.

Patients meeting any of the following criteria require a referral DOT or DOPT when there is suspected or diagnosed TB:

- History of previous TB treatment
- Patients on intermittent therapy
- Smear and culture positive **three (3) months** into therapy
- Patients whose treatment has failed, or who have relapsed after completing a prior regimen, or who demonstrate slow sputum conversion or clinical improvement
- Demonstrated drug resistance to either Isoniazid or Rifampin
- Adverse reaction to TB medications
- Immunocompromised, or at risk of being immunocompromised
- Too ill for self-management
- Children and adolescents
- Living in home with another case of DOT
- Homeless or shelter residents
- History of drug or alcohol abuse
- Poor or non-acceptance of TB diagnosis
- Individuals demonstrating noncompliance
- Major psychiatric, memory, or cognitive disorder
- Any patient the physician or nurse case manager deems at risk for noncompliance

Document the referral to DOT/DOPT in the member's medical record.

### ***HOSPITAL DISCHARGE***

Providers must notify the TB Control Unit at **1.510.208.5940** at least **24 hours** prior to the anticipated hospital discharge of a member who is a TB suspect/case. Fax a completed TB Discharge Treatment Plan (see attachments at the back of this section) to **1.510.628.7898**.

### **Long-Term Care (Medi-Cal)**

The Alliance Medical Services Department will identify, refer, and assist Medi-Cal members in need of long-term care services with transfer into a long-term care facility. Long-term care needs are determined in accordance with the medical criteria delineated in Title 22, California Code of Regulations. These facilities include skilled nursing facilities, subacute facilities, pediatric subacute facilities, and intermediate care facilities. This does not apply to hospice care.

If a member requires long-term care placement that extends beyond the month of admission and the next month, the member will be disenrolled from the Alliance and moved into the Medi-Cal fee-for-service system. This policy applies even when the Alliance is not the primary payer of the first and second month of admission. The Alliance will ensure continuity of care for the member by facilitating this transition with the provider, the member, and the Medi-Cal Field Office.

### ***DOCUMENTATION***

Providers must send the Alliance a complete history of the illness including diagnostic tests, procedures, and treatments from all physicians involved in the member's care. Documentation must include a statement from the provider that verifies that the member will be a long-term care resident extending beyond the month following the month of admission.

Providers must complete the Medi-Cal Medical Exception Form and return it to the Alliance Medical Services Department.

### **Medi-Cal Rx – Outpatient Pharmacy Benefit (Medi-Cal Only)**

Effective Saturday, January 1, 2022, the Medi-Cal pharmacy benefit is administered by Magellan Medicaid Administration, Inc. (Magellan), and covered by the Department of Health Care Services (DHCS). The Alliance will no longer cover outpatient pharmacy. All providers will be required to use the Medi-Cal Rx Portal to submit authorizations for medications that require authorization and receive payment for these claims. The new program will be called "Medi-Cal Rx".

Individual prescribers will each need to register to the Medi-Cal Rx portal to be a user by:

1. Visiting **[www.medi-calrx.dhcs.ca.gov](http://www.medi-calrx.dhcs.ca.gov)**
2. Clicking on "Provider Portal"
3. Then click on "Register"

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Once registered, providers will receive a PIN number in the mail to the address used when they signed up through the Medi-Cal Rx portal. **It could take up to three (3) months to receive a PIN number in the mail.** Once received, the rest of the Medi-Cal Rx registration process may be completed online using the assigned PIN number.

### ***MEDI-CAL RX APPEALS***

Providers will be able to submit appeals for prior authorization (PA) denials, delays, and modifications through the Medi-Cal RX portal once they have registered or by mail to:

Medi-Cal CSC, Provider Claims Appeals Unit  
P.O. Box 610  
Rancho Cordova, CA, 95741-0610

Member appeals will be handled through a State Fair Hearing by the California Department of Social Services. This process is different from the appeal process you may have used with the Alliance. In a State Hearing, a judge reviews the request and makes a decision.

The State Hearing Request Form is available at **[www.dhcs.ca.gov/services/med-cal/pages/medi-cal-fairhearing.aspx](http://www.dhcs.ca.gov/services/med-cal/pages/medi-cal-fairhearing.aspx)**. Instructions and additional options can be found on the DHCS website.

## Section 13: Health Education

Health education services are important benefits that the Alliance offers to providers and members. This section outlines some of the available services.

### Health Education Services

The Alliance offers health education services to Alliance members at no cost. Alliance-sponsored classes, materials and self-management programs help members achieve healthy lifestyles, prevent illness and injury, and manage health conditions. The Alliance partners with many local agencies and providers to offer health education services. Programs and handouts are designed to meet the cultural, linguistic, and health literacy needs of our members. Providers can find a listing of health education offerings and community referrals in our Provider Health Education Resource Directory on the Alliance website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Alliance Health Programs currently offers the following:

- Enrollment for eligible members into a CDC-approved Diabetes Prevention Program.
- Interpreter services and transportation for members who attend health education classes sponsored by the Alliance.
- Patient handouts on many health topics in English, Spanish, Chinese, and Vietnamese.
- Referrals to classes or groups on diabetes, hypertension, CPR/first aid, weight management, pregnancy/breastfeeding/childbirth, and parenting.
- Referrals for one-on-one support with diabetes, childhood asthma, and breastfeeding.

There are many ways to request health education services:

- Call Alliance Health Programs at **1.510.747.4577**
- Download materials and forms at **[www.alamedaalliance.org/live-healthy](http://www.alamedaalliance.org/live-healthy)**
- Members can mail or fax the Member Wellness Request Form
- Providers can fax the Provider Wellness Request Form

Our programs often change to ensure we meet the needs of our members and providers. Please contact us to receive the most current information:

Alliance Health Programs  
Phone number: **1.510.747.4577**  
Email: **[livehealthy@alamedaalliance.org](mailto:livehealthy@alamedaalliance.org)**  
**[www.alamedaalliance.org/providers/patient-health-wellness-education](http://www.alamedaalliance.org/providers/patient-health-wellness-education)**

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## Section 14: Serving Your Diverse Population

Alameda County is culturally diverse, and residents speak a wide variety of languages. This section will help you provide Alliance members with culturally and linguistically appropriate services.

In accordance with the US code of Federal Regulations, Title 42, CFR Section 440.262, we ask that all Alliance providers promote access and delivery of service in a culturally sensitive manner to all patients, including those with limited English proficiency, diverse cultural and ethnic backgrounds, disabilities, and regardless of gender, sexual orientation, or gender identity. These methods must ensure that patients have access to covered services that are delivered in a manner that meet their unique needs.

The Alliance is committed to providing services in a member's preferred language, including American Sign Language. This section outlines how language preferences are identified and the requirements for providers with respect to language access and documentation.

### ***TITLE VI COMPLIANCE***

Alliance providers must comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. Section 2000d and 45 C.F.R. Part 80). Title VI prohibits recipients of federal funds, such as, Medicare/Medi-Cal providers, from discriminating against persons based on race, color, or national origin.

In accordance with Title VI, all Alliance providers (PCPs, ancillary, specialty, and inpatient providers) must provide access to services in the member's language at all points of contact. At no time should a provider rely on translation or interpretation services from a member's own family members or friends, unless the member insists, and the use of a nonqualified interpreter is documented.

When a member selects a provider who does not speak the member's language, the provider is still obligated to meet the member's language needs in compliance with Title VI by utilizing in-house bilingual and/or bi-cultural staff, using over-the-phone or video interpreter services, or scheduling in-person services for American Sign Language (ASL), complex, or highly sensitive appointments. These services are provided at no cost to the member.

### **Documenting Staff Language Proficiency**

All Alliance providers must keep documentations of the language proficiency for all their clinical and non-clinical employees who are bilingual and communicate with a patient in a language other than English. Documentation should include demonstration of proficiency in both English and the other language(s) being assessed and a fundamental knowledge of health care terminology and concepts relevant to health care delivery systems in English and other language(s) being assessed.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **Signage for Interpreter Services**

Providers should have multilingual signage available for non-English-speaking patients. This will help providers and office staff identify which languages Alliance members speak. The Alliance can provide providers with a sign for use in their office which states: “Point to your language! We will get you an interpreter,” in multiple languages. For a sign, please see Section 13 attachments, or for a laminated copy call the Alliance Provider Services Department at **1.510.747.4510**.

If a provider is unable to offer language access for the member through their office’s own resources, the Alliance will assist with interpreter services at no cost to the provider or member. Please refer to “Requesting Interpreter Services” in this section.

### ***QUALIFIED MEDICAL INTERPRETER SERVICES***

The Alliance provides interpreter services that include telephonic, video, or in-person interpretation when a provider cannot meet the language needs of an Alliance member. These interpreter services are used during discussions of medical and non-medical information. Hospitals are required to provide interpreter services to patients.

At the time of scheduling the medical appointment, please ask the patient (or minor patient’s parents) what their preferred language is for speaking and for reading. When an Alliance member’s language needs cannot be met by the provider, please offer to arrange for interpreter services. Please document the member’s preferred language and any refusal of qualified interpreter services in the medical chart.

## **Requesting Interpreter Services**

The Alliance provides no-cost interpreter services including ASL for all Alliance-covered services, 24 hours a day, 7 days a week. Please confirm your patient’s eligibility before requesting services.

### ***TELEPHONIC INTERPRETER SERVICES***

Common uses for telephonic interpreter services:

- Routine office and clinic visits
- Pharmacy services
- Freestanding radiology, mammography, and lab services
- Allied health services such as physical occupational or respiratory therapy

To access telephonic interpreters:

1. Please call **1.510.809.3986**, available 24 hours a day and 7 days a week.
2. Enter your PIN (CHCN-1001, CFMG -1002, Beacon -1003, Alameda Health Systems –1005, All other providers–1004).
3. Provide the nine-digit Alliance member ID number.
4. For communication with a patient who is deaf, hearing, or speech impaired, please call the California Relay Service (CRS) at **711**.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



### **IN-PERSON INTERPRETER SERVICES**

Members can receive in-person interpreter services for the following:

- Sign language for the deaf and hard of hearing.
- Complex courses of therapy or procedures, including life-threatening diagnoses (examples: cancer, chemotherapy, transplants, etc.) .
- Highly sensitive issues (examples: sexual assault or end of life).
- Other conditions by exception. Please include your reason in the request.

To request in-person interpreters:

1. You must schedule in-person interpreter services at least **five (5) business days** in advance. For ASL, **five (5) days** is recommended, but not required.
2. Please complete and fax the Interpreter Services Appointment Request Form to the Alliance at **1.855.891.9167**. To view and download the form, please visit **[www.alamedaalliance.org/providers/provider-forms](http://www.alamedaalliance.org/providers/provider-forms)**.
3. The Alliance will notify providers by fax or phone if for any reason we *cannot* schedule an in-person interpreter.
4. If needed, please cancel interpreter services at least **48 hours** prior to the appointment by calling the Alliance Provider Services Department at **1.510.747.4510**.

### **VIDEO AND CONFERENCE PLATFORM INTERPRETER SERVICES**

For more information on video interpreters and telehealth visits using online platforms, please email **[interpreters@alamedaalliance.org](mailto:interpreters@alamedaalliance.org)**.

### **TRANSLATION OR ALTERNATE FORMAT OF ALLIANCE DOCUMENTS**

Members can also request written member materials in a language or format they need. All key Medi-Cal Alliance materials are offered in English, Spanish, Chinese, and Vietnamese. Key Group Care materials are offered in English, Spanish, and Chinese. Members can call the Alliance Member Services Department to request materials in their preferred language, or materials in an audio, Braille, large print, or other alternative formats. Professional medical interpreter services, written translation, and alternate formats of plan member literature are available to Alliance members at no cost.

For more information, please call:

Alliance Member Services Department

Monday – Friday, 8 am – 5 pm

Phone Number: **1.510.747.4567**

Toll-Free: **1.877.932.2738**

People with hearing and speaking impairments (CRS/TTY): **711/1.800.735.2929**

### ***MATCHING MEMBER AND PRIMARY CARE PROVIDER LANGUAGE***

Members may select their PCP by language using the Provider Directory. The Provider Directory identifies the language capabilities available in the provider office. Alliance members are encouraged to choose their own PCP. However, if a member does not choose a PCP, one will be chosen for the member through auto-assignment. If auto-assignment is necessary, the Alliance makes every effort possible to match the member's preferred language with the provider office's capabilities.

## **Cultural and Linguistic Provider Training and Development**

### ***CULTURAL SENSITIVITY TRAINING***

All Alliance providers and clinic staff are required to complete a sensitivity training as a part of the new provider orientation and regularly thereafter to keep staff updated on best practices and changing member demographics.

The training includes:

- Cultural competency/sensitivity.
- Diversity among the Alliance's member population.
- Provider and member resources to include language assistance services and translated materials.
- Best practices for providing health care services to members with limited English proficiency, diverse cultural and ethnic backgrounds, senior and persons with disabilities, and diverse gender, sexual orientation, or gender identities.

The Alliance's Cultural Sensitivity Training is updated annually and available online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**. Providers can also request a copy by calling the Alliance Provider Services Department at **1.510.747.4510**.

Providers and office staff who need help locating culturally and linguistically appropriate health education materials can call Alliance Health Programs at **1.510.747.4577**.

## **Monitoring Cultural and Linguistic Access and Quality of Care**

One of the Alliance's goals is to evaluate, implement, and integrate cultural and linguistic competency across plan operations in order to create a culturally competent organization, increase access to care, enhance quality of care and health outcomes, maximize patient satisfaction and retention, and reduce health disparities. Measuring and improving cultural competency is a key factor in reducing sociocultural barriers to health care. The ultimate goal is to increase quality of care for all Alliance members, with an emphasis on reducing health disparities for our largest ethnic and language groups.

The Alliance monitors cultural and linguistic access and quality of care through member surveys, membership data, claims data, special studies, site reviews, complaint data, and quality indicators.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

### ***FACILITY SITE REVIEWS (FSR)***

During PCP facility site reviews (FSR), Alliance staff will evaluate:

- Whether providers are recording the member's preferred language in medical records or on a computerized system.
- The procedures followed when serving a member whose preferred language is not English.
- Whether persons providing language interpreter services, including sign language, are trained in medical interpretation.
- Whether site personnel used as interpreters have been assessed for their medical interpretation performance skills/capabilities, and whether a written policy is in place.
- The provider's system for scheduling members who require interpretation services.
- The availability of patient literature and signs in languages other than English.

### ***REVIEW OF QUALITY INDICATORS***

The Alliance examines culture and language as elements in its quality studies. These studies allow the Alliance to identify patterns of use that may indicate unmet cultural and linguistic needs.

For example, a study may ask:

- Are members who speak a primary language other than English less likely to go to an Initial Health Assessment (IHA)?
- Are visits to the emergency room disproportionately high for some populations?
- Are there significant differences in satisfaction rates that can be linked to race, culture, or language?

Results are used to help the Alliance and its providers develop services to ensure access for members with cultural and linguistic needs.

## Section 15: Transportation Services

This section contains information on the transportation benefits covered by the Alliance.

### Transportation Benefits

#### **MEDICAL TRANSPORT SERVICES**

Medical transport is transport that is medically necessary.

Benefits include:

- **Emergency Medical Transportation (EMT)** – Ambulance transport to the nearest hospital is covered if the member has reason to believe that the medical problem is an emergency, and that the problem calls for emergency transport. This includes ambulance transport services supplied through the “911” emergency response system.
- **Non-Emergency Medical Transportation (NEMT)** – NEMT includes transportation by ambulance, wheelchair vans, and gurney vans to or from Alliance-covered services and can be used when:
  - Medically needed
  - A member cannot use a bus, taxi, car, or van to get to their appointment because they require assistance to travel
  - Is requested by a treating physician; and
  - Approved in advance and arranged by the Alliance’s transportation vendorAll requests for NEMT require prior authorization (PA). The transportation must be certified as medically necessary by a physician treating the member. Members or providers can request NEMT by faxing the Physician Certification Statement (PCS) form to the Alliance’s transportation vendor at **1.877.457.3352** and calling toll-free at **1.866.529.2128** for assistance at least **seven (7) business days** before the scheduled appointment and as soon as possible in the case of urgent appointments. Hospital discharges must be arranged at least **four (4) hours** in advance. The Alliance will approve only the lowest-cost type of NEMT that is adequate for the member’s medical need and is available at the service level required.
- **Non-Medical Transportation (NMT)** – NMT includes transportation by public transportation, taxi, or other car to Medi-Cal-covered services can be used when:
  - A member is able to travel without assistance but requires transportation to or from services covered by Medi-Cal; and
  - Approved in advance and arranged by the Alliance’s transportation vendor.Requests for NMT may require PA but do not require a physician’s signature. Members or providers can request NMT by completing the PCS form and faxing it to the Alliance’s transportation vendor at **1.877.457.3352** or by calling toll-free at **1.866.529.2128** to request for services.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Hospital discharges must be arranged at least **four (4) hours** in advance. Certain NMT services will require the PCS form to be completed by the member's provider to verify the level of service needed. The Alliance will approve only the lowest-cost type of NMT that is adequate for the member's medical need and is available at the service level required.

## **Section 16: Formulary and Pharmacy Services (Group Care Only)**

This section covers how to obtain pharmacy services for Group Care members only. Please refer to section 12: Out-of-Plan Benefit to get information on the pharmacy benefit for Medi-Cal members.

The Alliance subcontracts with a Pharmacy Benefit Manager (PBM) for select pharmacy services through PerformRX LLC (PerformRX).

PerformRX's role in the Alliance Group Care network includes:

- Conducting online Drug Utilization Evaluation (DUE) programs at the point of sale
- Developing and leading the drug class reviews in the Pharmacy & Therapeutics (P&T) committee meetings
- Managing the pharmacy network
- Monitoring and reporting drug utilization patterns
- Processing initial prior authorization (PA) and exception requests
- Processing pharmacy claims

Providers and pharmacies are encouraged to contact PerformRX for questions related to prior authorizations and claim transactions. The most current contact information, operating hours, and operating manual related to PerformRX is located online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

### **Formulary**

The Alliance maintains a formulary (Preferred Drug List) for Group Care members for the outpatient prescription benefit (also known as a retail pharmacy benefit). The formulary lists drugs available to Alliance Group Care members without the need for a PA request.

The Alliance P&T Committee is responsible for the development of the formulary using sound clinical evidence. Therapeutic classes in the formulary are reviewed at least annually by the P&T Committee. The P&T Committee consists of the Alliance Chief Medical Officer (CMO) or designee, Alliance Director of Pharmacy, licensed practicing pharmacists, and licensed practicing physicians from the community. The group meets at least quarterly.

Updates to the formulary are communicated online to both members and providers.

Providers may request changes to the formulary. Request for changes are reviewed during the P&T meeting the following quarter.

The Alliance P&T Committee uses the following criteria in the evaluation of drug selection for its formulary:

- Comparable cost and outcomes of the total cost of drug and medical care
- Comparison of relevant drug benefits to current formulary drugs of similar use, with a goal of minimizing duplication
- Drug effectiveness
- Drug efficacy
- Drug safety profile

### **FORMULARY CATEGORIES**

There are **five (5)** drug dispensing categories on the Alliance formulary, including restrictions and preferences:

#### **1. Formulary Drugs**

Drugs on the formulary are preferred and may or may not require PA for claim adjudication.

When covered, the drugs may also have one or more of the following restrictions:

- Generic Substitution – The generic equivalent has to be dispensed when available.
- Biosimilar Substitution Required – The request is for a brand name drug that has at least **one (1)** Food and Drug Administration (FDA)-approved biologically similar product available. Requests for “brand-name drug only” will be handled in accordance with the Alliance Medication Request Guideline for Brand Name Requests when a biosimilar is available.
- Quantity Limits – A limit on the quantity and/or duration of therapy.
- Step Therapy – Requires **one (1)** or more of a prerequisite first step drug to be tried before progressing to a second step drug.
- Age/Gender Restriction – Restriction to a specific age or gender when medically appropriate for the drug.

Providers can refer to the comprehensive formulary for further explanation and a list of specific drugs that are subject to generic substitution and step therapy or have quantity limits or age/gender restrictions.

#### **2. Therapeutic Interchange**

Therapeutic interchanges promote rational pharmaceutical therapy when evidence suggests that clinical outcomes are comparable when substituting a drug that is therapeutically equivalent but chemically different from the prescribed drug. The substituted drug may be of a different drug class but has comparable effectiveness.

Therapeutic interchange protocols are never automatic and require authorization from the prescribing provider.



### **3. Drugs Requiring PA**

Non-formulary drugs subject to PA require approval from the Alliance or the PerformRX Authorization Department for the Group Care line of business. The PA review process serves as quality measure to ensure the drug is safe and cost-effective. To review requests for the outpatient pharmacy benefit, the Alliance and PerformRX uses drug treatment guidelines (criteria), reviewed and approved by the P&T Committee.

If a drug is not listed as covered on the comprehensive formulary, it can be assumed that it requires a PA or exception.

### **4. Drugs Requiring Exception**

Non-formulary drugs can also be requested and processed as an exception. An exception is similar to a PA except the Alliance does not have drug review guidelines (criteria) to guide the clinical reviewer, or the requested drug exceeds the scope of coverage (see Non-Formulary Drugs below). Rather, approval will be based on evidence of medical necessity on a case-by-case basis.

The exception review process serves as a quality measure to ensure the drug is safe, cost-effective, and medically necessary. The PerformRX Prior Authorization Department for the Group Care line of business will forward all exception requests to the Alliance to be reviewed by a licensed pharmacist or physician. Providers can request an exception authorization by submitting a Prescription Drug Prior Authorization (PA) Request Form to PerformRX per the exception protocols.

Please contact PerformRX for inquiries on any of the above formulary categories or to submit a verbal or written PA or exception request. The most current contact information is located online at [www.alamedaalliance.org](http://www.alamedaalliance.org).

## ***HOW TO ACCESS THE FORMULARY***

Providers can access the Alliance formularies online at [www.alamedaalliance.org](http://www.alamedaalliance.org).

## **Pharmacy PA and Exceptions**

In some instances, a provider may want to prescribe a drug for a member that is not listed on the formulary, or does not meet a step therapy restriction, quantity limit, or duration of therapy limits (as listed on the formulary). Providers can submit a PA or exception request to obtain coverage for these drugs.

## ***HOW TO REQUEST A PA OR EXCEPTION***

If a drug is not listed as covered on the comprehensive formulary, it can be assumed that it requires a PA or exception.

Prescribers can be proactive with obtaining a PA or exception approvals to ensure continuity of care. Prescribers do not have to wait until the claim is rejected at the pharmacy to initiate a request. Once the request is received and approved, an authorization will be entered into the pharmacy claims system. The patient can fill the prescription at any network pharmacy without further involvement by the provider or the pharmacist.

Providers should submit a PA or exception request using the Prescription Drug Prior Authorization (PA) Request Form. It is important to document the appropriate clinical information that supports the medical necessity of the requested drug, quantity, refill frequency and/or duration of therapy. A determination decision will be made within regulatory time frames per the member's line of business. The Prescription Drug Prior Authorization (PA) Request Form can be found on the Alliance website at [www.alamedaalliance.org](http://www.alamedaalliance.org).

PA requests for Group Care can be submitted by fax to PerformRX at **1.855.811.9329** (24 hours a day, 7 days a week) or by calling toll-free at **1.855.508.1713** (Monday – Friday, 8:30 am – 5:30 pm PT).

### ***PA AND EXCEPTION REVIEW PROCESS***

All PA and exception requests are initially reviewed by PerformRX for the Group Care line of business. Requests that cannot be approved by PerformRX are forwarded to the Alliance clinical pharmacy staff for review.

The Alliance reviews the request against the following resources:

- Drug request guidelines (approved by P&T Committee)
- Evidence of coverage
- Evidenced-based treatment guidelines
- External specialist review based on medical necessity
- Medical director review based on medical necessity
- Prior use of formulary alternative

### ***PA AND EXCEPTION DENIALS***

If a request for a PA or exception is denied, the member and requesting provider will be notified by mail and have the right to appeal per the Alliance guidelines as described in Section 19: Grievance and Appeals.

### ***EMERGENCY SUPPLY FOR DRUGS REQUIRING PA***

Dispensing pharmacists can dispense up to a **three (3)-day** supply of non-formulary drug(s) using the emergency supply override while waiting to obtain a PA for Group Care members. Dispensing pharmacists should only utilize this override for use of alleviation of severe pain and/or treatment of unforeseen medical conditions, which, if not treated immediately, would lead to disability or death. Pharmacies can enter a universal code in the PA field for the override.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at [www.alamedaalliance.org](http://www.alamedaalliance.org).



For the most current override code, please contact PerformRX or consult the operating manual available online. Use of this code will be monitored.

A Prescription Drug Prior Authorization (PA) Request Form must be submitted to PerformRX by the following business day for approval of the balance of the prescription.

## **Pharmacy Network**

The Alliance pharmacy network includes most retail pharmacies in Alameda County and surrounding areas, in addition to a small selection of specialty pharmacies. The pharmacy network serves Group Care members.

### ***Locating a Network Pharmacy***

To find a nearby Alliance network pharmacy, please visit **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **Injectables**

### ***SELF-ADMINISTERED***

Self-administered injectables are dispensed by specialty pharmacies through the pharmacy benefit. The injectables are managed through the usual formulary management process. The Alliance contracts with select specialty pharmacies to provide most of the self-administered injectables and as such, claims will be denied at retail pharmacies unless there is a PA. Some self-administered injectables, like insulin, are listed in the formulary and are handled by retail pharmacies. For the most current specialty pharmacies contact information and the specialty pharmacy restricted drug list, please visit our website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

### ***PROVIDER-ADMINISTERED***

Provider-administered injectables can be processed as a medical benefit or as pharmacy benefit through the Alliance specialty pharmacies. Injectables procured directly by the provider should be billed directly to the Alliance as a medical claim. Injectables procured through the specialty pharmacies are processed directly through the PBM; providers do not need to submit a separate claim. Providers are encouraged to use the specialty pharmacies to order the available physician-administered injectables (refer to the specialty pharmacy restricted drug list). The specialty pharmacies can deliver directly to providers' offices. For more information on how to order from the specialty pharmacies please visit our website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## Section 17: Clinical Laboratory Services

Alliance clinical laboratory services are contracted through Quest Diagnostics, which includes multiple testing sites throughout Alameda County. This section covers how to obtain clinical laboratory services for Alliance members.

### **Outpatient Laboratory Services**

Most outpatient laboratory services must be provided through Quest Diagnostics.

Providers should send members or specimens to Quest Diagnostics for all laboratory testing except:

- Genetic, chromosomal, and alpha-fetoprotein prenatal testing
- HIV testing
- Members who are assigned to the Alameda Health System (AHS) Network
- Renal tests performed at a dialysis center
- Tests that are included in the PCP capitation contract
- Tests that are provided through alternative sites described in the following pages

### ***QUEST DIAGNOSTICS LAB SERVICES***

Quest Diagnostics lab services and programs include:

- **Two (2)-four (4)-hour STAT testing services**
- Client services available 24 hours a day, 7 days a week
- Courier service
- Custom ICD-10 Requisition Program
- Quest Express – same-day testing service
- Supplies

### ***QUEST DIAGNOSTIC LAB SERVICES***

For courier service, STAT pickup, or will call, please call toll-free at **1.866.697.8378**.

### ***QUEST DIAGNOSTICS CLIENT/PATIENT LAB SERVICES***

Quest Diagnostics representatives are available Monday – Friday, toll-free at **1.866.697.8378**.

### **Laboratory Procedures in the PCP Office**

#### ***ASSIGNED MEMBERS***

PCPs may perform certain, specific laboratory tests in their offices. These services need to be documented and submitted to the Alliance. All other tests must be sent to Quest Diagnostics.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

***NON-ASSIGNED MEMBERS***

PCPs who provide laboratory services that are normally capitated to a member who is not assigned to them should submit an FFS claim when:

- The test has received prior authorization (PA)
- Providing family planning services
- Diagnosing or treating a sexually transmitted disease
- Providing minors with consent services
- The services are for a member not assigned to any PCP

## Part 3. Medical Management

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### Section 18: Medical Management

#### **Measuring and Improving Plan Performance (HEDIS®)**

Health Effectiveness Data Information Set (HEDIS®) measures are developed by a national group of health care experts, issued annually, and used as a standard across the country. Using HEDIS® measures, the Alliance can compare its performance against other managed care plans. HEDIS® study methodology and results are also validated and audited by an external agency.

HEDIS® studies use data submitted by providers on their claims/encounter forms and may be supplemented with data retrieved from providers' medical records. The Alliance makes every effort to request records or schedule HEDIS® data retrieval for all studies at the same time and only once each year.

#### ***MEDI-CAL QUALITY IMPROVEMENT ACTIVITIES***

In addition to HEDIS® measures, the Alliance has several monitoring responsibilities for its Medi-Cal members. The Quality Improvement Program examines data from internal studies in such areas as access (e.g., waiting times for appointments, adequacy of provider network), coordination and continuity of care, utilization, and members' rights. Providers play an important role in our Quality Improvement Program and are required to cooperate with the Alliance quality improvement activities to improve the quality of care and services and member experience. Cooperation includes collection and evaluation of data and participation in the organization's QI programs.

The results of HEDIS® and internal studies for the plan's Medi-Cal members are the basis of planned quality improvement activities. Mandated by the Federal Balanced Budget Act for Medicaid and Medicare health plans, quality improvement activities are aimed at producing statistically significant and sustained improvement in an important aspect of health care delivery or clinical outcome.

An External Quality Review Organization (EQRO) contracted with the Department of Health Care Services validates the Alliance's quality improvement activities. This external review process may also involve requests for member medical records from providers and/or site visits. Providers are required to cooperate with the Alliance quality improvement activities to improve the quality of care and services and member experience. Cooperation includes collection and evaluation of data and participation in the organization's quality improvement (QI) programs.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **Alliance Measures of Provider Performance**

Giving providers feedback about their performance in relationship to their peers has proven to be a powerful tool to move behavior toward the best practice.

Alliance providers allow the plan to use provider performance data in quality improvement activities and to conduct the Alliance Quality Improvement Program.

The Alliance Quality Improvement Program includes systems to recognize providers on the basis of:

- Partnership behaviors that assist the plan in measurement and management of health.
- Clinical practices that are linked to improved health outcomes for members.

The data collected from the claims and encounter data are used to measure a provider's clinical practice. The diagnoses and procedure codes documented on these forms are crucial to accurate profiling. Missing, inaccurate, or non-specific codes significantly impact systems such as the reporting of annual HEDIS® measures.

Accurate coding of diagnoses and procedures affects the quality profile.

### ***PROVIDER QUALITY REPORT***

As part of the Quality Improvement Program, the Alliance compiles a provider quality report for each PCP undergoing re-credentialing.

The report summarizes a range of provider statistics and activities available from the following areas:

- Member complaints
- Member satisfaction surveys
- Quality reviews
- Site review score
- Utilization management

## **Care Management Programs**

Alliance Care Management Programs coordinate with providers and community partners to improve health outcomes and the integration of care for our members across medical and behavioral health and social services. Care Management Programs address the patient's health care needs across the continuum of care from well-being to end of life, through the identification, assessment, development, and execution of targeted, evidence-based, individualized care plans.

### ***PROGRAM GOALS***

- Improve health outcomes for the Alliance patient population
- Identify and document measurable member-specific health goals and plan of care

Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



- Facilitate coordination of care and services to meet member health needs
- Enhance the patient-to-provider relationship
- Reduce unnecessary medical utilization
- Avoid readmissions
- Reduce avoidable emergency department visits
- Manage chronic conditions

## **INTERVENTIONS**

Interventions include the following:

- Coordinate with the providers of care about progress toward, or lack thereof, the plan of care
- Assess member needs through Health Risk Assessments (HRAs)
- Develop individualized care plans that address member health and social needs
- Review and analyze utilization data for opportunities for improved care management and coordination
- Work in partnership with community agencies and health practitioners who provide case/care management and services to our members
- Assist members to obtain measurable health outcome goals through educating and facilitating access to services and community resources
- Select targeted members for specific Care Management Programs through predictive modeling methodologies
- Empower and educate members with resources and information to self-manage their health conditions
- Deliver member-centric coordination of care across the continuum

## **PROGRAMS**

- Care Planning Coordination: HRAs & Care Plans
- Complex Case Management (CCM)
- Disease Management
- Integrated Case Management
- Transition of Care

## **Care Planning Coordination**

The Care Planning Coordination Program helps members identify their needs and develop a plan for meeting those needs. Members' needs are identified by HRAs, and other member-centric information provided to the Alliance.

## **INTERVENTIONS**

Interventions include, but are not limited to:

- HRAs: Assessment of the member's current health and functional status
- Care plans: Setting individualized goals and interventions
- Self-management tools

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- Mailing materials to members based on need
- Member education
- Providing mailed and verbal coaching appropriate for member
- Referrals to other Alliance programs when appropriate
- Coordinated care both within the Alliance and with community partners

### **Complex Case Management (CCM)**

The Complex Case Management (CCM) Program aims to intensively manage and coordinate care for members who have multiple chronic conditions, require an extensive use of resources, and need help navigating the system to facilitate appropriate delivery of care and services. CCM referrals may originate from any source including, but not limited to, self-referral, caregivers, PCPs or specialists, discharge planners at medical facilities, health information line referrals for Group Care members, and internal department referrals such as the Alliance UM Department, and the Alliance Member Services Department.

#### **CRITERIA**

CCM Criteria Include:

- Typically severe degree and complexity of the member's illness.
- Typically intensive level of management necessary.
- Typically extensive amount of resources required for the member to regain optimal health or improved functionality.

The Alliance's goals and intervention schedule for completion shall guide the Care Manager (CM) in managing the case. Additionally, the member shall be provided the name and number of the CM to contact as needed.

The CM will perform the following:

- Evaluate cultural and linguistic needs, preferences, or limitations.
- Evaluate visual and hearing needs, preferences, or limitations.
- Address the availability of caregiver resources and their involvement with the member.
- Address available benefits and any needs for community and financial resources.
- Provide the member with available programs, resources, and program requirements based upon their and their caregiver's preferences and desired level of involvement in their plan of care.
- Make and follow up on referrals to resources. Follow-up on all referrals will be scheduled at the time of the referral and can be combined as part of the next monthly contact, if not considered urgent.
- Contact the member monthly, at a minimum, or more frequently based on the needs of the member and the referrals made. Each contact includes an assessment of the member's progress toward the goals, evaluation of the barriers to the goals, and adjusting the care plan and its goals, as needed.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- Continually update and evaluate the Care Plan based on the member's need and using information from ongoing screenings and assessments.

### **Community Supports (CS)**

Community Supports (CS) services may be available for Alliance members under their Individualized Care Plan. CS are medically appropriate and cost-effective alternative services or settings to those covered under the Medi-Cal State Plan. These services are optional for members to receive. These services may help them live more independently but do not replace benefits that they already get under Medi-Cal.

#### ***CS Services Offered by the Alliance***

The Alliance is currently offering the following CS services:

- Homeless-related CS (Includes housing transition navigation, housing deposits, and housing tenancy & sustaining services);
- Recuperative Care (Medical Respite)
- Medically Tailored/Supportive Meals; and
- Asthma Remediation.

There is no cost to the member for ECM services.

Members can be referred by their provider by calling:

Alliance Case Management Department

Monday – Friday, 8 am – 5 pm

Phone Number: **1.510.747.4512**

Toll-Free: **1.877.251.9612**

People with hearing and speaking impairments (CRS/TTY): **711/1.800.735.2929**

### **Enhanced Care Management (ECM)**

The Alliance covers Enhanced Care Management (ECM) services for Medi-Cal members with highly complex needs. ECM is a benefit that provides extra services to help members get the care they need to stay healthy. ECM helps coordinate primary care, acute care, behavioral health, developmental, oral health, community-based long-term services and supports (LTSS), and referrals to available community resources.

If a member qualifies, they may be contacted about ECM services. Members and providers can also call the Alliance to find out if a Medi-Cal member qualifies and how they can receive ECM services.

#### ***Covered ECM services***

Members who qualify for ECM will have their own care team, including a care coordinator to coordinate with members, doctors, specialists, pharmacists, case managers, social services providers and others to make sure everyone works together.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

ECM includes:

- Outreach and engagement
- Comprehensive assessment and care management
- Enhanced coordination of care
- Health promotion
- Comprehensive transitional care
- Member and family support services
- Coordination and referral to community and social supports

There is no cost to the member for ECM services.

Members can be referred by their provider by calling:

Alliance Case Management Department

Monday – Friday, 8 am – 5 pm

Phone Number: **1.510.747.4512**

Toll-Free: **1.877.251.9612**

People with hearing and speaking impairments (CRS/TTY): **711/1.800.735.2929**

## **Disease Management**

The Alliance has **two (2)** dedicated disease management programs based on patient population needs and prevalence. The Pediatric Asthma and Adult Diabetes Disease Management programs aim to improve the health status of its participants by fostering self-management skills and providing support and education. Programs provide education, chronic care management, patient activation, and coordination of care.

All program interventions are based on data-identified patient needs and are developed using evidence-based practice guidelines and care pathways. Members are identified by claims, pharmacy, and lab data, as well as direct referrals from physicians or community partners.

- **Pediatric Asthma Program** – Serves members who are **5-11 years of age** and identified with asthma based on clinical, pharmacy, and utilization data or direct referral.
- **Adult Diabetes Disease Management Program** – Serves members living with diabetes who are **21 years of age** or older and identified based on clinical, pharmacy, and utilization data or direct referral.

## **Diabetes Prevention Program (DPP)**

The Diabetes Prevention Program (DPP) is a benefit that helps eligible members adopt healthy habits, lose weight, and significantly decrease their risk of developing type 2 diabetes.

### **ELIGIBILITY**

- Patient must be **18 years of age** or older;
- Overweight; and
- At-risk for type 2 diabetes.

### **PROGRAM DETAILS**

- First year includes **16** weekly sessions, followed by monthly sessions for the remainder of the year.
- A lifestyle health coach helps set goals and keep participants on track.
- Support groups.
- Second year includes monthly sessions if the member achieves attendance and weight-loss goals.

Alliance members can choose from in-person or digital program formats. For more details on program eligibility or to view and download the referral form, please visit [\*\*www.alamedaalliance.org/providers\*\*](http://www.alamedaalliance.org/providers).

Members can also self-refer by calling the Alliance Member Services Department at **1.510.747.4567** or visiting [\*\*www.alamedaalliance.org/live-healthy/dpp\*\*](http://www.alamedaalliance.org/live-healthy/dpp) to take a risk quiz and enroll. The DPP curriculum is approved by the Centers for Disease Control and Prevention (CDC).

### **Integrated Case Management**

The Integrated Case Management program addresses basic case management needs of members.

Staff will work with the member and/or caregiver and their PCP to ensure that needs are addressed and met by doing the following:

- Provide education to gain self-management skills
- Eliminate barriers to care
- Tailor member-centric individualized care plans
- Connect members to their health care providers or services
- Conduct periodic assessments of stability and functioning, medication management, and link to resources and treatment needs

Members can be referred for case management based on risk profiling or after a transition in care event from the Alliance Utilization Management (UM) Department, Care Advisor Unit, the Alliance Member Services Department, their practitioner, or caregiver. Members who receive integrated case management services may be enrolled in other programs based on need. Referrals may originate from any source including, but not limited to, self-referral, caregivers, PCPs or specialists, discharge planners at medical facilities, health information line referrals for Group Care members, and internal department referrals such as the Alliance UM Department, and the Alliance Member Services Department.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at [\*\*www.alamedaalliance.org\*\*](http://www.alamedaalliance.org).

## **Transition of Care**

The Transition of Care program is designed to mitigate any clinical issues a patient may have in the crucial **30 days** post-discharge for an admission and emergency department visit.

### ***TRANSITION OF CARE HIGHLIGHT***

- Connecting the patients to their Alliance PCPs, including facilitating discharge follow-up appointments
- Coordination of care services
- Providing education and symptom management
- Facilitating necessary referrals

You can refer members to Alliance programs through the following:

Case and Disease Management

Phone Number: **1.877.251.9612**

Fax: **1.510.747.4130**

Referral form: **[www.alamedaalliance.org/members/medi-cal/cmdm](http://www.alamedaalliance.org/members/medi-cal/cmdm)**

## **Reporting Provider-Preventable Conditions**

### ***BACKGROUND***

Beginning July 1, 2012, federal law requires that all providers report provider-preventable conditions (PPCs) that occurred during treatment of Medi-Cal patients. Providers must report all PPCs that are associated with claims for Medi-Cal payment or with courses of treatment given to a Medi-Cal patient for which payment would otherwise be available.

Providers do not need to report PPCs that existed prior to the provider initiating treatment for the beneficiary.

The Federal Affordable Care Act section 2702 and Title 42 of the Code of Federal Regulations, sections 447, 434, and 438 also require that Medi-Cal and Medi-Cal Managed Care plans no longer reimburse providers for PPCs that occur during treatment of Medi-Cal patients. The Alliance will investigate all reports of PPCs, including those it discovers through any means, to determine if payment adjustment is necessary.

Interested providers may read the State Plan Amendment for PPCs, which took effect July 1, 2012.

### ***REPORTING REQUIREMENTS***

For Alliance Medi-Cal members, providers must report directly to the Alliance using the PPC reporting form within **five (5) working days** of discovery of the PPC and confirmation that the patient is a Medi-Cal beneficiary. The PPC reporting form is attached and instructions for completing the form are included.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



Please submit forms to:

Alliance Compliance Department

Fax: **1.510.373.5999**

Email: **compliance@alamedaalliance.org**

**PLEASE NOTE:** Reporting PPCs for a Medi-Cal beneficiary does not preclude the reporting of adverse events and health-care-associated infections (HAI) to the California Department of Public Health pursuant to Health and Safety Code.



## Section 19: Grievance and Appeals

The Alliance maintains a grievance and appeals process under which members may submit their grievance or appeal to the Alliance in accordance with state and federal regulations. With the exception of Kaiser, the Alliance does not delegate the resolution of grievance and appeals.

Providers are to comply with the grievance and appeals process in accordance with their contract.

### **DEFINITIONS**

**Grievance** – A written or oral expression of dissatisfaction with regard to the Alliance and/or provider, including quality of care concerns, and shall include a complaint, dispute, and request for reconsideration or appeal made by a member or the member's representative.

**PLEASE NOTE:** An inquiry is a request for information that does not include an expression of dissatisfaction. Inquiries may include, but are not limited to, questions pertaining to eligibility, benefits, or other managed care plan processes. Where the Alliance is unable to distinguish between grievance and an inquiry, it shall be considered a grievance.

**Adverse Benefit Determination** is defined to mean any of the following actions taken by a managed care plan (MCP):

1. The denial or limited authorization of a requested service, including determinations based on the type or level of service, medical necessity, appropriateness, setting, or effectiveness of a covered benefit.
2. The reduction, suspension, or termination of a previously authorized service.
3. The denial, in whole or in part, of payment for a service.
4. The failure to provide services in a timely manner.
5. The failure to act within the required time frames for standard resolution of grievances and appeals.
6. For a resident of a rural area with only one Managed Care Plan, the denial of the beneficiary's request to obtain services outside the network.
7. The denial of a beneficiary's request to dispute financial liability.

**Notice of Action (NOA)** is also known as Notice of Adverse Benefit Determination.

**Appeal** is defined as a review by the Alliance of an Adverse Benefit Determination:

- **Prior Authorization (PA) Appeal** – A request to change a prior authorization (PA) adverse determination for care or service that the Alliance must approve, in whole or in part, in advance of the member obtaining care or services.
- **Retro Authorization Appeal** – A request to change a prior authorization adverse determination for care or services that have already been received by the member.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **MEMBER GRIEVANCE PROCESS**

A member may file a grievance:

- Medi-Cal Members – **At any time** following any incident or action that is subject of their dissatisfaction.
- Group Care Members – Within **180 calendar days** following any incident or action that is the subject of their dissatisfaction.

The Alliance does not discourage the filing of grievances. A member who files a grievance may not be discriminated against, and cannot be disenrolled from the Alliance, the provider group, the provider's office, or facility in retaliation for filing a grievance.

### **Provider Assistance for Filing Member Grievances**

The Alliance is responsible for processing and resolving all grievances. When a provider has become aware that a member is dissatisfied with the delivery of care that has been provided, please provide the following information to the member:

- The member can state that they would like to file a grievance with the Alliance by calling:  
Alliance Member Services Department  
Monday – Friday, 8 am – 5 pm  
Phone Number: **1.510.747.4567**  
Toll-Free: **1.877.932.2738**  
People with hearing and speaking impairments (CRS/TTY):  
**711/1.800.735.2929**
- Contracted provider offices or facilities are required to make member grievance forms and assistance readily available in accordance with California Code of Regulations, Title 28 §1300.68 (b)(7).
- Providers can access the Alliance Grievance Forms by contacting:  
Alliance Provider Services Department  
Phone Number: **1.510.747.4510**  
Email: **providerservices@alamedaalliance.org**  
**www.alamedaalliance.org/providers/provider-resources/grievances-appeals**

## **MEMBER AUTHORIZATION APPEAL PROCESS**

Members or their authorized representative (AR) may request an appeal of an adverse benefit determination. A provider may submit an appeal on behalf of a member for a prior authorization (PA) and retro authorizations.

When submitting an appeal on behalf of a member, please provide the Alliance Grievance and Appeals Department with a copy of the authorization, denial notification, and all pertinent supporting documentation within:

- **60 days** from the date of denial for Medi-Cal members; and
- **180 days** from the date of denial for Group Care members.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.

Please mail or fax all information to:

Alameda Alliance for Health  
1240 South Loop Road  
Alameda, CA 94502  
Phone Number: **1.510.747.4567**  
Fax: **1.877.748.4522**  
Attn: Grievances and Appeals Department

## ***RESOLUTION TIME FRAMES***

In order to provide excellent service to our members, the Alliance maintains processes by which the member can obtain thorough investigation and timely resolution of their grievances with the following process:

### **Expedited Grievances**

- Investigation and resolution within **72 hours**.
- Classification of expedited review will be considered for grievances involving an imminent and serious threat to the health of the patient, including but not limited to, severe pain, potential loss of life, limb, or major bodily function.

### **Standard Grievances**

- Acknowledgement of receipt received in writing or by telephone within **five (5) calendar days**.
- Resolution within **30 calendar days** from receipt of the complaint.

### **Expedited Appeals\***

- Resolution and a written notice within **72 hours**.

### **Standard Appeals**

- Acknowledged in writing within **5 calendar days**; and
- Resolved within **30 calendar days** from receipt of the complaint.

\*The Alliance may extend the time frame for an expedited appeals resolution by **14 calendar days** in accordance with, and defined by, federal regulations.

For appeals, members have the right to continue receiving benefits pending resolution of the appeal. Providers may be requested to continue approval of benefits/services pending the final resolution. The Alliance Grievances and Appeals Department will coordinate these requests with the internal Utilization Management (UM) Department or the delegate's UM Department.

## ***RESOLUTION DETERMINATIONS***

### **Grievances**

- Resolved – The beneficiary's submitted grievance has reached a final conclusion.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## Appeals

- Uphold – The investigation found the initial determination was correct.
- Overturn – The appeal investigation found the initial determination was not correct and therefore the determination is made to modify or approve the request in favor of the member. The Alliance or its delegate must authorize or provide the disputed services promptly and as expeditiously as the beneficiary's condition requires if the Alliance reverses the decision to deny, limit, or delay services that were not furnished while the appeal was pending. The Alliance or its delegate shall authorize or provide services no later than **72 hours** from the date it reverses the determination.

## **DELEGATE OR PROVIDER RESPONSIBILITIES**

The Alliance is committed to ensuring thorough research and investigation in addition to compiling all applicable information pertinent to the complaint. As part of the Alliance's investigation, the provider will be required to respond in writing to the complaint and provide medical records if applicable. Written responses are to be received within **seven (7) calendar days** for standard and **24 hours** for expedited cases or if otherwise specified in the request.

## **MEMBERS' RIGHTS – ADDITIONAL ACTIVITIES**

### **Member Grievances**

The member may also contact the Department of Managed Health Care (DMHC) if they have a grievance about an emergency, a grievance that has not been appropriately resolved by the Alliance, or a grievance that has not been resolved for more than **30 calendar days** for a standard complaint, and **72 hours** if expedited criteria were met.

### **Independent Medical Review (IMR)**

The member has the right to contact DMHC and request an Independent Medical Review (IMR). Members may request an IMR if they have not initiated a State Fair Hearing (Medi-Cal only) and if the member has already completed the Alliance's grievance process. They may contact the DMHC Health Maintenance Organization (HMO) Help Center for further information toll-free at **1.888.HMO.2219 (1.888.466.2219)** or people with hearing and speaking impairments (TDD) at **1.877.688.9891**.

The DMHC HMO Help Center is open 24/7 at no charge to the member. For complaint forms and instructions, please visit the DMHC website at **[www.hmohelp.ca.gov](http://www.hmohelp.ca.gov)**.

### **Fair Hearing**

Medi-Cal members have the right to file a State Fair Hearing with the California Department of Social Services, State Hearings Division. They may contact the State Hearings Division for further information toll-free at **1.800.952-5253** or people with hearing and speaking impairments (TDD) at **1.800.952.8349**. Medi-Cal beneficiaries may also request a State Fair Hearing through the Alameda County Social Services Agency. State Fair Hearings must be requested within **120 calendar days** from the date of the "Notice of Appeal Resolution."

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



### **Ombudsman Assistance**

Medi-Cal members can also request assistance with enrollment and other problems by contacting:

Medi-Cal Managed Care Division Office of the Ombudsman

Monday – Friday, 8 am – 5 pm, excluding holidays

Toll-Free: **1.888.452.8609**

Email: **MMCDOmbudsmanOffice@dhcs.ca.gov**

## Section 20: Credentialing

All health care providers who contract with the Alliance must have credentials verified through the credentialing process. This section covers the credentialing and re-credentialing requirements providers are expected to meet.

### **Credentialing Process**

The Alliance utilizes a credentialing process in order to ensure the participation of quality network providers. The Alliance follows National Committee on Quality Assurance (NCQA) guidelines in conjunction with special credentialing guidelines required by state regulation and policy.

#### ***DEPARTMENT OF HEALTH CARE SERVICES (DHCS) APL19-004***

On November 14, 2017, DHCS released a new All Plan Letter (APL) 1-004, Subject: Provider Credentialing/Re-credentialing and Screening/Enrollment. In this APL19-004 DHCS requires that “all” Managed Care Plan network providers must enroll in the Medi-Cal Program no later than 12/31/2018. In APL19-004, the Alliance is required to perform pre- and post-enrollment site visits to medium- and high-risk providers to verify the information on the application (i.e., comprehensive outpatient rehabilitation facilities, hospice and home health organizations, independent diagnostic laboratories, independent diagnostic testing facilities, durable medical equipment (DME) suppliers, and prosthetic and orthotic suppliers for initial and re-credentialing applicants).

### ***CONFIDENTIALITY***

The information obtained during the credentialing process, whether directly from the provider, or from another source, will be treated as confidential information.

### ***THE APPLICATION***

Applicants must submit a signed application and supporting documentation to the Alliance. The Alliance then has **180 days** from the signature date on the attestation form to work with the applicant and Peer Review & Credentialing Committee (PRCC) to complete the credentialing process.

As part of the application process, providers will be asked to attest to statements regarding:

- Reasons for any inability to perform the essential functions of the position, with or without accommodation
- Lack of present illegal drug use
- History of disciplinary actions taken against the license
- History of loss of license
- History of convictions
- History of loss or limitation of privileges or disciplinary activity at a facility

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- History of professional liability judgments and/or claims that resulted in settlements or judgments paid by or on behalf of the applicant, or pending lawsuits
- Current malpractice insurance coverage

### **ADDITIONAL CREDENTIALING STEPS**

#### **Facility Site Review**

All PCPs and OB/GYN practice sites are reviewed by an Alliance Provider Services Representative and Quality Improvement Nurse Specialists prior to approval as an Alliance provider. (Please see Section 21 – Facility Site Reviews for detailed information on site reviews.)

#### **Recommendation by the PRCC**

The PRCC is a standing Alliance committee responsible for peer review and credentialing/re-credentialing.

The PRCC recommends acceptance or denial of an applicant as follows:

- If the recommendation is for **DENIAL**, the applicant receives written notification of the decision and supporting reasons. If the denial is due to the quality of medical care, the appeal process is included.
- If the recommendation is for **APPROVAL**, the applicant receives written notification of the decision and the name and specialty are forwarded to the Board of Governors in the credentialing summary.

#### **Practitioner Rights**

Practitioners have the right to review information submitted to support their credentialing application, correct erroneous information, receive the status of their credentialing or re-credentialing application, upon request, and receive notification of these rights. Practitioners are notified of these rights in the application cover letter.

Practitioners are allowed access to their credentialing documentation obtained by the Alliance Credentialing Department to evaluate their credentialing application, attestation, or curriculum vitae (CV) with the exception of National Practitioner Data Bank Reports, references, recommendations, or other peer-review protected information.

Practitioners are notified when credentialing information obtained from other sources varies substantially from that provided by the practitioner. Examples of the type of information that would cause the Alliance to alert the practitioner, if there are substantial variations from the practitioner's information, include actions on a license, malpractice claims history, and/or board certification decisions.

The Alliance Credentialing Department staff will contact the practitioner via written request (email or certified mail) of the discrepancy and the practitioner will be asked to submit corrections/explanations within **15 business days** by mail or fax to the Alliance Credentialing Department staff contact.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.



Right to receive status: Practitioners may contact the Alliance Credentialing Department at any time regarding the status of their application for appointment or reappointment. All such requests will be responded to within **four (4) business days** and the practitioner will be notified of progress in the credentialing process.

## **Credentialing Criteria and Basic Qualifications**

The following credentialing criteria are reviewed at initial credentialing and re-credentialing.

### ***LICENSE***

All providers must maintain a current license, which is applicable to the provider's scope of practice in the state of California. If providers have, or had, out-of-state licenses, the status of these licenses shall also be verified for the same qualifications. All initial providers must have an unrestricted license. All provider Medical Board actions are reviewed by the PRCC.

### ***HOSPITAL ADMITTING PRIVILEGES***

All providers must maintain current hospital admitting privileges with unrestricted clinical privileges at a hospital in the Alliance network. The Alliance may waive this requirement if the provider has admitting arrangements in writing through another provider in the Alliance network.

### ***DEA CERTIFICATION***

All providers must maintain a current Drug Enforcement Administration (DEA) certification, if applicable to the provider's scope of practice. The Alliance may waive this requirement if the provider's DEA certification is pending and the provider presents documented evidence that another participating provider in the same office will write all prescriptions that require a DEA.

### ***SPECIALTY BOARD CERTIFICATION***

Specialists applying to the network must be board-certified in the specialty and subspecialty effective July 1, 2003, unless the provider was contracted with the Alliance prior to July 1, 2003. Specialists who have recently completed postgraduate training may be credentialed and will be expected to complete their board certification within the time frame as set forth by the American Board of Specialties.

### ***NPDB and HIPDB***

The National Practitioner Data Bank (NPDB) checks medical malpractice claims and license status for any state in which the physician has practiced. The Healthcare Integrity Protection Data Bank (HIPDB) collects information regarding licensure and certification actions, exclusion from federal and state health care programs, criminal convictions, and civil judgments related to health care.

### ***PROFESSIONAL LIABILITY CLAIMS HISTORY***

Information related to malpractice suits and settlements will be collected and reviewed.

### ***CLEAR FROM SANCTIONS***

The Alliance does not contract with providers who have elected to “opt out” of Medicare or are excluded or sanctioned from participation in Medicare/Medicaid programs.

### ***PROFESSIONAL LIABILITY INSURANCE***

All participating providers must maintain professional liability insurance with limits of liability of at least \$1,000,000 per occurrence and \$3,000,000 aggregate at all times.

### ***WORK HISTORY***

All providers will be reviewed for work history as obtained through their submitted application or CV.

### **Re-Credentialing**

Participating providers are re-credentialed in accordance with Alliance policy. Currently re-credentialing occurs at least every **three (3) years** or more often as directed by the PRCC. The process is similar to the initial credentialing process as outlined earlier in this section.

The following performance areas will be reviewed for all providers, as applicable:

- Member complaints/grievances
- Results of quality reviews
- Facility site review results

### ***DENIED RE-CREDENTIALING***

If the PRCC determines that a provider does not meet re-credentialing criteria, the provider’s participation will be terminated pursuant to the terms of the provider service agreement. From that time onward, the provider may not submit claims to the Alliance for health services provided to Alliance members.

## **Section 21: Facility Site Review (FSR)**

All Alliance PCPs will receive periodic facility site reviews. This section covers what to expect during a site review.

### **Facility Site Review Overview**

DHCS mandates initial and periodic Facility Site Review (FSR) and Medical Record Review (MRR) audits for PCP provider sites, and the Alliance complies with this DHCS mandate. The Alliance additionally conducts Physical Accessibility Review Surveys (PARS) for all PCP sites, specialist sites, ancillary services sites, and Community-Based Adult Services (CBAS) provider sites which provide care to a high volume of seniors and persons with disabilities (SPD).

The purpose of FSRs is to ensure that all contracted primary care provider sites:

- Provide appropriate primary health care services to members.
- Carry out processes that support continuity and coordination of care.
- Maintain patient safety standards and practices.
- Operate in compliance with all applicable local, state, and federal laws and regulations.

FSRs are conducted during the initial provider credentialing process. Additionally, site reviews will be conducted as part of the ongoing provider re-credentialing process. This process ensures that each provider continues to meet the Alliance's site review standards. The Alliance Quality Improvement Department is responsible for conducting site reviews.

### ***SITE REVIEW PREPARATION***

The Alliance will help providers prepare for the review in several ways. Prior to a review, providers will receive a copy of the site review tool. To access the tool, please see Site Review Tool in the Provider Resources section on the Alliance website at **[www.alamedaalliance.org/providers/provider-resources](http://www.alamedaalliance.org/providers/provider-resources)**.

Providers should review it carefully to ensure a successful review. Facility Site Review Nurses offer provider and office staff training prior to initial facility site reviews and/or upon the provider's request.

The Alliance has developed the Facility Site Review and MRR Provider Toolkit to assist you in meeting the standards of the FSR. We distribute the Toolkit to newly contracted providers and upon request. The Toolkit contains many of the templates and resources needed for provider offices to successfully meet the FSR/MRR criteria. For help preparing your practice for the FSR, call the Alliance Provider Services Department at **1.510.747.4510**.

## ***PROBLEMS IDENTIFIED THROUGH FACILITY SITE REVIEWS AND MEDICAL RECORD REVIEW***

If a facility is found to be out of compliance with Alliance and/or state requirements, the provider is notified through the Corrective Action Plan (CAP). For FSRs, a CAP is required for a total score of less than 90%, or for a total score of 90% or above if there are deficiencies in Critical Elements (CE), Pharmaceutical Services, or Infection Control.

For MRRs, a CAP is required for any score below 90%, or for any section score below 80% regardless of total score. A total score of less than 80% for FSRs and/or MRRs will result in a hold on new member assignment as well as follow-up reviews.

Per APL 20-006, the Alliance must not assign new members to providers who do not correct site review deficiencies within established CAP timelines. The Alliance must verify that the PCP site has corrected the deficiencies and the CAP is closed.

### **CAP Timeline:**

- CE CAP must be submitted within **10 calendar days** of review.
- FSR/MRR CAP is submitted within **30 calendar days** from CAP Report. All CAPs must be closed within **90 calendar days**. If CAP is closed beyond **120 days** of CAP report.

The Alliance must remove any provider from the network who does not come into compliance with review criteria and CAP requirements within the established timelines, and the Alliance must appropriately reassign that provider's members to other providers.

Participation in the Alliance network may be suspended until the facility meets compliance standards. If a provider's noncompliance issues present a clear and immediate danger to patients, the provider's members will be reassigned to another provider in the Alliance network. If problems are documented, providers are allowed time for correction. Failure to provide a timely response will result in a resurvey within **12 months** and/or reporting the provider's site review status to the Alliance's PRCC. The PRCC may suspend a provider from plan participation or recommend termination due to noncompliance to the Alliance Board of Governors.

## ***PROBLEMS FOUND THROUGH DHCS FACILITY REVIEWS***

DHCS conducts facility site reviews independently of the Alliance on a small sample of the Alliance's provider network. DHCS does this to monitor the Alliance's compliance with the DHCS contract and to determine how well provider sites are able to implement and meet the standards. DHCS-conducted site reviews may be conducted without prior notice. DHCS will notify Alliance of critical findings within **10 calendar days** following the date of the FSR and/or MRR, and will provide a written report summarizing all of DHCS's review findings within **30 calendar days** following the review. Within **30 calendar days** from the date of DHCS-conducted site review report, the Alliance must provide a CAP to DHCS responding to all cited deficiencies documented in the report.

Should a DHCS inspector find a primary care site in substantial noncompliance, the Alliance may suspend that site from plan participation until the facility can meet compliance standards. If the provider's noncompliance issues present a clear and immediate danger to Alliance members, they will be reassigned to another provider in the Alliance network.

## **Facility Site Reviews (FSR)**

The Alliance's Facility Site Review (FSR) and MRR Provider Toolkit contain essential tools, templates, and guidance for meeting the standards of the survey.

To request a Provider Toolkit, please contact the Alliance Provider Services Department at **1.510.747.4510**.

### ***FACILITY SITE REVIEW TOOL***

The Alliance utilizes a facility site review tool mandated by DHCS. A copy of the full FSR Tool can be found in the Provider Resources section of the Alliance website at **[www.alamedaalliance.org/providers/provider-resources](http://www.alamedaalliance.org/providers/provider-resources)**. The tool contains applicable state requirements. The site review tool mandates review in the broad areas listed below.

Please see the full FSR Toolkit for a detailed explanation of the **six (6)** criteria listed below:

1. Site Access/Safety
2. Site Personnel
3. Office Management
4. Preventive Service
5. Infection Control
6. Clinical Services

### ***CRITICAL ELEMENTS***

Within the Facility Site Review, there are **14** critical survey elements related to the potential for adverse effects on patient health or safety. These critical elements have a weighted score of **two (2)** points. All other survey elements are weighted at **one (1)** point.

Critical elements include:

1. Exit doors and aisles are unobstructed and egress accessible.
2. Airway management equipment (i.e., oxygen delivery system, nasal cannula or mask, bulb syringe and Ambu bag) appropriate to practice and populations served are present on-site.
3. The on-site availability of emergency medicine, such as asthma, chest pain, hypoglycemic, and anaphylactic reaction management (i.e., Epinephrine 1:1000 [injectable], and Benadryl 25 mg [oral] or Benadryl 50 mg/ml [injectable], Naloxone, chewable Aspirin 81 mg, Nitroglycerine spray/tablet, bronchodilator medication [solution for nebulizer or metered dose inhaler], and glucose); appropriate sizes of engineered sharps injury protection (ESIP) needles/syringes, and alcohol wipes.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

4. Only qualified/trained personnel can retrieve, prepare, or administer medications.
5. Office practice procedures utilized on-site provide timely physician review and follow-up of referrals, consultation reports, and diagnostic test results.
6. Only lawfully authorized persons dispense drugs to patients.
7. Drugs and vaccines are prepared and drawn only prior to administration.
8. Personal protective equipment (PPE) for Standard Precautions is readily available for staff use.
9. Needle stick safety precautions are practiced on-site.
10. Blood, other potentially infectious materials, and regulated wastes are placed in appropriate leak-proof, labeled containers for collection, processing storage, transport, or shipping.
11. Staff demonstrates/verbalizes necessary steps/process to ensure sterility and/or high-level disinfection of equipment.
12. Appropriate PPE, exposure control plan, Material Safety Data Sheets (MSDS), and clean up instructions in the event of a cold chemical sterilant spill are available on-site.
13. Spore testing of autoclave/steam sterilizer is completed (at least monthly) with documented results.
14. Staff adheres to site-specific protocol and/or manufacturer/product label for management of positive mechanical, chemical, and/or biological indicators of the sterilization process.

### ***CRITICAL ELEMENT DEFICIENCIES***

All critical element deficiencies found during a full-scope site survey, focused survey, or monitoring visit must be corrected by the provider within **10 calendar days** of the survey date, and verified as corrected by the plan within **30 calendar days** of the survey date. Any critical element found deficient must be corrected to 100%.

### ***HELPING PROVIDERS MEET STANDARDS***

Sites that are noncompliant with the Alliance and/or state requirements are given a **30-day** period to correct identified deficiencies.

The Alliance wants to help all our providers meet the standards. The Alliance Provider Services Department staff and FSR nurses offer guidance and training or refer providers to resources that can help them meet the established standards.

### **Medical Record Reviews (MRR)**

The Alliance's FSR and MRR Provider Toolkit contain essential tools, templates, and guidance for meeting the standards of the survey.

To request a Provider Toolkit, contact the Alliance Provider Services Department at **1.510.747.4510**.



### ***MEDICAL RECORD REVIEW (MRR) SURVEY***

The Alliance utilizes a Medical Record Review (MRR) tool mandated by DHCS. The MRR Survey is a separate tool from the FSR Tool.

A copy of the full MRR toolkit is found in the Provider Resources section of the Alliance website at [www.alamedaalliance.org/providers/provider-resources](http://www.alamedaalliance.org/providers/provider-resources). The tool contains applicable state requirements. The MRR Tool mandates review in the broad areas listed below.

Please see the full MRR Survey for a detailed explanation of the **six (6)** criteria listed below:

1. Format
2. Documentation
3. Coordination/Continuity of Care
4. Pediatric Preventive Health Care
5. Adult Preventive Health Care
6. Obstetric/Comprehensive Perinatal Services Program (OB/CPSP) Preventive Criteria

Alliance providers are required to have a medical record for each member. During an MRR, a minimum of **10** member records are audited per contracted provider. Reviewers may request additional records.

### ***HELPING PROVIDERS MEET STANDARDS***

The Alliance wants to help all our providers meet the standards. The Alliance Provider Services Department staff and FSR nurses offer guidance and training or refer providers to resources that can help providers meet the established standards. To request assistance, please call the Alliance Provider Services Department at **1.510.747.4510**.

### **Provider Initial Review and Fair Hearing Process**

Physicians, ancillary professionals, and other providers shall be entitled to an Initial Review or Fair Hearing and Appeals proceeding when dissatisfied with certain adverse credentialing and/or participation decisions made by the Alliance, including those based on a medical quality concern.

The Initial Review and Fair Hearing process is divided into **two (2)** phases:

- Phase I – Initial Review: An Initial Review before the PRCC to try to amicably resolve the matter; and
- Phase II – Formal Hearing: For Providers who are dissatisfied with the PRCC Initial Review decision and eligible for a Phase II hearing, a formal hearing in front of an impartial Judicial Review Committee (JRC).



## ***PROCEDURES FOR INITIAL REVIEW***

The Alliance offers providers an Initial Review when the provider is dissatisfied with an adverse credentialing and/or participation decision made by the PRCC. Decisions may include recommendations, such as practice restrictions, denial of application, or participation in the Alliance network. The provider will be notified in writing of the PRCC decision.

The provider may request an Initial Review within **30 days** of receipt of the notice of action or proposed action by PRCC. A request for Initial Review must be in writing and must state the basis for the challenge, whether the provider would like to present evidence or oral testimony to the PRCC, or both, whether the provider needs special accommodations, and any preferred time or dates for the Initial Review within the next **60 days**.

The following procedures are followed for Initial Reviews:

- All credentialing and peer review issues shall be brought before the PRCC for review and recommendation.
- Notice will be given to the provider stating the date of the initial review meeting and the provider shall have an opportunity to present their position.
- The decision of the PRCC shall be binding and final if the decision was for any reason other than medical quality of care concern.
- If a decision of the PRCC is based in whole or in part on medical quality of care concerns, the provider shall have the right to appeal the PRCC decision to an impartial JRC through the Fair Hearing process.

## ***FAIR HEARING PROCESS***

Providers may request a Fair Hearing if they are dissatisfied with the PRCC Initial Review decision and are eligible for a Phase II hearing, a formal hearing in front of an impartial JRC.

## ***GROUNDINGS FOR A FAIR HEARING***

One or more of the following actions, or proposed actions, against a provider by the PRCC after the Initial Review shall be grounds for a formal hearing before the JRC:

- Upholding the Alliance's reduction or failure to renew credentialing and/or participation based on medical quality concerns;
- Upholding the Alliance's suspension or imposition of restrictions on credentialing and/or participation for a cumulative total of **30 calendar days** or more in any **12-month** period based on medical quality concerns;
- Upholding the Alliance's denial or termination of credentialing and/or participation based on medical quality concerns.

## **Requesting an Appeal**

If the PRCC recommends an adverse decision based on medical quality concerns of an initial application or re-credentialing that results in a mandatory reportable action, the practitioner will be notified in writing of this decision. The practitioner has the right to request a hearing before the JRC within **30 days** of receipt of the PRCC notification. A provider who wishes, and is eligible, to file an appeal of an adverse credentialing or participation decision must deliver a written notice requesting a fair hearing before the JRC to the Alliance Chief Medical Officer within the time period specified.

The following procedures are followed for a Judicial Review process:

- Fair Hearings shall be brought before the JRC for review and recommendation.
- Notice will be given to the provider stating the date of the JRC and the provider shall have an opportunity to present their position.
- The decision of the JRC will be sent to the PRCC and the practitioner.
- The JRC will issue a written decision which shall include findings of fact and a conclusion within **30 calendar days** after final adjournment of the hearing.

## **Requirements for Mid-Level Clinicians**

### ***REQUIREMENTS FOR MID-LEVEL CLINICIANS***

PCPs who employ or contract with mid-level clinicians in their practices are responsible for making sure that the clinicians meet the standards set forth by the clinician's licensing authority. The PCP, as the clinician supervisor, is also responsible for developing the protocols under which the clinician will practice. They must meet certain qualifications and standards in order to be credentialed by the Alliance. This helps ensure quality care for members.

### ***DEFINITIONS OF MID-LEVEL CLINICIANS***

Mid-level clinicians are non-physician medical practitioners, including:

- Certified Nurse-Midwives
- Nurse Practitioners
- Physician Assistants

### ***CREDENTIALING***

Any mid-level clinician who provides care to Alliance members must be credentialed by the Alliance.

### ***LICENSING REQUIREMENTS***

To provide services to Alliance members, mid-level clinicians must have a valid, current license issued by the state of California. Nurse-midwives must be certified by the ACNM Certification Council, Inc. Physician assistants must be licensed in accordance with the requirements of the Physician Assistant Examiners Committee.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **INSURANCE**

The supervising physician must submit proof that their liability insurance covers the mid-level clinician, or that the clinician has individual coverage.

## **CPR AND ACLS CERTIFICATION**

Mid-level clinicians must maintain CPR certification. They also are encouraged to obtain ACLS certification.

## **PHYSICIAN/CLINICIAN AGREEMENT**

Each physician/mid-level clinician team must sign an agreement stating that the clinician will follow the practice protocols developed by the supervising physician. The agreements, also known as a Delegated Services Agreement and a Supervising Physician's Responsibility document, must be submitted at the time of credentialing and re-credentialing.

## **PROTOCOLS**

Protocols must be reviewed and approved by the supervising physician annually. These protocols and any updates must be submitted to the Alliance at the time of credentialing and site reviews

## **Organizational Providers**

The Alliance is responsible for verification of the accreditation status, license, certification and standing with regulatory bodies of all directly contracted organizational providers. This includes, but is not limited to, acute care hospitals, freestanding surgical centers, home health agencies, and skilled nursing homes that provide care to Alliance members at the time of contracting and at a minimum every **three (3) years** thereafter.

Hospitals, facilities, and organizational providers must meet the following requirements to contract with the Alliance by submitting all licensing and specialty qualification documents to the Alliance for verification as part of the Alliance credentialing and re-credentialing process and demonstrate the ability to meet Alliance requirements as outlined in the Alliance Quality Improvement Plan, Assessment of Organizational Provider Policy, and contract provisions.

## **REQUIREMENTS**

- Accreditation or certification reviewed and approved by an accrediting body. If not accredited, the organization must submit a copy of CMS or state site survey.
- Clear of any sanctions, negative findings, or deficiencies.
- Clinical Laboratory Improvement Amendment (CLIA) certificate, if applicable.
- Completed Alliance application including attestations.
- Current malpractice/general professional liability insurance.
- Eligibility to participate in state and federal programs.

- Valid and current Medicare/Medicaid certification.
- Valid, current, and unrestricted health care/state and business licenses.

### **Alliance Member Services Department**

The Alliance Member Services Department helps manage member needs and concerns. The call center is specifically for members and member-related issues. If a member has a question about their care or coverage, please encourage them to call the Alliance Member Services Department, Monday – Friday, 8 am – 5 pm, at **1.510.747.4567**.

The Alliance Member Services Department can assist with:

- Changing a member's assigned primary care provider (PCP)
- Checking the status of a claim
- Checking the status of a prior authorization (PA) request
- Finding an in-network PCP or specialist
- Finding the location of an in-network pharmacy
- Health education materials
- Interpreter services
- Learning more about plan benefits and services
- Mail order pharmacy information
- Referrals to community resources
- Reporting an issue or filing a grievance/appeal
- Requesting reimbursement for covered drugs or services
- Requesting replacement ID Card
- Scheduling transportation for covered services
- Updating a member's contact information
- Verifying a member's eligibility

The Alliance Member Services Department provides printed materials for members such as our Combined Evidence of Coverage (EOC) and health education resources. Members can also learn more about our services and their coverage on our website at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

The Alliance Member Services Department representatives can also facilitate communication between members and providers.

For after-hours eligibility verification, please call the Alliance Eligibility Verification Line at **1.510.747.4505**, 24 hours a day, 7 days a week, or use the Online Provider Portal located on our website at **[www.alamedaalliance.org/providers](http://www.alamedaalliance.org/providers)**.

## Part 4. Member Rights and Compliance

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### Section 22: Member Rights and Responsibilities

As a member of our health plan, each Alliance member is entitled to certain rights.

#### **Alliance Members' Rights**

1. To receive information and advice about the Alliance, its programs, its doctors, the health care network, advance directive, and their rights and responsibilities.
2. To receive services and care without discrimination of race, color, ethnicity, national origin, religion, immigration status, age, disability, socioeconomic status, gender identity, or sexual orientation.
3. To be treated with respect at all times.
4. To choose a PCP within the Alliance's network and help make choices about their health care with their doctor.
5. To talk freely with their doctors about treatment options for their health and help make choices about their health care with their doctor, this includes the right to refuse treatment.
6. To voice complaints (grievances) about the Alliance, its doctors, or the care the Alliance provides, or ask for a State Medi-Cal Fair Hearing.
7. To receive translation and interpreter services and written information in other formats (audio, Braille, large-size print, etc.).
8. To access covered Federally Qualified Health Centers, American Indian Health Programs, sexually transmitted disease services, emergency services, and family planning services outside the Alliance's network, Minor Consent Services, and specialty services (i.e., durable medical equipment (DME)).
9. To leave the Alliance upon request at any time, subject to any restricted disenrollment period.
10. To continue to see their doctor if they are no longer covered by the Alliance under certain circumstances.
11. To be free from any form of restraint or rejection used as a means of pressure, discipline, convenience, or retaliation.
12. To use these rights freely without changing how they are treated by the Alliance, doctors, the health care network, or the state.
13. To access the Alliance Nurse Line, 24/7 at **1.888.433.1876**.
14. To access telephone triage or screening 24/7 by calling their PCP.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

## **Alliance Members' Responsibilities**

The Alliance is responsible for providing members with access to medically necessary covered services in a timely manner. Alliance members have certain responsibilities as well.

1. To treat all the Alliance staff and health care staff with respect and courtesy.
2. To give their doctors and the Alliance correct information.
3. To work with their doctor. Learn about their health and help to set goals for their health. Follow care plans and advice for care that they have agreed to with their doctors.
4. To always present their Alliance Member ID Card to receive services.
5. To ask questions about any medical condition, and make sure they understand their doctor's reasons and instructions.
6. To help the Alliance maintain accurate and current records by providing timely information regarding changes in address, family status, and other health care coverage.
7. To make and keep medical appointments and inform their doctor at least 24 hours in advance when they need to cancel an appointment.
8. To use the emergency room only in case of an emergency or as directed by their doctor.

## **What Can You and Your Office Do to Protect Member Privacy?**

- Keep PHI actively in mind and in your policies among your staff.
- Provide training for yourself and for staff about privacy.
- Develop a process to respond to privacy issues that arise (including notifying the Alliance of any breach).
- Limit transporting PHI out of your office.
- Use secure email when communicating about members with someone outside your office.
- Store and lock up records and documents containing PHI.
- Secure your office computers from unauthorized access.
- Shred physical documents that contain PHI when no longer needed.
- Keep appointment and registration sheets away from public view.
- Do not text PHI.

For more information about PHI and HIPAA compliance, please visit **[www.hhs.gov](http://www.hhs.gov)**. If you have questions about how to improve the security and storage of member PHI, or would like a copy of the privacy practices, please call the Alliance Provider Services Department at **1.510.747.4510**.

## **How to Protect the Protected Health Information (PHI) of Your Patients**

As you are well aware, protecting the privacy of patients and their Protected Health Information (PHI) is a responsibility that we all share. The Alliance is committed to protecting every member's PHI, and we want to ensure that you do too!

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

The Health Information Portability and Accountability Act (HIPAA) clearly outlines how providers can use and disclose PHI. Additional federal and California state laws have been enacted governing the release of information, mandating that information be protected, creating new breach notification rules, and setting civil and criminal penalties and fines for the inappropriate release of PHI.

Written patient permission is required for most uses and disclosures of PHI. The exceptions generally are that PHI may be used and disclosed for the purpose of treatment, payment, and health care operations (and a few other specific exceptions).



## **Section 23: Fraud, Waste, and Abuse (FWA) and Protected Health Information (PHI)**

### **Overview of Fraud, Waste, and Abuse (FWA)**

The Alliance has developed an Anti-Fraud Program to comply with federal and state regulations in preventing and detecting fraud in federal, state, or county-funded programs offered by the Alliance. Health care fraud includes, but is not limited to, the making of intentional false statements, misrepresentations or deliberate omissions of material facts from any record, bill, claim, or any other form for the purpose of obtaining payment, services, or any type of compensation for health care services for which members are not entitled.

The objective of the Alliance's Anti-Fraud Program is to identify and reduce costs caused by fraudulent activities and to protect members, health care providers and others in the delivery of health care services.

The Alliance Compliance Officer and Compliance Committee oversee its Anti-Fraud Program and manage suspected fraud and abuse reporting. The Alliance reports its fraud/abuse prevention activities and suspected fraud/abuse to regulatory and law enforcement agencies as required by law.

### **FWA Definitions**

- **Fraud:** An intentional deception or misrepresentation made by a person with the knowledge that the deception could result in some unauthorized benefit to themselves or some other person.
- **Waste:** The overutilization or inappropriate utilization of services and misuse of resources.
- **Abuse:** Activities that are inconsistent with sound fiscal, business, or medical practices, and result in the following: unnecessary cost to health care programs or reimbursement for services that are not medically necessary or fail to meet professionally recognized standards for health care. Abuse also includes beneficiary practices that result in unnecessary cost to health care programs.

### **Examples of FWA**

In general, fraud costs the state and federal taxpayers up to \$260 billion dollars annually. Below are examples of fraudulent activity you may encounter as a health care provider in public programs:

- **Member/Beneficiary/Recipient:**
  - **Impersonation:** Someone using personal information of another person to obtain Medi-Cal or Medicare benefits for which he or she would otherwise not qualify or be entitled to receive.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

- Provider:
  - Payment for Referrals: When an individual or provider recruits and pays individuals money or offers gifts in exchange for referrals in the Medicare or Medi-Cal programs.
  - Balance Billing: A provider charging a Medicare or Medi-Cal beneficiary for the difference between the allowed reimbursement rate and the customary charge for the service.
- Provider Billing and Coding Issues:
  - Billing for services not rendered.
  - Billing for services at a frequency that indicates the provider is an outlier as compared with their peers.
  - Billing for non-covered services using an incorrect CPT, HCPCS, and/or diagnosis code in order to have services covered.
  - Billing for services that are actually performed by another provider.
  - Up-coding.
  - Unbundling services that should be billed together.
  - Billing for more units than rendered.
  - Services performed by an unlicensed provider yet billed under a licensed provider's name or information.
  - Altering records to receive covered services.

## **How to Report Potential FWA**

The Alliance requires its providers, members, contractors, and subcontractors to report suspected fraudulent activity to the Alliance or the appropriate regulatory and law enforcement agencies.

Under no circumstances will the reporting of any such information or possible impropriety serve as a basis for retaliatory action to be taken against any individual making the report. No Alliance employee, provider, contractor, or member who reports suspected fraudulent activity will be retaliated against or otherwise disciplined by the Alliance or an Alliance employee for making a report in good faith.

When reporting FWA, please provide as much of the following information as possible:

- Name, address, license, or insurance ID of suspect (if known).
- Description and details of the incident: who, what, where, when, date and time of incident(s).
- Any documentation you may have related to the incident(s).
- Your name and telephone number (if you would like to be contacted).

Any person may report a compliance or FWA matter through the following means:

- Alliance Compliance Department  
Toll-Free Hotline: **1.855.747.2234**

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **[www.alamedaalliance.org](http://www.alamedaalliance.org)**.

Email: **compliance@alamedaalliance.org**

- Medi-Cal Fraud and Abuse  
Toll-Free Hotline: **1.800.822.6222**  
Email: **fraud@dhcs.ca.gov**
- Medicare or Medi-Cal Fraud, Office of Inspector General  
Toll-Free: **1.800.447.8477**

The Alliance Compliance Line is available and can receive tips 24 hours a day, 7 days a week. The Hotline is operated by a third-party vendor to maintain confidentiality for the reporter.

### **Health Insurance Portability and Accountability Act (HIPAA):**

The Health Insurance Portability and Accountability Act of 1996 (HIPAA) is a federal law that requires the Alliance and its network providers to protect and maintain the security and confidentiality of its members' Protected Health Information (PHI) and to provide its members with certain privacy rights.

PHI is any individually identifiable health information, including demographic information. PHI includes but is not limited to a member's name, address, phone number, medical information, social security number, ID card number, date of birth, and other types of personal information.

This section of the Provider Manual seeks to guide providers on the following: 1) implementation of safeguards to protect Alliance member PHI; 2) ensure appropriate uses and disclosures of PHI; 3) ensure members are able to timely access their own PHI; and 4) how to identify and report privacy incidents and breaches to the Alliance.

### **Safeguarding PHI**

As covered entities under the HIPAA Privacy Rule, the Alliance and its providers must comply with HIPAA requirements.

Below are a few reminders on how to protect and secure PHI:

- PHI in Paper Form:
  - Documents containing PHI should not be visible or accessible to visitors or others who are unauthorized to have access to PHI.
  - When faxing documents containing PHI, verify the recipient, the recipient's fax number, and the documents being sent.
  - Ensure that outgoing faxes include a fax cover sheet that contains a confidentiality statement.
  - When mailing PHI, verify the recipient, the recipient's mailing address, and the documents being sent.
  - Ensure that envelopes and packages are properly sealed, secured, and if using a clear window envelope, ensure that information is not visible through the window of the envelope, prior to mailing out.

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Questions? Please call the Alliance Provider Services Department, Monday – Friday, 7:30 am – 5 pm at **1.510.747.4510**. Visit us online at **www.alamedaalliance.org**.

- When transporting PHI, ensure that the information is protected by using binders, folders, or protective covers.
  - PHI must not be left unattended in vehicles.
  - PHI must not be left unattended in baggage at any time during traveling.
  - PHI should be locked away during non-business hours.
  - PHI must be properly disposed of by shredding. Never recycle or dispose of documents containing PHI in the trash bin.
- PHI in Electronic Form:
  - When transmitting PHI via email ensure that the email is encrypted. This prevents anyone other than the intended receiver from obtaining access to the PHI.
  - Do not include PHI such as an individual's name or beneficiary ID number (CIN) in the subject line of the email.
  - Confirm the recipient, recipient's email address, and documents or information being sent, prior to sending the email.
  - Ensure all portable data storage devices (CDs, DVDs, USB drives, portable hard drives, laptops, etc.) are encrypted.
- PHI in Oral Form:
  - Do not discuss PHI in public areas such as the patient waiting room.
  - Do not discuss PHI with unauthorized people.
  - Always verify the identification of an individual prior to discussing PHI with the individual.
  - Ensure to speak quietly when discussing PHI.

## **Uses and Disclosures of Member PHI**

The HIPAA Privacy Rule allows member PHI to be used and disclosed without the member's written consent for the following reasons (not a complete list):

- Treatment
- Payment
- Health care operations
- Court and administrative proceedings
- Health oversight activities
- Public health activities
- Law enforcement purposes

Providers must obtain specific written consent through a HIPAA Compliant Authorization Form for all other uses and disclosures of PHI not for treatment, payment, or health care operations or otherwise permitted or required by the HIPAA Privacy Rule.

## **Member Access to PHI**

The HIPAA Privacy Rule requires the Alliance and its providers to provide members, upon request, with access to their PHI. Providers must ensure that their medical records systems allow for prompt retrieval of medical records and that these records are available for review whenever a member requests access to their PHI. Providers must also provide the member with timely access to their PHI in the form and format requested by the member.

## **Reporting of Privacy Incidents and Breaches to the Alliance**

The HIPAA Privacy Rule requires covered entities to provide notification to enrollees following a breach of PHI. Providers must immediately and upon discovery report both privacy incidents and breaches involving Alliance members. A privacy incident is defined as an event or situation where an individual or organization has suspicion or reason to believe that PHI may have been compromised. Privacy incidents include but are not limited to the following:

- PHI sent to the wrong individual or organization.
- PHI sent unencrypted.
- Loss or theft of documents containing PHI.
- Loss or theft of unencrypted devices (laptop, hard drives, USB drives).

A breach is defined as unauthorized access, use, or disclosure of PHI that violates either federal or state laws, or PHI that is reasonably believed to have been acquired by an unauthorized person. Timely reporting of incidents and breaches involving the PHI of our members is crucial in the response, investigation, and mitigation of incidents and breaches. To report suspected or known privacy incidents and breaches you may contact the Alliance through any of the following means:

Alliance Compliance Department  
Toll-Free Hotline: **1.855.747.2234**  
Email: **privacy@alamedaalliance.org**